

HOPE VI EVALUATION INSTRUCTIONS AND RESOURCES

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HOPE VI COMMUNITY AND SUPPORTIVE SERVICES

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TABLE OF CONTENTS

| | |
|---|-----------|
| Acknowledgements | |
| HOPE VI Evaluations | 1 |
| HOPE VI Indicators | 2 |
| Impacts on Original Residents of the HOPE VI Development | 2 |
| Impacts on the HOPE VI Neighborhood | 4 |
| Impacts on the HOPE VI Development | 5 |
| Data Sources | 6 |
| Resident Surveys | 6 |
| HUD Multi Tenant Characteristics System (MTCS) and PIH Information Center (PIC) Data | 7 |
| US Census Data | 7 |
| PHA Administrative Data | 8 |
| Common Core of Data (CCD) Database | 9 |
| Home Mortgage Disclosure Act (HMDA) Data | 9 |
| Municipal Data | 9 |
| In-depth Interviews | 9 |
| Integrating Evaluation with HOPE VI Implementation | 10 |
| Appendix A: HOPE VI Recommended Indicators – Definitions and Data Sources | |
| Appendix B: HOPE VI National Studies – Methodologies | |
| Appendix C: Interim Assessment of the HOPE VI Program – Resident Survey | |
| Appendix D: HOPE VI Panel Study – Wave Two Resident Survey | |
| Appendix E: HOPE VI Caseload Triage and Stability Index | |
| Appendix F: HOPE VI Panel Study –Wave Two In-depth Interview Protocols | |
| Appendix G: HOPE VI Bibliography | |

HOPE VI EVALUATIONS

The HOPE VI program was established in 1992 as an urban revitalization demonstration program designed to address distressed public housing developments. Over the past 12 years, the program has evolved into a multi-faceted intervention including mixed finance (public and private) funding strategies and broad neighborhood, housing and human services objectives targeted at severely distressed public housing developments, the neighborhoods surrounding these developments and public housing residents. During this evolution the HOPE VI program has been a proving ground for new approaches and innovations in public housing financing, development, management and resident services. Given the historical turn that HOPE VI represents in the delivery of public housing, and its potential for informing future policy on subsidized housing, it is crucial that the program be evaluated to understand its real impact on public housing communities and residents.

HUD moved to make evaluation a more critical element of the HOPE VI program in 1999 when the agency offered HOPE VI applicants points in the HOPE VI Notification of Funding Availability (NOFA) for conducting an evaluation on their HOPE VI projects. Applicants were asked to explore the impact of the HOPE VI effort on the lives of residents, the nature and extent of economic development generated in the community, the effect of the revitalization effort on the surrounding community, and successes at integrating the physical and Community and Supportive Services (CSS) aspects of the program.

A study conducted by the Housing Research Foundation (2000) found that only 37 out of 111 housing authorities awarded HOPE VI grants between 1992 and 1998 were conducting evaluations on their HOPE VI projects. However, after inclusion of this requirement in the HOPE VI NOFA, approximately 106 housing authorities awarded a HOPE VI grant between 1999 and 2003 included an evaluation component in their HOPE VI revitalization plan, increasing the total number of local evaluations on the HOPE VI program to 143.

In December of 2000 HUD convened a HOPE VI evaluation conference for local evaluators to provide guidance on conducting HOPE VI evaluations including potential indicators and data sources. However, no uniform guidance or instructions were issued to evaluators. As a result, while many evaluations are assessing similar issues it is difficult to compare or aggregate these studies into a national evaluation of the HOPE VI program because there is variability in the indicators and data sources used to evaluate the program at the local level.

Recognizing this missed opportunity, HUD convened meetings with HOPE VI evaluators, researchers and practitioners in September of 2003 to define uniform indicators, data sources and suggested methodologies that could be used across HOPE VI evaluations. In addition, HUD reviewed indicators, data sources and methodologies employed across national studies assessing HOPE VI impacts in selected communities to identify potential linkages between these studies and local evaluations. These efforts yielded the recommended indicators, data sources, and processes for local HOPE VI evaluations that are presented in these instructions and resources. The purpose of these instructions is to establish a set of uniform indicators that can be compared across local evaluations to create a national evaluation and link existing national studies to local evaluations in order to expand the sample for these studies and the overall assessment of the HOPE VI program.

HOPE VI INDICATORS

The HOPE VI NOFA outlines four broad areas that should be assessed as part of an evaluation on a HOPE VI project, but does not prescribe specific indicators. In 2003, HUD staff interviewed evaluators from 20 HOPE VI sites to better understand the issues that are being explored to assess impacts across the areas prescribed in the HOPE VI NOFA.

The results from these interviews show that local HOPE VI evaluators are assessing many of the same core issues or sets of issues across these impact areas. At over 90% of the HOPE VI sites, evaluators are assessing employment, education, youth outcomes, relocation processes, relocation clustering, housing conditions, resident self-sufficiency and the impact of CSS programs on residents. In addition to these issues, most (75%-89%) evaluators are also exploring the quality of relocation neighborhoods, the well-being of elderly residents, crime rates, perceptions of crime and neighborhood safety, property values, demographic changes, the unit mix at new developments, and on-site services, including facilities, at HOPE VI developments after revitalization.

HUD drew on this information and input from other HOPE VI evaluators and researchers to develop a list of 50 recommended indicators and related data sources across three areas: Impacts on original residents of the HOPE VI development, Impacts on the HOPE VI neighborhood, and Impacts on the HOPE VI development (definitions and specific data sources for the 50 indicators recommended in this section are provided in Appendix A). These indicator groups generally overlap with the evaluation foci prescribed for the HOPE VI NOFA that were summarized in the introduction of these instructions, with the exception that HUD does not recommend indicators for assessing the extent that the physical and CSS aspects of the HOPE VI program are integrated. However, the final section of these instructions briefly discusses how this issue could be included in overall process assessments and ongoing recommendations submitted by the evaluator that would be used by the PHA to improve the implementation of the HOPE VI project during the life of the grant.

Impacts on Original Residents of the HOPE VI Development

The original public housing residents who formerly resided or currently reside in the public housing development targeted for revitalization are the group most directly and profoundly impacted by the HOPE VI revitalization process. Important areas to explore in assessing this impact include housing conditions, relocation, neighborhood quality after relocation, physical and mental health, employment, income, household stability, outcomes for children, and CSS. Assessing both the positive and negative impacts of the revitalization process on HOPE VI residents across these areas should be central to local evaluations of the HOPE VI program.

Defining the Target Population

The target population should be the original residents of the public housing development who are impacted by the HOPE VI revitalization process as defined by HUD and the PHA in the HOPE VI CSS Plan. This includes individuals who move into the development after grant award and before the development is demolished. HUD also recommends that evaluators include original residents who no longer reside in federally subsidized housing or are in bad standing

with the housing authority in the assessment of resident outcomes, despite the fact that some may not be participating in or eligible for HOPE VI CSS. Most (80%) of the local evaluators interviewed by HUD are assessing impacts on all original residents, including those that no longer reside in subsidized housing or are in bad standing with the housing authority, supporting the importance and feasibility of this approach.

Indicators and Resident Profile

The evaluation should provide a detailed profile of original residents at baseline and each subsequent follow-up assessment, including but not limited to: gender, age (18-24, 25-34, 35-49, 50-64, 65+), race / ethnicity, education, housing type, and interest in returning to the new HOPE VI development. In addition to this resident profile, HUD recommends collecting data across the following indicators to assess HOPE VI impacts on public housing residents:

Housing

- 1. HOUSING CONDITIONS**
- 2. HOUSING SATISFACTION**

Relocation

- 3. RELOCATION CLUSTERING**
- 4. POVERTY CONCENTRATION OF CURRENT NEIGHBORHOOD**
- 5. MINORITY CONCENTRATION OF CURRENT NEIGHBORHOOD**
- 6. REOCCUPANCY**
- 7. SATISFACTION WITH RELOCATION SERVICES**

Neighborhood Quality

- 8. SOCIAL DISORDER AND VIOLENCE IN CURRENT NEIGHBORHOOD**
- 9. SAFETY IN CURRENT NEIGHBORHOOD**
- 10. SATISFACTION WITH AMENITIES IN CURRENT NEIGHBORHOOD**
- 11. STUDENTS ON FREE AND REDUCED LUNCH AT CURRENT SCHOOL**

Physical and Mental Health

- 12. OVERALL PHYSICAL HEALTH**
- 13. CHRONIC ILLNESSES**
- 14. MENTAL HEALTH**

Employment, Income and Household Stability

- 15. EMPLOYMENT**
- 16. EMPLOYMENT RETENTION**
- 17. HOUSEHOLD INCOME**
- 18. MATERIAL HARDSHIP**
- 19. BARRIERS TO EMPLOYMENT**
- 20. HOUSEHOLD STABILITY**

Children

21. BEHAVIOR PROBLEMS

CSS

22. UTILIZATION RATES FOR COMMUNITY AND SUPPORTIVE SERVICES

23. SATISFACTION WITH COMMUNITY AND SUPPORTIVE SERVICES

Impacts on the Neighborhood

One goal of the HOPE VI program is to catalyze neighborhood change through revitalization of distressed public housing developments. These impacts are often slow to develop and in many cases the period of the HOPE VI grant may not be long enough to accurately assess neighborhood change. Despite these limitations, it is important to establish a neighborhood baseline and conduct follow-up assessments including employment rates, resident incomes, poverty rates, housing market conditions, crime rates and other quality of life conditions, so that future research can build on these interim assessments and evaluate neighborhood change over the long-term.

Defining the HOPE VI Neighborhood

When assessing neighborhood impacts, evaluators should define the neighborhood as the Census tract or tracts in which the HOPE VI development is located and all adjacent Census tracts. However, because Census tracts do not always conform to neighborhood boundaries and can change over time, evaluators should define a HOPE VI neighborhood based on the weighted average of Census tracts that include and are adjacent to the HOPE VI development. This method was used by Zeilenbach (2003) to define HOPE VI neighborhoods in an eight city study of the HOPE VI program (the methodology section from this study is included in Appendix B).

Indicators and Neighborhood Profile

The evaluation should provide a detailed profile of the neighborhood at baseline and each subsequent follow-up assessment, including but not limited to: a neighborhood description, neighborhood maps, neighborhood history, establishments by industry, community institutions, community amenities and current or planned neighborhood development projects. In addition to this information, HUD recommends collecting data across the following indicators to assess impacts on the neighborhood:

Employment and Income

- 24. MINORITY CONCENTRATION**
- 25. EDUCATION**
- 26. INCOME AND POVERTY RATE**
- 27. EMPLOYMENT**

Housing Market Conditions

- 28. ASSESSED HOUSING VALUES**
- 29. HOUSING VACANCY RATES**
- 30. TOTAL HOUSING UNITS**
- 31. GROSS RENT**
- 32. OWNER OCCUPIED HOUSING UNITS**
- 33. HOME PURCHASE LOANS / MORTGAGES**

Quality of Life Issues

- 34. CRIME RATE**
- 35. TAX DOLLARS**
- 36. CODE VIOLATIONS**

Impacts on the HOPE VI development

The most dramatic and visual impacts of the HOPE VI program are on the public housing development targeted for revitalization. Although each grant progresses at a different rate, HOPE VI grantees are expected to complete construction within 54 months after execution of the HOPE VI Grant Agreement. Therefore it is likely that significant changes will occur on the site of the public housing development within the period of the HOPE VI grant and the evaluation. Important areas to explore include: resident characteristics, housing characteristics, quality of life issues and post-revitalization supportive services and community facilities.

Defining the HOPE VI Development and Target Population

When assessing impacts on the public housing development targeted for revitalization, evaluators should focus on units constructed as part of the HOPE VI revitalization plan and all residents residing in these units after construction, including both public housing eligible families between 0-80% of Area Median Income (AMI) and market rate families with incomes greater than 80% of AMI. Some of the data collected across the indicators for the HOPE VI development will overlap with indicators assessing the impacts on original residents of the development over the life of the grant. This is particularly true for the baseline resident characteristics of the public housing development and follow-up housing and quality of life assessments for original residents that reoccupy the site.

Indicators, Development Profile and Resident Profile

The evaluation should provide a detailed profile of the public housing development at baseline and each subsequent follow-up assessment after revitalization begins, including but not limited to: the year the original development was constructed, the original number of units, building descriptions, a history of the development, resident demographics and family characteristics, planned and actual reoccupancy rates, the percent of public housing units being replaced, and the number and types of units planned or constructed on site. In addition to these profiles, HUD recommends collecting data across the following indicators to assess impacts on the HOPE VI development and residents who occupy this development, both before and after revitalization:

Resident Characteristics

- 37. **MINORITY CONCENTRATION**
- 38. **EDUCATION**
- 39. **INCOME AND POVERTY RATE**
- 40. **EMPLOYMENT**

Housing Characteristics

- 41. **HOUSING CONDITIONS**
- 42. **HOUSING SATISFACTION**

Quality of Life Issues

- 43. **CRIME RATE AT THE DEVELOPMENT**
- 44. **SOCIAL DISORDER AND VIOLENCE AT THE DEVELOPMENT**
- 45. **SAFETY AT THE DEVELOPMENT**
- 46. **AMENITIES AT OR NEAR THE DEVELOPMENT**
- 47. **NEIGHBORHOOD QUALITY (BEFORE AND AFTER REVITALIZATION – ORIGINAL RESIDENTS)**
- 48. **NEIGHBORHOOD SAFETY (BEFORE AND AFTER REVITALIZATION – ORIGINAL RESIDENTS)**

CSS

- 49. **UTILIZATION RATES FOR ON-SITE CSS AT THE DEVELOPMENT AFTER REVITALIZATION**
- 50. **SATISFACTION WITH ON-SITE CSS AT THE DEVELOPMENT AFTER REVITALIZATION**

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| DATA SOURCES |
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Resident Surveys

Indicators: 1, 2, 7, 8, 9, 10, 12, 13, 14, 18, 19, 21, 22, 23, 41, 42, 44, 45, 46, 47, 48, 49, 50

Over 90% of the local evaluators interviewed by HUD are collecting resident surveys from original residents throughout the life of the grant. Many are also collecting survey information from residents residing in units at the revitalized HOPE VI development, including original residents that reoccupied the site and new residents. While collecting resident surveys from all impacted residents may not be possible across each HOPE VI evaluation, HUD encourages local evaluators and housing authorities to include some form of resident survey in their assessment of the HOPE VI project. Ideally these surveys would collect information across all targeted residents who agree to participate. However, if this is not feasible the evaluator should randomly select residents from the targeted population and administer the surveys to those who agree to participate from this sample.

Resident surveys should be organized into two separate, but connected, components. The first component would be administered across original residents of the public housing development targeted for revitalization. This survey would collect data across the following indicators: 1, 2, 7, 8, 9, 10, 12, 13, 14, 18, 19, 21, 22, and 23.

The second component would be administered across original and new residents who occupy units in the revitalized HOPE VI development. This survey would collect data across the following indicators: 41, 42, 44, 45, 46, 47, 48, 49, and 50. Some of the information collected across the indicators for residents in the new HOPE VI development will overlap with those that track impacts on original residents. This is particularly true for baseline resident characteristics and follow-up housing and quality of life assessments for residents that reoccupy the site.

Survey questions to measure each indicator are listed in Appendix A. These questions are drawn from established survey instruments used in national HOPE VI studies, which are also included in Appendices C-D. In addition, several of the indicators require adult participants to answer questions about children in the household. A method for selecting these children is described in Appendix B (HOPE VI Panel Study: Baseline Report – Methodology – Page A-3).

HUD Multi Tenant Characteristics System (MTCS) and PIH Information Center (PIC) Data

Indicators: 3, 4, 5, 15, 17, 39, 40

MTCS contains data on tenants in the Section 8 / Housing Choice Voucher (HCV) program. Examples of data in MTCS include information on the household head and each family member (name, SSN, income, income sources, age, disability status, race, ethnicity, etc), the unit rented (street address, state, city, zip, number of bedrooms, central city or suburb, Census tract, public housing code, etc), and other administrative data (move in date, program type, date of most recent examination, etc.). However, MTCS is no longer a "system" as its functions were rolled into the new PIC (PIH Information Center), a more modern, web-enabled system. PIC also contains data on tenants in public housing (<http://www.hud.gov>).

HUD's Office of Policy Development and Research also maintains a Tenant Data Server with a longitudinal archive of tenant data from the Section 8 / HCV and Public Housing programs. The Tenant Data Server receives regular extracts or "time slices" from PIC. Privacy rules are in place to protect the identity of tenants but the data can be made available to outside researchers through special arrangements. Data can also be made available in aggregate, for instance, a count of all HCV units by Census tract. HUD is in the process of defining the release dates and procedures for sharing MTCS / PIC and Tenant Data Server data with local HOPE VI evaluators, and will notify evaluators when this information is finalized.

US Census Data

Indicators: 3, 4, 5, 24, 25, 26, 27, 29, 30, 31, 32

US Census decennial data are available for 1990 and 2000 across all HOPE VI developments (<http://www.census.gov>). The year of the baseline Census data for the evaluation depends on the history of the HOPE VI site. For example, if a housing authority was awarded a HOPE VI grant in 2003 but the targeted development was demolished and cleared in 1997, then the 1990 Census should be used as the baseline for conditions in the neighborhood surrounding the HOPE VI site.

Census data from 1990 and 2000 provide immediate comparative baseline and follow-up data for surrounding neighborhoods across HOPE VI grants awarded before 2000. However, for grants awarded between 2000-2004, evaluators are limited to only a baseline Census data assessment for these neighborhoods until the 2010 decennial data is available. In all cases, evaluators should explore other local indicators and alternative data sources to describe change across the HUD recommended indicators listed in these instructions (indicators 24, 25, 26, 27, 29, 30, 31, and 32), which assess conditions in the neighborhood surrounding the HOPE VI development, and exclusively rely on Census data.

In addition to assessing change in the neighborhood surrounding the HOPE VI development, Census data, combined with MTCS / PIC data, can be used to measure change across neighborhood quality indicators for relocated residents (indicators 3, 4, and 5). For these indicators, the comparison is between the baseline Census data for the public housing development targeted for revitalization and the most recent Census data for the new neighborhood where the resident currently resides. These comparisons can be made with existing Census data throughout the life of the grant as residents move from one unit and neighborhood to another.

PHA Administrative Data

Indicators: 6, 15, 17, 20, 22, 37, 38, 39, 40, 49

PHAs collect and maintain program data on original residents and public housing developments during the revitalization process and after occupancy of the new HOPE VI development. This data includes information generated by the PHA during implementation of program components e.g., household income to determine eligibility for affordable units or the number of residents interested in returning to the new development. The data also includes information reported to HUD through the HOPE VI Quarterly Progress Report, which provides HUD and Congress with information on production and service outputs for each grantee e.g., number of units constructed or job training program enrollments.

PHAs and evaluators should establish a protocol for sharing PHA administrative data early in the evaluation process. The PHA and evaluator should also discuss items to be included in the collection of administrative data over the life of the grant and after reoccupancy of the new development. The PHA should collect and share the following information on the new HOPE VI development with the evaluator (this should be collected across all housing types in the new development including public housing units, low-income housing tax credit units, market rate units, and homeownership units): the number of residents who are employed; the average household income; the income mix (0-30% of AMI, 31-60% of AMI, 61-80% of AMI or >80% of AMI); the percent of minority residents; and the level of education among residents.

In addition to this information, PHAs should also provide evaluators with access to CSS data. HOPE VI grantees are required to provide case management to residents and track service enrollments and completions through a case management tracking system. PHAs are also asked to develop a caseload triage plan and related case management policies modeled after HUD's recommended caseload triage strategy (Appendix E). The PHA should provide the evaluator with information from the case management tracking system including service utilization rates and service outputs, and summary information on caseload triage detailing the number of

residents that are high risk versus low risk over the life of the grant and which specific risk factors are most prevalent.

Common Core of Data (CCD) Database

Indicator: 11

The US Department of Education’s National Center for Education Statistics is an information clearinghouse that provides data on public schools and school districts in the United States through the Common Core of Data (CCD) database. The database provides information across a number of indicators including the percent of students on free or reduced lunch at over 90,000 public schools. This database can be accessed online at: <http://nces.ed.gov/ccd/>.

Home Mortgage Disclosure Act (HMDA) Data

Indicator: 33

HMDA data is available from the Federal Financial Institutions Examination Council. HMDA data includes the number and amount of loan applications by Census tract, and the income, race and gender of the borrower. This data is collected annually across conventional home purchase loans, FHA / VA (government-backed) home purchase loans, home improvement / home equity loans, and multi-family loans, among other loan types. Data from HMDA on home loan amounts can be used to determine the average home value for a Census tract. More information on HMDA data can be accessed online at: <http://www.ffiec.gov/hmda/default.htm>.

Municipal Data

Indicators: 28, 34, 35, 36, 43

Municipal data can be used as a primary or supplemental source of data to assess indicators at baseline and follow-up when national data is not available. The following municipal data sources are particularly important for assessing change in the HOPE VI neighborhood and development: records on assessed property values maintained by city building departments or tax assessors offices, information on neighborhood crime rates and number of police calls maintained by police departments, records on municipal income taxes, property taxes and tax dollars generated by employers, and records on the number of code violations for residential properties maintained by the municipal building inspection department.

IN-DEPTH INTERVIEWS

The indicators listed in these instructions assess a broad range of HOPE VI issues, including impacts on original residents, neighborhoods and the HOPE VI development through a combination of subjective indicators and social statistics. Although subjective indicators allow residents to provide their own assessment of household well-being, services and neighborhood conditions, these indicators do not capture the rationales, family histories and experiences behind these responses.

In-depth interviews have been used in national HOPE VI studies to collect additional information from original HOPE VI residents across study indicators in an effort to provide a

better understanding of the issues impacting residents and a richer description of their experiences. For example, this data was used to explain the experiences of residents reporting chronic illnesses, the problems residents faced after relocating to a new community or the experiences of their children in new schools. Several in-depth interview protocols that have been used in national HOPE VI studies are attached in Appendix F.

HUD would like each local evaluation to follow 25 randomly selected heads of households through in-depth interviews over the life of the grant. Local evaluators should randomly choose heads of households from the residents participating in the HOPE VI Resident Survey at baseline. The goal in conducting these interviews is to provide additional information across subjective indicators in the Resident Survey, and to develop family histories that detail resident experiences in public housing and the HOPE VI program. This profile should also explore the specific CSS programs that individuals participated in and the impacts of these programs on the households that were interviewed. If confidentiality protections allow, PHA administrative data and information from service providers should also be coupled with the in-depth interviews to complete the profile for each household.

INTEGRATING EVALUATION WITH HOPE VI IMPLEMENTATION

Timing of the Evaluation

The validity and impact of HOPE VI evaluations are maximized when the baseline is established before revitalization, relocation and case management activities begin. From the baseline, follow-up assessments should be conducted at several intervals during the life of the grant and after the HOPE VI development is completed. However, many (50%) of the evaluators interviewed by HUD indicated that they started their evaluation after relocation was finished. In other cases, PHAs have implemented evaluations after completion of the HOPE VI development. Both of these approaches underutilize evaluation as a tool for improving project implementation and fail to adequately capture and compare many important indicators, especially resident perspectives over time and process data which need to be collected throughout the life of the grant.

Given the importance of starting the evaluation early in the HOPE VI process, HUD encourages PHAs and evaluators to finalize evaluation agreements before the CSS Plan is submitted to HUD for approval. HUD also encourages the housing authority and evaluator to begin baseline assessments within six months from the date that the HOPE VI Grant Agreement is signed.

Integration with HOPE VI Implementation

HUD requires the PHA to describe its strategy for utilizing ongoing evaluator feedback on the implementation of the HOPE VI program in the CSS Plan, including process and program delivery issues as described in the HOPE VI NOFA. The evaluation should be used to assess progress toward program goals, develop recommendations for addressing emergent needs and challenges, and explore new approaches for delivering program components if existing processes prove to be ineffective.

These linkages should include the integration of evaluation and case management functions. This can be an effective way to collect survey information, track the progress of

families and use evaluation findings to improve service delivery. Resident survey questions from the HOPE VI evaluation could be added to case management intakes at baseline and collected by case managers on request throughout the life of the grant during regular follow-up with residents. This could reduce the cost of conducting a resident survey and give HOPE VI staff more immediate feedback across these issues. In addition, evaluators should also have access to HOPE VI caseload triage summaries and data from case management tracking systems, and provide the housing authority with feedback from analysis done on this data throughout the life of the grant. While linking evaluation to case management functions has many benefits, the evaluator and housing authority must establish confidentiality parameters before individual resident information from the case management system can be shared.

Reports

Evaluators should provide PHA staff with evaluation updates through regular reports and meetings. At the minimum, HUD encourages PHAs to have evaluators submit annual reports over the life of the HOPE VI project. These reports should also be submitted to the HUD HOPE VI CSS Manager.

APPENDIX A

HUD RECOMMENDED INDICATORS DEFINITIONS AND DATA SOURCES

DEFINITIONS AND DATA SOURCES

Impacts on Original Residents of the HOPE VI Development

Housing

1. HOUSING CONDITIONS

- Definition: The percent of residents indicating problems with water leaks, peeling paint or plaster, a cold unit / heating system that doesn't work, mold, nonfunctioning toilet and plumbing that doesn't work, cockroaches, rats, radiators without covers in their current unit, broken locks or no locks, broken windows or windows without screens, a stove or refrigerator that doesn't work, too little living space, adequacy of storage space and too much noise by housing type (i.e., public housing, Section 8 / HCV, Low Income Housing Tax Credit - LIHTC or market rate) at baseline and follow-up. This should also be reported as the percent of residents citing two or more of these problems.
- Data sources: Resident survey
- Survey questions: HOPE VI Panel Study –Wave Two Survey pages 6-7, questions Q6, Q7, Q8, Q9, Q10, Q11, Q12 and Q13; Interim Assessment of the HOPE VI Program – Resident Survey page 2, question 3.

2. HOUSING SATISFACTION

- Definition: The percent of residents indicating that they are very satisfied, somewhat satisfied, somewhat dissatisfied or very dissatisfied with their current unit by age and housing type at baseline and follow-up.
- Data sources: Resident survey
- Survey question: HOPE VI Panel Study – Wave Two Survey page 5, question Q3.

Relocation

3. RELOCATION CLUSTERING

- Definition: The percent of HOPE VI households in Census tracts where these households represent <2%, 2-4.9%, 5-9.9% or 10% of the total Census tract population at baseline and follow-up.
- Data sources: HUD MTCS / PIC data (for current resident address), US Census data, HUD and PHA geocode (longitude and latitude) information, address and Census tract number for the HOPE VI site.

4. POVERTY CONCENTRATION OF CURRENT NEIGHBORHOOD

- Definition: The percent of HOPE VI residents currently living in neighborhoods with poverty rates of <10%, 10%-20%, 21%-30%, 31%-40%; > 40% by housing type at baseline and follow-up.
- Data sources: HUD MTCS / PIC data (for current resident address), US Census Data, HUD and PHA geocode (longitude and latitude) information, address and Census tract number for the HOPE VI site.

5. MINORITY CONCENTRATION OF CURRENT NEIGHBORHOOD

- Definition: The percent of minority residents in the Census tract where HOPE VI households reside at baseline and follow-up. Reported by housing type across the following percentile categories, <=20%, 21%-30%, 31%-40%, 41%-60%, >60%.
- Data sources: HUD MTCS / PIC Data (including current resident address), US Census Data, HUD and PHA geocode (longitude and latitude) information, address and Census tract number for the HOPE VI site.

6. REOCCUPANCY

- Definition: The percent of HOPE VI households that reoccupied the completed HOPE VI development by housing type. This analysis should also include the percentage of residents that were denied reoccupancy because they did not meet the return criteria for the development. If possible, the evaluator should also report the most prevalent reasons why residents failed to meet the return criteria.
- Data sources: PHA administrative data

7. SATISFACTION WITH RELOCATION SERVICES

- Definition: This is a summary measure that assesses level of relocation services or assistance provided to residents as part of the relocation process by housing type and move status at baseline and follow-up. The measure includes questions that ask if residents received information on Section 8 or HCV programs, information on homeownership opportunities, information on transportation to an available unit, information on landlords and leases, information on security deposits or down payments, and information on paying utilities. The level of relocation services provided to each resident should be categorized as low (0-2 services), medium (3-4 services) or high (5-6 services).
- Data sources: Resident survey
- Survey questions: HOPE VI Panel Study – Wave Two Survey pages 15-16, question R1, R2, R3, R4, R5, R6, and R7.

Neighborhood Quality

8. SOCIAL DISORDER AND VIOLENCE IN CURRENT NEIGHBORHOOD

- Definition: The percent of residents indicating problems in their current neighborhood with unemployment, groups of people just hanging out, the number of teenage mothers, lack of public transportation, quality of schools, graffiti, trash and junk in parking lots, streets, lawns and sidewalks, people using drugs, people selling drugs, gangs, police not coming when called, shootings and violence, people being attacked and robbed, and rape or other sexual assaults by housing type at baseline and follow-up. The percent change between baseline and follow-up for each issue should also be reported.
- Data sources: Resident survey
- Survey questions: HOPE VI Panel Study – Wave Two Survey pages 25-28, questions N15 (a-g) and N16 (a-g)

9. SAFETY IN CURRENT NEIGHBORHOOD:

- Definition: The percent of residents indicating that they feel very safe, somewhat safe, somewhat unsafe or very unsafe when they are outside their home at night in their current neighborhood by housing type at baseline and follow-up. The percent change between baseline and follow-up should also be reported.
- Data sources: Resident survey
- Survey questions: HOPE VI Panel Study – Wave Two Survey page 30, question N20.

10. SATISFACTOIN WITH AMENITIES IN CURRENT NEIGHBORHOOD:

- Definition: The percent of residents that describe neighborhood amenities and quality of life factors as excellent, good, fair or poor by housing type at baseline and follow-up. This is not a composite measure and each of the following nine amenities and quality of life factors should be rated separately: access to good schools, access to public transportation, access to grocery stores, access to health care services, access to child care, access to parks and recreational facilities, being close to friends and relatives, being close to job opportunities, being close to job training / job placement programs and police response time.
- Data sources: Resident survey
- Survey questions: Interim Assessment of the HOPE VI Program – Resident Survey page 6, question 14.

11. STUDENTS ON FREE AND REDUCED LUNCH AT CURRENT SCHOOL

- Definition: The percent of students on free or reduced lunch across schools attended by children of HOPE VI residents organized by housing type at baseline and follow-up.
- Data sources: National Center for Education Statistics (NCES) – Common Core of Data (CCD) Database (<http://nces.ed.gov/ccd/>)

Physical and Mental Health

12. OVERALL PHYSICAL HEALTH

- Definition: The percent of residents indicating that they consider their overall health to be excellent, very good, good, fair or poor by housing type at baseline and follow-up. This should also be reported across the following age groups, 18-44, 45-64 and 65+. The resident should also answer this question for the focal children chosen for the study.
- Data sources: Resident survey
- Survey questions: HOPE VI Panel Study – Wave Two Survey page 52, question H2.

13. CHRONIC ILLNESS

- Definition: The percent of residents indicating that they have asthma, high blood pressure, sugar diabetes, arthritis or that they are obese by age, gender and housing type at baseline and follow-up. This should be reported across the following age groups, 18-44, 45-64 and 65+. In addition, the percent of residents with 3 or more problems, 2 problems or 1 problem should be reported. Obesity should be assessed through two questions (survey items H10 and H11) that calculate BMI for the

respondent. The resident should also answer these questions for the focal children chosen for the study.

- Data sources: Resident survey
- Survey questions: HOPE VI Panel Study – Wave Two Survey pages 52-54, questions H4, H5, H6, H7, H8, H9, H10, and H11.

14. MENTAL HEALTH

- Definition: The percent of residents that have experienced a major depressive episode in the past year as defined by the Composite International Diagnostic Interview instrument (CIDI-12) by age, gender and housing type at baseline and follow-up. If a resident responds that they experienced three or more of the items in the index, their score indicates a major depressive episode.
- Data sources: Resident survey
- Survey questions: HOPE VI Panel Study – Wave Two Survey pages 58-59, questions H23, H24, H25, H26, H27, H28, H29, and H30.

Employment, Income and Household Stability

15. EMPLOYMENT

- Definition: The percent of residents who are currently employed (income from wages) by housing type at baseline and follow-up.
- Data sources: HUD MTCS / PIC data; PHA Administrative data

16. EMPLOYMENT RETENTION

- Definition: The percent of residents that have maintained employment for less than three months, three months to just under six months, six months to just under one year, one year to just under three years, or three years or more by housing type at baseline and follow-up.
- Data sources: HOPE VI Panel Study – Wave Two Survey page 40, question E5

17. HOUSEHOLD INCOME:

- Definition: The median household income per year for HOPE VI households by housing type at baseline and follow-up. The percent of residents earning income (including benefits) across each income source should also be reported (e.g., wages, SSI etc.).
- Data sources: HUD MTCS / PIC data; PHA Administrative data

18. MATERIAL HARDSHIP

- Definition: The percent of households experiencing material hardship during the past 12 months, including: shortages of food, difficulty paying utility bills, difficulty paying telephone bill, difficulty paying rent or difficulty paying mortgage by housing type at baseline and follow-up.
- Data sources: HOPE VI Panel Study – Wave Two Survey pages 46-50, questions E27, E28, E29, E30, E31, E32, E33, E34, E35, E36, E37, E38, and E39.

19. BARRIERS TO EMPLOYMENT

- Definition: The percent of respondents experiencing the following short or long-term barriers to employment by housing type at baseline and follow-up: not having work experience, not having child care, lack of transportation, not speaking English well, having a disability, discrimination, lack of jobs in the neighborhood, having a drug or alcohol problem, and having a criminal record. Future waves of the HOPE VI Panel Study will also explore the extent that health problems are a barrier to employment. When this question is finalized it will be shared with evaluators for inclusion in the barriers to employment assessment.
- Data sources: HOPE VI Panel Study – Wave Two Survey page 43, question E18.

20. HOUSEHOLD STABILITY

- Definition: The percent of households rated as high risk, medium risk, low risk or quarterly monitoring at baseline and follow-up by housing type as defined by the HUD HOPE VI CSS Caseload Triage and Stability Index. This index is included in Appendix E. HUD is asking each housing authority to integrate this caseload triage and stability index into their CSS programs. The percent of residents reporting each of the stability indicators should also be tracked by housing type at baseline and follow-up.
- Data sources: PHA administrative data
- Study: Not used in previous national studies.

Children

21. BEHAVIOR PROBLEMS

- Definition: The percent of residents who indicate that the focal children (ages 6-14) chosen for the study experienced each of the following behavior problems in the last three months by housing type at baseline and follow-up: trouble getting along with teachers, disobedient in school, disobedient at home, hangs around with kids that get in trouble, bullies or is cruel and mean to others, is restless or overly active, and is unhappy, sad or depressed. Provide demographic information for these children including: age, whether they are enrolled in school, and their current grade level. A method for selecting these children is described in Appendix B (HOPE VI Panel Study: Baseline Report – Methodology – Page A-3).
- Data sources: HOPE VI Panel Study – Wave Two Survey page 64, question C3.

CSS

22. UTILIZATION RATES FOR COMMUNITY AND SUPPORTIVE SERVICES

- Definition: The percent of residents that used CSS programs by housing type at baseline and follow-up across each of the following service areas: employment preparation / placement / retention services, job skill training services, high school equivalency / GED services, transportation services, counseling services, substance abuse services, homeownership counseling services, ESL services, parenting services, household management services and case management services. The survey questions suggested for this indicator do not include all of the elements listed above.

The elements that are not represented should be added to the series of questions by the evaluator. Evaluators can also use PHA administrative records, including case management records and tracking systems, on service utilization as a data source.

- Data sources: Resident survey; PHA administrative data,
- Survey question: Interim Assessment of the HOPE VI Program – Resident Survey, pages 11-12, question 23.

23. SATISFACTION WITH COMMUNITY AND SUPPORTIVE SERVICES

- Definition: For each resident that uses or used one of the service categories in indicator (23) above, report the percent that are very satisfied, somewhat satisfied, somewhat dissatisfied, or very dissatisfied with these services by housing type at baseline and follow-up.
- Data sources: Resident survey
- Survey questions: Interim Assessment of the HOPE VI Program – Resident Survey, pages 11-12, question 23

Impacts on the neighborhood

Employment and Income

24. MINORITY CONCENTRATION

- Definition: The percent of minority residents in the HOPE VI neighborhood across racial / ethnic groups at baseline and follow-up.
- Data sources: US Census

25. EDUCATION

- Definition: The percent of residents in the HOPE VI neighborhood having a college degree, some college education, a high school diploma / GED or less than a high school diploma at baseline and follow-up.
- Data sources: US Census

26. INCOME AND POVERTY RATE

- Definition: The median household income in the HOPE VI neighborhood at baseline and follow-up. This figure should also be compared to the overall median household income for the city at baseline and follow-up. The city neighborhood comparison should include the percent change from baseline to follow-up for the city versus the HOPE VI neighborhood. Evaluators should also report the AMI for the city and the percent of residents in the HOPE VI neighborhood across the following AMI income groups: 0-30% of AMI, 31-60% of AMI, 61-80% of AMI and >80% of AMI. Evaluators should also report the poverty rate for the HOPE VI neighborhood at baseline and follow-up.
- Data sources: US Census

27. EMPLOYMENT

- Definition: The percent of residents who are employed and have income from wages in the HOPE VI neighborhood at baseline and follow-up. This figure should also be

compared to the overall employment rate for the city at baseline and follow-up. The city neighborhood comparison should include the percent change from baseline to follow-up for the city versus the HOPE VI neighborhood.

- Data sources: US Census

Housing Market Conditions

28. ASSESSED HOUSING VALUES

- Definition: The median assessed housing value in the HOPE VI neighborhood at baseline and follow-up. This figure should also be compared to the median of assessed housing values for the city at baseline and follow-up. This city versus neighborhood comparison should include the percent change from baseline to follow-up for the city versus the HOPE VI neighborhood.
- Data sources: US Census

29. HOUSING VACANCY RATES

- Definition: Housing vacancy rates in the HOPE VI neighborhood at baseline and follow-up. This figure should also be compared to the overall vacancy rate for the city at baseline and follow-up. The city versus neighborhood comparison should include the percent change from baseline to follow-up for the city versus the HOPE VI neighborhood.
- Data sources: US Census

30. TOTAL HOUSING UNITS

- Definition: Total housing units in the HOPE VI neighborhood at baseline and follow-up.
- Data sources: US Census

31. GROSS RENT

- Definition: Median gross rent in the HOPE VI neighborhood at baseline and follow-up. This figure should also be compared to the overall median gross rent for the city at baseline and follow-up. The city versus neighborhood comparison should include the percent change from baseline to follow-up for the city versus the HOPE VI neighborhood.
- Data sources: US Census

32. OWNER OCCUPIED HOUSING UNITS

- Definition: Percent of owner occupied homes in the HOPE VI neighborhood at baseline and follow-up. This figure should also be compared to the overall owner occupied rate for the city at baseline and follow-up. The city versus neighborhood comparison should include the percent change from baseline to follow-up for the city versus the HOPE VI neighborhood.
- Data sources: US Census

33. HOME PURCHASE LOANS / MORTGAGES

- Definition: Number of conventional home purchase loans, FHA / VA (government-backed) home purchase loans, home improvement / home equity loans, and multi-family loans and the median value of these loans in the HOPE VI neighborhood at baseline and follow-up. The median home value across these loan types should be compared to the median home value across the same HMDA categories for the city at baseline and follow-up. The city versus neighborhood comparison should include the percent change from baseline to follow-up for the city versus the HOPE VI neighborhood.
- Data sources: Federal Financial Institutions Examination Council – Home Mortgage Disclosure Act (HMDA) Data

Quality of Life Issues

34. CRIME RATE

- Definition: Crime rates for Part I crimes in the HOPE VI neighborhood at baseline and follow-up. The crime rate for Part I crimes in the HOPE VI neighborhood should be compared to the crime rate for Part I crimes in the city at baseline and follow-up. This analysis should also include a comparison of the percent change in these rates for the HOPE VI neighborhood versus the city.
- Data sources: City Police department records and crime data

35. TAX DOLLARS

- Definition: Total tax dollars generated from income, property and employers / businesses in the HOPE VI neighborhood at baseline and follow-up. The percent change in tax revenue from baseline to follow-up should also be described. This figure should be compared to the percent change from baseline to follow-up in citywide tax revenue versus the HOPE VI neighborhood.
- Data sources: Municipal tax records aggregated to the neighborhood and city level.

36. CODE VIOLATIONS

- Definition: The number of properties in the HOPE VI neighborhood with code violations at baseline and follow-up. The number of code violations for the HOPE VI neighborhood should be compared to the median across other neighborhoods in the city at baseline and follow-up. This analysis should also include a comparison of the percent change in these rates for the HOPE VI neighborhood versus the city.
- Data sources: City building inspection department records and data.

Impacts on the HOPE VI development

Resident Characteristics

37. MINORITY CONCENTRATION

- Definition: Race and ethnicity of residents living in units at the HOPE VI development by housing type at baseline and follow-up.
- Data sources: PHA administrative data, HUD MTCS / PIC data

38. EDUCATION

- Definition: The percent of residents living in units at the HOPE VI development that have a college degree, some college education, a high school diploma / GED or less than a high school diploma by housing type at baseline and follow-up.
- Data sources: PHA administrative data

39. INCOME AND POVERTY RATE

- Definition: Median household income and sources of income among residents living in units at the HOPE VI development by housing type at baseline and follow-up. The percent of residents earning income (including benefits) across each income source should also be reported (e.g., wages, SSI etc.). Evaluators should report the AMI for the city and the percent of residents in the HOPE VI neighborhood across the following AMI income groups: 0-30% of AMI, 31-60% of AMI, 61-80% of AMI and >80% of AMI. Evaluators should also report the poverty rate for the HOPE VI development at baseline and follow-up.
- Data sources: PHA administrative data, HUD MTCS / PIC data

40. EMPLOYMENT

- Definition: The percent of residents living in units at the HOPE VI development who are employed by housing type at baseline and follow-up.
- Data sources: PHA administrative data, HUD MTCS / PIC data

Housing Characteristics

41. HOUSING CONDITIONS

- Definition: The percent of residents living in units at the HOPE VI development who report problems with water leaks, peeling paint or plaster, a cold unit / heating system that doesn't work, mold, nonfunctioning toilet and plumbing that doesn't work, cockroaches, rats, radiators without covers in their current unit, broken locks or no locks, broken windows or windows without screens, a stove or refrigerator that doesn't work, too little living space, adequacy of storage space and too much noise by housing type at baseline and follow-up. This should also be reported as the percent of residents citing two or more of these problems.
- Data sources: Resident survey
- Survey questions: HOPE VI Panel Study –Wave Two Survey pages 6-7, questions Q6, Q7, Q8, Q9, Q10, Q11, Q12 and Q13; Interim Assessment of the HOPE VI Program – Resident Survey page 2, question 3.

42. HOUSING SATISFACTION

- Definition: The percent of residents living in units at the HOPE VI development who indicate that they are very satisfied, somewhat satisfied, somewhat dissatisfied or very dissatisfied with their unit by housing type at baseline and follow-up.
- Data sources: Resident survey
- Survey question: HOPE VI Panel Study – Wave Two Survey page 5, question Q3.

Neighborhood Characteristics

43. CRIME RATE AT THE DEVELOPMENT

- Definition: Crime rate for Part I crimes at the HOPE VI development at baseline and follow-up. The crime rate for Part I crimes in the HOPE VI development should be compared to the crime rate for the city and other public housing developments at baseline and follow-up. This analysis should also include a comparison of the percent change in these rates for the HOPE VI development versus other public housing developments and the city overall.
- Data sources: City Police department records and crime data

44. SOCIAL DISORDER AND VIOLENCE AT THE DEVELOPMENT

- Definition: The percent of residents living in units at the HOPE VI development who report problems with unemployment, groups of people just hanging out, the number of teenage mothers, lack of public transportation, quality of schools, graffiti, trash and junk in parking lots, streets, lawns and sidewalks, people using drugs, people selling drugs, gangs, police not coming when called, shootings and violence, people being attacked and robbed, and rape or other sexual assaults in their current neighborhood by housing type at baseline and follow-up. The percent change between baseline and follow-up should also be reported for original residents that reoccupy the site.
- Data sources: Resident survey
- Survey questions: HOPE VI Panel Study – Wave Two Survey pages 25-28, questions N15 (a-g) and N16 (a-g)

45. SAFETY AT THE DEVELOPMENT

- Definition: The percent of residents living in units at the HOPE VI development who indicate that they feel very safe, somewhat safe, somewhat unsafe or very unsafe when they are outside their home at night in their current unit and the HOPE VI development by housing type at baseline and follow-up. The percent change between baseline and follow-up should also be reported for original residents that reoccupy the site.
- Data sources: Resident survey
- Survey questions: HOPE VI Panel Study – Wave Two Survey page 30, question N20.

46. SATISFACTION WITH AMENITIES AT OR NEAR THE DEVELOPMENT

- Definition: The percent of residents living in units at the HOPE VI development that describe neighborhood amenities and quality of life factors as excellent, good, fair or poor by housing type at baseline and follow-up. This is not a composite measure and each of the following nine amenities and quality of life factors should be rated separately: access to good schools, access to public transportation, access to grocery stores, access to health care services, access to child care, access to parks and recreational facilities, being close to friends and relatives, being close to job opportunities, being close to job training / job placement programs and police response time.
- Data sources: Resident survey

- Survey questions: Interim Assessment of the HOPE VI Program – Resident Survey – page 6, question 14.

47. NEIGHBORHOOD QUALITY (BEFORE AND AFTER REVITALIZATION– ORIGINAL RESIDENTS)

- Definition: Identify original residents who reoccupied the revitalized HOPE VI development. Report the percent of these residents that feel the HOPE VI neighborhood (defined as the Census tract or tracts in which the HOPE VI development is located and all adjacent Census tracts) is a much better place to live, a somewhat better place to live, a neither better nor worse place to live, a somewhat worse place to live, and a much worse place to live by housing type at baseline and follow-up.
- Data sources: Resident survey
- Survey questions: Interim Assessment of the HOPE VI Program – Resident Survey – page 8, question 19c

48. NEIGHBORHOOD SAFETY (BEFORE AND AFTER REVITALIZATION– ORIGINAL RESIDENTS)

- Definition: Identify original residents who reoccupied the revitalized HOPE VI development. Report the percent of these residents that feel the HOPE VI neighborhood (defined as the Census tract or tracts in which the HOPE VI development is located and all adjacent Census tracts) is much safer, somewhat safer, neither safer nor less safe, somewhat less safe, much less safe by housing type at baseline and follow-up.
- Data sources: Resident survey
- Survey questions: Interim Assessment of the HOPE VI Program – Resident Survey – page 8, question 19b

CSS

49. UTILIZATION RATES FOR ON-SITE CSS PROGRAMS AT THE DEVELOPMENT AFTER REVITALIZATION

- Definition: The percent of residents that used the following types of CSS programs after revitalization and occupancy of the new HOPE VI development by housing type at baseline and follow-up: employment preparation / placement / retention services, job skill training services, high school equivalency / GED services, transportation services, counseling services, substance abuse services, homeownership counseling services, ESL services, parenting services, household management services and case management services. These percentages should be reported at baseline and follow-up for each service category. The survey questions suggested for this indicator do not include all of the elements listed above. The elements that are not represented should be added to the series of questions by the evaluator. Evaluators can also use PHA administrative records, including case management records and tracking systems, on service utilization as a data source.
- Data sources: Resident survey; PHA administrative data,

- Survey question: Interim Assessment of the HOPE VI Program – Resident Survey, pages 11-12, question 23.

50. SATISFACTION WITH ON-SITE CSS AT THE DEVELOPMENT AFTER REVITALIZATION

- Definition: For each resident that uses or used one of the service categories in indicator (23) above, determine if they are very satisfied, somewhat satisfied, somewhat dissatisfied, or very dissatisfied with these services. Report the percent of residents who are very satisfied, somewhat satisfied, somewhat dissatisfied, or very dissatisfied with each service by housing type at baseline and follow-up.
- Data sources: Resident survey
- Survey questions: Interim Assessment of the HOPE VI Program – Resident Survey, pages 11-12, question 23

APPENDIX B

HOPE VI NATIONAL STUDIES METHODOLOGIES

Methodology sections from selected HOPE VI national studies are only available online or in hardcopy in this version of the *HOPE VI Evaluation Instructions and Resources*. Hardcopies of these methodology sections will be sent to 2003 HOPE VI grantees and their evaluation partners. Hardcopies are also available to earlier HOPE VI grantees, their evaluation partners or other researchers on request.

Methodology sections from the following studies are included in the hardcopy version of the instructions:

- Zielenbach, Sean. 2002. *The Economic Impacts of HOPE VI on Neighborhoods*. Washington, DC: Housing Research Foundation. <http://www.housingresearch.org>
- U.S. General Accounting Office. 2003. *Public Housing: HOPE VI Resident Issues and Changes in Neighborhoods Surrounding Grant Sites*. Washington DC: U.S. General Accounting Office. <http://www.gao.gov/>
- Holin, Mary Joel, Larry Buron, Gretchen Locke, Alvaro Cortes. 2003. *Interim Assessment of the HOPE VI Program Cross-Site Report*. Prepared for the U.S. Department of Housing and Urban Development. Bethesda, MD: Abt Associates.
- Abt Associates. 2003. *Exploring the Impacts of the HOPE VI Program on Surrounding Neighborhoods*. Prepared for the U.S. Department of Housing and Urban Development. Bethesda, MD: Abt Associates.

APPENDIX C

INTERIM ASSESSMENT OF THE HOPE VI
PROGRAM

RESIDENT SURVEY



OMB No. 2528-0201
Expires 9/30/2002
ID# _____

**HOPE VI Interim Assessment
HOPE VI Resident Survey**

**Abt Associates Inc.
July/August 2001**

TIME INTERVIEW STARTED (24-hour clock): _____ : _____

166-169/

Satisfaction with Apartment

1. What was the most important reason for your **moving here**? (CIRCLE ONE)

- | | | |
|---|--------|--------|
| To be near your family or friends, | 1 | 15-16/ |
| Availability of rent subsidy or lower rent, | 3 | |
| Availability of on-site support services, | 4 | |
| More convenient location, | 5 | |
| Safer than your previous house or apartment,..... | 6 | |
| Better or larger apartment, | 7 | |
| No choice; no where else to go, | 8 | |
| This is what the PHA gave you,..... | 2 | |
| Some other reason (SPECIFY) _____ | 17-18/ | 9 |
| REFUSED..... | 97 | |
| DON'T KNOW..... | 98 | |

2. On the whole, how satisfied are you with **your (apartment/house)** as a place to live? Are you . .

- | | | |
|---------------------------------|---|-----|
| Very satisfied, | 1 | 19/ |
| Somewhat satisfied, | 2 | |
| Somewhat dissatisfied, or | 3 | |
| Very dissatisfied?..... | 4 | |
| REFUSED..... | 7 | |
| DON'T KNOW..... | 8 | |

3. Thinking about your (*apartment/house*), tell me whether you think the following things are a big problem, some problem, or not a problem at all.

| | <u>Big problem.</u> | <u>Some problem, or</u> | <u>Not a problem at all?</u> | <u>REF</u> | <u>DK</u> | |
|---|-------------------------|-----------------------------|--------------------------------------|------------|-----------|-----|
| Walls with peeling paint or broken plaster? Is that a | 1 | 2 | 3 | 7 | 8 | 20/ |
| Plumbing that doesn't work | 1 | 2 | 3 | 7 | 8 | 21/ |
| Rats or mice | 1 | 2 | 3 | 7 | 8 | 22/ |
| Broken locks or no locks on the door to your unit..... | 1 | 2 | 3 | 7 | 8 | 23/ |
| Broken windows or windows without screens | 1 | 2 | 3 | 7 | 8 | 24/ |
| A heating system that doesn't work | 1 | 2 | 3 | 7 | 8 | 25/ |
| A stove or refrigerator that doesn't work | 1 | 2 | 3 | 7 | 8 | 26/ |
| Too little living space..... | 1 | 2 | 3 | 7 | 8 | 27/ |
| Adequacy of storage space..... | 1 | 2 | 3 | 7 | 8 | 28/ |
| Too much noise..... | 1 | 2 | 3 | 7 | 8 | 29/ |

4. Overall, how satisfied are you with *the maintenance of your (apartment/house)* since you moved in? Are you..

| | | |
|---------------------------------|---|-----|
| Very satisfied, | 1 | 30/ |
| Somewhat satisfied, | 2 | |
| Somewhat dissatisfied, or | 3 | |
| Very dissatisfied?..... | 4 | |
| REFUSED..... | 7 | |
| DON'T KNOW..... | 8 | |

5. Overall, how satisfied are you with the way *this development* is managed and maintained?

- Very satisfied, 1
- Somewhat satisfied, 2
- Somewhat dissatisfied, or 3
- Very dissatisfied? 4
- REFUSED..... 7
- DON'T KNOW..... 8

31/

Satisfaction with Neighborhood

6. Now I'd like to ask you about the area *you consider to be your neighborhood*. When you think about your neighborhood, are you thinking about . . .

- This building only 1
- This building and the block or street you live on, 2
- The block you live on and several blocks in each direction, 3
- This development only, or 4
- This development and several blocks around it 5
- Something else (SPECIFY):
..... 33-34/..... 6
- REFUSED..... 7
- DON'T KNOW..... 8

32/

7. Do you regularly socialize with anyone who lives outside (HOPE VI DEVELOPMENT), but within several blocks or less than half a mile from where you live?

- YES..... 1
- NO 2
- REFUSED..... 7
- DON'T KNOW..... 8

35/

8. Right now, how satisfied are you with **your neighborhood**, (that is (R's ANSWER TO Q.6) as a place to live?) Are you...

- Very satisfied, 1 36/
- Somewhat satisfied, 2
- Somewhat dissatisfied, or 3
- Very dissatisfied?..... 4
- REFUSED..... 7
- DON'T KNOW..... 8

9. Please tell me how much you agree or disagree with the following statements about **this neighborhood**.

| | Strongly <u>agree.</u> | Somewhat <u>agree.</u> | Neither <u>disagree.</u> | Somewhat <u>disagree.</u> | Strongly <u>disagree?</u> | REF | DK | |
|--|---------------------------|---------------------------|-----------------------------|------------------------------|------------------------------|-----|----|-----|
| People around here are willing to help their neighbors. Do you . . . | 1 | 2 | 3 | 4 | 5 | 7 | 8 | 37/ |
| This is a close-knit neighborhood | 1 | 2 | 3 | 4 | 5 | 7 | 8 | 38/ |
| People in this neighborhood generally get along with each other | 1 | 2 | 3 | 4 | 5 | 7 | 8 | 39/ |

10. How safe do you feel **being alone at night** inside your (apartment/house)? Do you feel . . .

- Very safe, 1 40/
- Somewhat safe, 2
- Somewhat unsafe, or 3
- Very unsafe?..... 4
- REFUSED..... 7
- DON'T KNOW..... 8

11. How safe do you feel *being alone at night* in the area right outside your (building/house)—that is, in the parking lots, the lawns, the street or sidewalks *right outside your (building/house)*? Do you feel . . .

- Very safe, 1 41/
- Somewhat safe, 2
- Somewhat unsafe, or 3
- Very unsafe?..... 4
- REFUSED 7
- DON'T KNOW 8

12. How often do you attend resident meetings in your development?

- Always..... 1 42/
- Sometimes, or..... 2
- Never 3
- NO RESIDENT MEETINGS..... 4
- REFUSED 7

13. How would you rate this neighborhood as a place to raise children? Is it . . .

- An excellent place, 1 43/
- A good place, 2
- A fair place, or 3
- A poor place? 4
- DON'T KNOW 8

14. Please tell me how you would rate your current neighborhood in the following areas. Would you say it is excellent, good, fair, or poor in terms of . . .

| | <u>Excellent</u> | <u>Good</u> | <u>Fair</u> | <u>Poor</u> | <u>REF</u> | <u>DK</u> | |
|---|------------------|-------------|-------------|-------------|------------|-----------|-----|
| Having access to good schools | 1 | 2 | 3 | 4 | 7 | 8 | 44/ |
| Having access to public transportation | 1 | 2 | 3 | 4 | 7 | 8 | 45/ |
| Having access to grocery stores | 1 | 2 | 3 | 4 | 7 | 8 | 46/ |
| Having access to health care services | 1 | 2 | 3 | 4 | 7 | 8 | 47/ |
| Having access to child care | 1 | 2 | 3 | 4 | 7 | 8 | 48/ |
| Having access to parks and recreational facilities | 1 | 2 | 3 | 4 | 7 | 8 | 49/ |
| Being close to friends and relatives | 1 | 2 | 3 | 4 | 7 | 8 | 50/ |
| Being close to job opportunities | 1 | 2 | 3 | 4 | 7 | 8 | 51/ |
| Being close to job training or job placement programs | 1 | 2 | 3 | 4 | 7 | 8 | 52/ |
| Police response time | 1 | 2 | 3 | 4 | 7 | 8 | 53/ |

15. Would you like to be living in this neighborhood five years from now?

| | | |
|------------------|---|-----|
| YES | 1 | 54/ |
| NO | 2 | |
| REFUSED | 7 | |
| DON'T KNOW | 8 | |

16a. Was your last unit where you lived immediately before your current address...

- In a private market rate unit for which you paid the rent..... 5 (IF YES, DO NOT READ OTHER RESPONSES)
- In a private unit with Section 8 assistance, 4
- In a public housing development, 2 55-56/
- In scattered site public housing, 3
- Other? (SPECIFY) _____ 57-58/ 7
- REFUSED..... 97 59-61/B

17. Where did you live just before you moved to your current address? Did you live . . .

- In this neighborhood, 1 62/
- Outside this neighborhood but in this city, 2
- In a different city in this state, 3
- In a different state 4
- Other? (SPECIFY)
_____ 63-64/ 6
- REFUSED..... 7

18. Did you live at (HOPE VI DEVELOPMENT) just before the redevelopment started? (that is, when it was still called (OLD HOPE VI NAME)?)

- YES..... 1 65/
- NO..... 2 (SKIP TO Q.20)
- REFUSED..... 7 (SKIP TO Q.20)
- DON'T KNOW..... 8 (SKIP TO Q.20)

19a. I'd like you to think about what the neighborhood was like before the redevelopment began. How would you say your neighborhood looks **now** as compared to what it looked like **before the redevelopment**? Would you say your neighborhood looks. . .

- Much better now, 1
- Somewhat better now, 2
- Neither better nor worse now, 3
- Somewhat worse now, or 4
- Much worse now? 5
- REFUSED 7
- DON'T KNOW 8

66/

19b. Comparing what your neighborhood was like **before the redevelopment**, how would you describe how safe your neighborhood is **now**? Would you say your neighborhood is. . .

- Much safer now, 1
- Somewhat safer now, 2
- Neither safer nor less safe now, 3
- Somewhat less safe now, or 4
- Much less safe now? 5
- REFUSED 7
- DON'T KNOW 8

67/

19c. How would you describe your neighborhood as a whole **now** compared to what it was **before**? Would you say it's....

- A much better place now, 1
- A somewhat better place now, 2
- Neither a better nor a worse place now, 3
- A somewhat worse place now, or 4
- A much worse place now? 5
- REFUSED 7
- DON'T KNOW 8

68/

20. Next, I'd like to ask you a standard set of questions that are often asked about safety in urban neighborhoods. Please tell me about the area **right outside your (building/house)**-- the parking lots, the lawns, the street or sidewalks right outside your (building/house).. Please tell me whether you think the following things are **currently** a big problem, some problem, or no problem right outside your (building/house).

| | <u>Big problem.</u> | <u>Some problem, or</u> | <u>No problem?</u> | <u>REF</u> | <u>DK</u> | |
|--|-------------------------|-----------------------------|------------------------|------------|-----------|-----|
| Trash and junk in the parking lots and lawns. Is that a..... | 1 | 2 | 3 | 7 | 8 | 75/ |
| Graffiti, that is, writing or painting on the walls? | 1 | 2 | 3 | 7 | 8 | 73/ |
| Groups of people just hanging out? | 1 | 2 | 3 | 7 | 8 | 72/ |
| People selling drugs? | 1 | 2 | 3 | 7 | 8 | 70/ |
| People using drugs? | 1 | 2 | 3 | 7 | 8 | 71/ |
| People being attacked or robbed right outside your building? | 1 | 2 | 3 | 7 | 8 | 69/ |
| Shootings and violence? | 1 | 2 | 3 | 7 | 8 | 74/ |
| Are there other issues about your townhouse/apartment or development that you'd like to comment on? SPECIFY: | | | | | | |
| 1. _____ ^{76-77/} | | | | | | |
| Is that a..... | 1 | 2 | 3 | 7 | 8 | 78/ |
| 2. _____ ^{79-80/} | | | | | | |
| Is that a..... | 1 | 2 | 3 | 7 | 8 | 81/ |
| 3. _____ ^{82-83/} | | | | | | |
| Is that a..... | 1 | 2 | 3 | 7 | 8 | 84/ |

21. Please tell me if any of the following things have happened to you or to anyone in your household at (HOPE VI DEVELOPMENT) in the past 12 months. In the past 12 months...

| | <u>YES</u> | <u>NO</u> | <u>REF</u> | <u>DK</u> | |
|--|------------|-----------|------------|-----------|-----|
| Was anyone in your household's wallet, purse, or jewelry snatched from them..... | 1 | 2 | 7 | 8 | 85/ |
| Did anyone try to break into your home to steal something | 1 | 2 | 7 | 8 | 88/ |
| Was anyone beaten or assaulted | 1 | 2 | 7 | 8 | 86/ |
| Was anyone stabbed or shot..... | 1 | 2 | 7 | 8 | 87/ |

Supportive Services

22. Are there any supportive services available to you at (HOPE VI DEVELOPMENT), such as adult education classes, day care, youth programs, or household management programs?

| | | |
|-----------------|------------------|-----|
| YES..... | 1 | 89/ |
| NO..... | 2 (SKIP TO Q.24) | |
| DON'T KNOW..... | 8 (SKIP TO Q.24) | |

| | | | | | | | | | | | | | |
|--|---|---|---|---|--|---|---|---|---|---|---|---|------|
| Parenting programs 94/ | 1 | 2 | 7 | 8 | | 1 | 2 | 3 | 4 | 5 | 7 | 8 | 95/ |
| General counseling about the types of support services you may need 96/ | 1 | 2 | 7 | 8 | | 1 | 2 | 3 | 4 | 5 | 7 | 8 | 97/ |
| A drug or alcohol prevention or treatment program 98/ | 1 | 2 | 7 | 8 | | 1 | 2 | 3 | 4 | 5 | 7 | 8 | 99/ |
| Child care services or the Head Start program 100/ | 1 | 2 | 7 | 8 | | 1 | 2 | 3 | 4 | 5 | 7 | 8 | 101/ |
| Sports, youth or after school programs. 102/ | 1 | 2 | 7 | 8 | | 1 | 2 | 3 | 4 | 5 | 7 | 8 | 103/ |

Rent and Assistance Information on Current Unit

CA: GO TO Q27

24. Do you own or rent your (apartment/house)?

OWN 1 (SKIP TO Q.27)

104/

RENT..... 2

CT: GO TO Q26a

25. Are you in a home ownership program to buy your unit?

- YES 1
- NO 2
- REFUSED 7
- DON'T KNOW 8

105/

26a. Do you live in a public housing unit?

- YES 1 (SKIP TO Q.27)
- NO 2
- REFUSED 7 (SKIP TO Q.27)
- DON'T KNOW 8

106/

26b. Do you receive any rental assistance

- YES X
- NO 4 (SKIP TO Q27)
- DON'T KNOW 8

107/

IF YES: Do you...

- Receive Section 8, 1
- Pay a lower rent because the federal, state, or local
government pays part of the cost, or..... 2
- Receive some other type of housing
assistance (SPECIFY)
_____108-109/ 3
- DON'T KNOW 8

Household Information

27. Finally, I'd just like to ask you a few questions about yourself and your household.

In what year were you born? _____

110-113/

28. How would you describe your ethnicity?

- Hispanic or Latino 1
- Not Hispanic or Latino 2
- REFUSED 7

114/

29. How would you describe your race? You may choose more than one.

- White 1
- Black or African American 2
- American Indian or Alaska Native 3
- Asian 4
- Native Hawaiian or Pacific Islander 5
- REFUSED 7

115/

116/

117/

118/

119/

30. How many people currently live in your (apartment/house), including yourself?

_____ people (If "1" SKIP TO Q.32a)

120-121/

31. Including yourself, how many household members are adults and how many are children under the age of 18?

_____ adults

122-123/

_____ children

124-125/

32a. Do you currently work for pay?

- YES 1
- NO 2
- REFUSED 7

126/

(IF ANSWER TO Q.30 IS "1," SKIP TO Q.33)

32b. Does anyone else living in this unit currently work for pay?

| | | |
|---------------|---|------|
| YES | 1 | 127/ |
| NO | 2 | |
| REFUSED | 7 | |

33. Are you currently enrolled in school or a vocational training program?

| | | |
|---------------|---|------|
| YES | 1 | 128/ |
| NO | 2 | |
| REFUSED | 7 | |

34. What is your *estimated* total household income before taxes or any deductions? You can give me an *approximation* on a monthly or annual basis. Please include all members of your household.

\$.00 per month or \$.00 per year

129-133/

134-139/

(SKIP to Q. 36 IF INCOME IS MORE THAN \$100,000 PER YEAR OR \$8,300 PER MONTH)

| | | |
|------------------|---------------|------|
| DON'T KNOW | 8 (ASK Q.34a) | 140/ |
| REFUSED | 7 (ASK Q.34a) | |

34a. **ASK ONLY IF Q34= DON'T KNOW OR REFUSED**

Will your total household income this year be *more* than. . . **(CONTINUE UNTIL YOU HEAR "NO")**

| | YES..... | NO | |
|-----------------|----------|----|------|
| \$5,0001 | 2 | | 141/ |
| \$10,000 | 1 | 2 | 142/ |
| \$15,000 | 1 | 2 | 143/ |
| \$20,000 | 1 | 2 | 144/ |
| \$30,000 | 1 | 2 | 145/ |
| \$40,000 | 1 | 2 | 146/ |
| \$50,000 | 1 | 2 | 147/ |
| \$75,000 | 1 | 2 | 148/ |
| \$100,000?..... | 1 | 2 | 149/ |
| REFUSED..... | 7 | | |
| DON'T KNOW..... | 8 | | |

IF INCOME IS MORE THAN \$100,000 PER YEAR OR \$8,300 PER MONTH SKIP TO Q36

35. Do you or does anyone in your household currently receive any income from any of the following sources:

| | YES | NO | REF | DK | |
|---|-----|----|-----|----|------|
| Supplemental security income or SSI? | 1 | 2 | 7 | 8 | 150/ |
| Other disability pay like SSDI (Social Security Disability Income), a veteran’s disability benefit, or workers compensation for a work-related injury?..... | 1 | 2 | 7 | 8 | 151/ |
| Food stamps or money for food on a benefits card?..... | 1 | 2 | 7 | 8 | 152/ |
| Cash from public aid including AFDC or TANF (Aid to Families with Dependent Children or Temporary Assistance to Needy Families)? | 1 | 2 | 7 | 8 | 153/ |

36. What is the highest grade or year of school you have completed?

| | | |
|--|----|----------|
| None..... | 1 | 154-155/ |
| Some Grade School (1-8) | 2 | |
| Grade School (1-8)..... | 3 | |
| Some High School | 4 | |
| High School/GED | 5 | |
| Some College or Trade School | 6 | |
| Completed College or Trade School..... | 7 | |
| Some Graduate School..... | 8 | |
| Graduate or Professional Degree | 9 | |
| REFUSED..... | 97 | |
| DON’T KNOW..... | 98 | |

37. Which of the following best describes your current *marital* status? You may choose all that apply to you.

- Single, not living with a partner 1 156/
- Single, living with a partner 2 157/
- Married 3 158/
- Divorced or separated 4 159/
- Widowed 5 160/
- REFUSED 7 161/

38. My supervisor may want to contact you again to verify that I conducted this interview. May I have your name and a telephone number where you can be reached?

- NAME: _____
() _____
NO TELEPHONE 0
REFUSED 7

THANK YOU: Thank you for your time and assistance.

TIME INTERVIEW ENDED (24-hour clock): ____ : ____ 162-165/

39. RECORD GENDER OF RESPONDENT:

- MALE 1 170/
- FEMALE 2

40. INTERVIEWER _____ 171-172/

41. DATE INTERVIEW COMPLETED _____ 173-180/

42. COMPLETED

- IN PERSON 1 184/
- BY TELEPHONE 2

APPENDIX D

HOPE VI PANEL STUDY

WAVE TWO
RESIDENT SURVEY

HOPE VI Panel Study Wave Two Survey

May 29, 2003

Table of Contents

| | |
|--|-----------|
| HOUSING QUALITY | 4 |
| MOBILITY | 8 |
| RELOCATION & SERVICES..... | 15 |
| NEIGHBORHOOD | 20 |
| ROSTER INFORMATION | 34 |
| EMPLOYMENT & HARDSHIP | 38 |
| ADULT HEALTH..... | 50 |
| CHILDREN | 60 |
| FINAL SECTION | 79 |

Answers in ALL CAPS are not read. They are presented for the interviewer to code the respondent's answer into one of the categories.

SURVEY INTRODUCTION

We are conducting a follow-up study about the HOPE VI program. About two years ago, in 2001, we conducted a survey with you and other families who lived in [BASE DEVELOPMENT] and other developments affected by HOPE VI. HOPE VI is a program where housing authorities receive money to help them fix up or rebuild units in their developments. We are interested in what is happening in people’s lives as their housing developments start to be fixed up or rebuilt.

The survey is very similar to the one you completed for us last time, and includes questions about your apartment/house, your neighborhood, as well as some questions about you and your family.

Taking this survey has no effect on any housing subsidy you might be receiving. Any information you provide me will remain anonymous; your answers will never be linked with your name or anything that could identify you.

Your participation in this survey is voluntary, but very important to our work. Your participation will in no way affect any housing benefits you receive or may be applying for.

The interview takes about one hour. At the end of the interview, I will give you a \$25 money order to thank you for your time.

First, I would like to make sure that I am speaking to the right person.

What is your date of birth?

YES, RESPONDENT REPORTED DOB MATCHES THE ONE IN OUR RECORDS 1 CONTINUE

NO, RESPONDENT PROVIDES A DIFFERENT DOB, RECORD BELOW 2

____/____/____
Mm/dd/yyyy

INTERVIEWER: CAPI WILL SHOW THE DOB IN OUR RECORDS. INDICATE WHETHER OR NOT THE DOB PROVIDED BY THE RESPONDENT MATCHES [RESPONDENT DOB].

CAPI: IF WITHIN ONE YEAR OF [RESPONDENT DOB] CONTINUE. IF DIFFERENCE IS MORE THAN ONE YEAR, ASK:

Did you live at [DEVELOPMENT NAME] [BASE ADDRESS] in 2001?

YES 1 CONTINUE

NO 2 THANK RESPONDENT AND EXPLAIN THAT YOUR RECORDS HAVE DIFFERENT INFORMATION.

REF 7 THANK RESPONDENT AND EXPLAIN THAT YOUR RECORDS HAVE DIFFERENT INFORMATION.

DK 8 THANK RESPONDENT AND EXPLAIN THAT YOUR RECORDS HAVE DIFFERENT INFORMATION.

IF RESPONDENT DATA VERIFY:

Now I’d like to go over this form that says you agree to take part in the survey, and get your signature.

INTERVIEWER: GO OVER CONSENT FORM, AND OBTAIN SIGNATURE

Now I'd like to confirm your current address and phone number.

INTERVIEWER: RECORD CURRENT ADDRESS

Address: _____

| | | |
|--------------------------|-------|---------------|
| STREET ADDRESS | _____ | APT OR UNIT # |
| CITY | _____ | STATE |
| DEVELOPMENT/PROJECT NAME | _____ | ZIP |
| PHONE NUMBER | _____ | |

INTERVIEWER: DOES RESPONDENT LIVE IN AN APARTMENT OR HOUSE?

Apartment 1

House 2

CAPI: IF MOVER=1, GO TO Q1.

CAPI: IF MOVER =2 PROMPT:

INTERVIEWER: IS CURRENT ADDRESS THE SAME AS BASE ADDRESS?

| | | |
|---|--|-------------|
| [BASE ADDRESS] | | [BASE CITY] |
| YES <input type="checkbox"/> 1 | | |
| NO <input type="checkbox"/> 2 | | |
| REFUSED <input type="checkbox"/> 7 | | |
| DON'T KNOW <input type="checkbox"/> 8 | | |

CAPI: IF YES SET MOVER TO NO (2)
NO SET MOVER TO YES (1)

HOUSING QUALITY

I'm going to start off the survey with questions about where you live now.

Q1 I'd like to ask you some questions about your housing situation. Do you...

- Rent your own room, apartment, or home, 1 SKIP TO Q2
- Own your own home,..... 2 SKIP TO Q2
- Live with family or friends and pay part of the rent or mortgage, 3
- Live with family or friends and do not pay rent, 4
- Or live in a group shelter?..... 5 SKIP TO Q2
- HOMELESS 6 SKIP TO M13
- INCARCERATED..... 7 SKIP TO Q7
- DORM OR BARRACKS 8 SKIP TO Q2
- HOSPITAL/NURSING HOME/SPECIAL SCHOOL 9 SKIP TO Q2
- OTHER 95 SKIP TO Q2
- REFUSED..... 97 SKIP TO Q2
- DON'T KNOW..... 98 SKIP TO Q2

[B: ALL]

Q1a. What is your relationship to the head of the household in which you are living?

- RELATIVE..... 1
- SPOUSE/PARTNER/BOYFRIEND/GIRLFRIEND 2 SKIP TO Q1C
- FRIEND 3
- OTHER (SPECIFY _____)..... 95
- REFUSED..... 97
- DON'T KNOW 98

[B: Q1=3,4]

Q1b. What is the main reason you are living in someone else's housing unit?

- COULDN'T PAY RENT ON OWN UNIT 1
- LOST JOB OR ENDED JOB 2
- WAS DOING DRUGS 3
- LANDLORD MADE ME LEAVE..... 4
- DIDN'T GET ALONG WITH PEOPLE WHERE I LIVED BEFORE 5
- RESPONDENT OR A CHILD ABUSED/ VIOLENCE IN THE HOUSEHOLD 6
- CHANGE IN FAMILY STATUS 7
- MOVED IN WITH PARTNER/BOYFRIEND/ GIRLFRIEND..... 8
- RELEASED FROM JAIL/PRISON OR CRIMINAL RECORD 9
- OTHER (SPECIFY _____)..... 95
- REFUSED..... 97
- DON'T KNOW..... 98

[B: Q1a=1, 3, 95, 97, 98]

SKIP TO Q2

Q1c. Does your (spouse/partner/boyfriend/girlfriend) rent or own this (apartment/house)?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: Q1a=2]

Q2 How many years have you lived at your current address?

- NUMBER OF YEARS _____ 1-96 SKIP TO Q3
- LESS THAN ONE YEAR..... 0
- DON'T KNOW..... -1 SKIP TO Q3
- REFUSED..... -2 SKIP TO Q3

[B: Q1=1-5, 8, 9, 95-98]

Q2a. RECORD THE NUMBER OF MONTHS.

- NUMBER OF MONTHS _____ 01-11
- LESS THAN ONE MONTH..... 00
- DON'T KNOW..... -1
- REFUSED..... -2

Q3 [B: Q2=0]Overall, how satisfied are you with the (apartment/house) where you live now?

Would you say that you are:

- very satisfied, 1
- somewhat satisfied, 2
- somewhat dissatisfied, or 3
- very dissatisfied?..... 4
- NEITHER SATISFIED NOR DISSATISFIED..... 5
- REFUSED..... 7
- DON'T KNOW..... 8

[B: Q1=1-4, 8, 9, 95-98]

Q4 Overall, how would you describe the condition of your current (apartment/house)? Would you say it is in excellent, good, fair, or poor condition?

- EXCELLENT 1
- GOOD 2
- FAIR 3
- POOR..... 4
- REFUSED..... 97
- DON'T KNOW..... 98

[B: Q1=1-4, 8, 9, 95-98]

IF MOVER=2, SKIP TO Q6.

Q5 Think about the (apartment/house) where you lived at [BASELINE ADDRESS]. Would you say that this (apartment/house) is in better condition, worse condition, or about the same condition as that (apartment/house)?

- CURRENT HOUSE IS BETTER..... 1
- CURRENT HOUSE IS WORSE.....2
- ABOUT THE SAME.....3
- REFUSED.....7
- DON'T KNOW.....8

[B: MOVER=1 AND Q1=1-5, 8,9,95-98]

I am going to ask you some questions about the condition of your current (apartment/house).

Q6 During this past winter, was there any time when the house/apartment was so cold for 24 hours or more that it caused anyone in your household discomfort?

- YES..... 1
- NO.....2 SKIP TO Q7
- DID NOT LIVE HERE LAST WINTER.....3 SKIP TO Q7
- REFUSED.....7 SKIP TO Q7
- DON' TKNOW.....8 SKIP TO Q7

[B: Q1=1-4, 5, 8, 9, 95-98]

Q6a. Was that because the heating system broke down, you could not pay your utility bill, or some other reason?

- HEATING SYSTEM BROKE DOWN..... 1
- COULD NOT PAY UTILITY BILL.....2
- KEEP COSTS DOWN SOME OTHER REASON.....3
- SOME OTHER REASON.....4
- REFUSED.....7
- DON'T KNOW.....8

[B: Q6=1]

CAPI IF Q2A=0, 1, OR 2, DTS 'while you have been living here' INSTEAD OF 'in the past 3 months.'

Q7 In the last 3 months, was there any time when all the toilets in the home were not working?

- YES..... 1
- NO.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: Q1=1-4, 5, 7, 8, 9, 95-98]

Q8. Have there been water leaks in the house/apartment (in the last 3 months/while you have been living here)?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: Q1=1-5, 7-9, 95-98]

Q9. Does the house/apartment have any area of peeling paint or broken plaster bigger than 8 inches by 11 inches (the size of a standard letter)?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: Q1=1-5, 7-9, 95-98]

Q10. Does your house/apartment have an exposed radiator without a cover?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: Q1=1-5, 7-9, 95-98]

Q11. Is your house/apartment infested with cockroaches?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: Q1=1-5, 7-9, 95-98]

Q12. Is your house/apartment infested with rats or mice?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: Q1=1-5, 7-9, 95-98]

Q13. Does your house/apartment have significant problems with mold on walls or ceilings, for example in your bathroom?

- YES..... 1
- NO..... 2
- REFUSED..... 7

DON'T KNOW 8[B: Q1=1-5, 7-9, 95-98]

MOBILITY

IF STAYER (MOVER=2), SKIP TO M3

- M1** **Is this unit in [DEVNAME]?**
- YES.....1
 - NO.....2 SKIP TO M4
 - REFUSED.....7 SKIP TO M4
 - DON'T KNOW.....8 SKIP TO M4

[B: MOVER=1]

CAPI IF "MOVER=1" AND SITE=ATLANTIC CITY

- M2** **Is this (apartment/house) part of the new HOPE VI development?**
- YES.....1
 - NO.....2
 - REFUSED.....7
 - DON'T KNOW.....8

[B: MOVER=1 and SITE=ATLANTIC CITY]

SKIP TO M4

- M3** **The HOPE VI program requires that everyone living in this development will eventually be relocated. Have you found an apartment or house where you will move, are you currently searching, or are you not looking for another place to live right now?**
- FOUND AN APARTMENT OR HOUSE WHERE YOU WILL MOVE TO M4 1 SKIP
 - CURRENTLY SEARCHING.....2
 - NOT LOOKING FOR ANOTHER PLACE TO LIVE NOW3
 - REFUSED.....7
 - DON'T KNOW.....8

[B: M1=1 or MOVER=2]

M3a. What is the main reason that you have not moved from [DEVNAME]?

- CREDIT PROBLEMS 1
- HOUSEKEEPING PROBLEMS 2
- CAN'T FIND A UNIT 3
- DON'T WANT TO 4
- SOMEONE IN HOUSEHOLD IS A GANG MEMBER/HAS A CRIMINAL RECORD 5
- NOT MY TURN YET 6
- DON'T MEET SCREENING CRITERIA 7
- OTHER (SPECIFY _____) 95
- REFUSED 97
- DON'T KNOW 98

[B: M3=2, 3, 7, 8]

M4. Is this the first place you moved when you moved from [BASE ADDRESS AND APARTMENT NUMBER]?

- YES 1 SKIP TO M5
- NO 2
- REFUSED 7
- DON'T KNOW 8

[B: MOVER=1]

M4a. How many times have you moved since you lived at [BASE ADDRESS]?

[CAPI/INTERVIEWER: **'1' IS NOT AN OPTION ** IF R SAYS '1', THEN PROBE] **In 2001, you lived at (BASE ADDRESS AND APARTMENT NUMBER). So, including this (apartment/house), how many other places have you lived since then?**

- NUMBER OF MOVES 2-24
- DON'T KNOW -1
- REFUSED -2

[B: M4=2, 7, 8]

M5 What was the main reason you chose this (apartment/house)?

- BETTER SCHOOLS FOR MY CHILDREN 1
- CHANGE IN MARITAL/ROMANTIC STATUS 2
- TO HAVE BETTER TRANSPORTATION 3
- A BETTER, OR BIGGER APARTMENT/HOUSE 4
- CHANGE OF JOB/TO BE NEAR MY JOB 5
- NO OR LESS DRUGS AND GANGS 6
- TO BE NEAR MY FAMILY 7
- HOUSING AUTHORITY OR PROGRAM FOUND IT FOR ME 8
- SAFETY CONCERNS/FELT NEIGHBORHOOD WAS SAFER 9
- THE ONLY ONE I COULD AFFORD 10
- THE ONLY ONE THAT WAS AVAILABLE 11
- OTHER (SPECIFY _____) 95
- REFUSED 97
- DON'T KNOW 98

[B: MOVER=1]

IF Q1=1, 3, THEN SKIP TO M8

IF Q1=04-10 THEN SKIP TO M13

M6 Now I'd like to talk about how much you pay each month for housing.

What is the monthly amount you pay for owning this (apartment/house)? We are interested in the payment you make to the bank or mortgage company.

- PER MONTH \$ __ __ __ 0-9999
- DON'T KNOW -1
- REFUSED -2

[B: Q1=2]

M7. Did a government or nonprofit agency help you buy your home by providing down-payment assistance or help fixing or building the home? For example, Habitat for Humanity, the housing authority, or the HOPE VI office.

- YES 1
- NO 2
- REFUSED 7
- DON'T KNOW 8

[B: Q1=2]

M8. Altogether in the month just past, what did you pay as rent? We are interested only in knowing your part of the payment.

- PER MONTH \$ _____ 0-3000
- DON'T KNOW..... -1
- REFUSED..... -2

[B: Q1=1, 3]

M9. What is the total current monthly payment on this (apartment/house)?

- PER MONTH \$ _____ 0-3000
- DON'T KNOW..... -1
- REFUSED..... -2

[B: Q1=1, 3]

M10. Does anyone else in the household contribute to the rent?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: Q1=1, 3]

M11. Do you pay utilities separately from rent? By utilities, we mean the electric, gas or water bill.

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: Q1=1, 3]

M12. Do you currently receive any governmental housing assistance in paying rent, such as through public housing or Section 8 or Housing Choice Voucher?

- YES..... 1 SKIP TO M12b
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: Q1=1, 3]

M12a. Are you paying lower rent because the Federal, state, or local government is paying for part of your rent?

- YES..... 1
- NO..... 2 SKIP TO M13
- REFUSED..... 7 SKIP TO M13
- DON'T KNOW..... 8 SKIP TO M13

[B: M12=2, 7, 8]

M12b. Is this assistance: public housing, a Section 8 or Housing Choice Voucher, Project-based Section 8 or some other type of assistance?

| | |
|---|----|
| PUBLIC HOUSING | 1 |
| A SECTION 8 OR HOUSING CHOICE VOUCHER | 2 |
| PROJECT-BASED SECTION 8 | 3 |
| OTHER (SPECIFY _____) | 95 |
| REFUSED | 97 |
| DON'T KNOW | 98 |

[B: Q1=1, 3, 4]

M12c. Is this building/development for elderly people or elderly and disabled only? (INTERVIEWER: THAT IS, THE BUILDING/DEVELOPMENT DOES NOT INCLUDE HOUSEHOLDS WITH CHILDREN.)

| | |
|------------------|---|
| YES | 1 |
| NO | 2 |
| REFUSED | 7 |
| DON'T KNOW | 8 |

[B: M12b=1, 3, 95]

SKIP TO M14

M13. Since you moved from [DEVNAME], have you ever received any governmental housing assistance in paying rent, such as through public housing, Section 8 or Housing Choice Voucher, or Project-based Section 8?

| | | |
|--|----|--------|
| HAVE NOT RECEIVED HOUSING ASSISTANCE | 1 | PUBLIC |
| HOUSING | 2 | |
| SECTION 8 OR VOUCHER | 3 | |
| PROJECT-BASED SECTION 8 | 4 | |
| OTHER (SPECIFY _____) | 95 | |
| REFUSED | 97 | |
| DON'T KNOW | 98 | |

[B: M12a=2,7,8 or Q1=2, 4-98]

M13a. People stop getting (housing assistance/public housing/Section 8/vouchers) for different reasons. What would you say was the main reason you are no longer getting assistance?

- INCOME TOO HIGH/OVER INCOME/
NO LONGER ELIGIBLE 1
- RENT OR UTILITIES GOT TOO HIGH 2
- EVICTED 3
- LOST SUBSIDY DUE TO PROBLEM WITH PHA
(BROKE RULES, ETC.) 4
- RELOCATED FROM PUBLIC HOUSING AND
COULD NOT MOVE BACK 5
- LANDLORD WOULD NOT TAKE SECTION 8 6
- WANTED TO OWN A HOME 7
- BOUGHT A HOME 8
- OTHER (SPECIFY _____) 95
- REFUSED 97
- DON'T KNOW 98

[B: M12a=2,7,8 or Q1=2, 4-98]

M14. Was there ever a time during the past year (that is, since SAME MONTH AND LAST YEAR) when you did not have your own place to stay?

- YES 1
- NO 2 SKIP TO M16
- REFUSED 7 SKIP TO M16
- DON'T KNOW 8 SKIP TO M16

[B: Q2=0 OR Q1=6 or 7]

M15. During the past year, when you did not have your own place to stay, we would like to know about any places where you stayed. Did you...

M15a. ...stay with a relative

- YES 1
- NO 2
- REFUSED 7
- DON'T KNOW 8

[B: M14=1]

M15b. ...stay with a friend

- YES 1
- NO 2
- REFUSED 7
- DON'T KNOW 8

[B: M14=1]

M15c. ...stay in a shelter [INTERVIEWER: A SHELTER IS A HOMELESS SHELTER, EMERGENCY SHELTER, OR DOMESTIC VIOLENCE SHELTER]

- YES..... 1
 - NO.....2
 - REFUSED.....7
 - DON'T KNOW.....8
- [B: M14=1]

M15d. ...stay on the street

- YES..... 1
- NO.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: M14=1 OR Q1=6]

CAPI IF Q1=1 OR 2 SKIP TO R1

M16. How long has it been since you rented or owned your own apartment or house:

- TIME IN MONTHS..... 1
- TIME IN YEARS2
- DATE.....3
- I HAVE NEVER OWNED OR RENTED
- MY OWN UNIT99
- REFUSED.....97
- DON'T KNOW.....98

IF RESPONDENT REPORTS LENGTH OF TIME IN MONTHS, ENTER THE NUMBER OF MONTHS

NUMBER OF MONTHS _____ 1-97

IF RESPONDENT REPORTS LENGTH OF TIME IN YEARS, ENTER THE NUMBER OF YEARS

NUMBER OF YEARS _____ 1-97

IF RESPONDENT REPORTS A DATE, ENTER MONTH AND YEAR

- MONTH..... 1-12
- YEAR1900-2003
- DON'T KNOW.....97
- REFUSED.....98

[B: Q1=3-95]

RELOCATION & SERVICES

The next section asks questions about different information that you might have received from the housing authority, HOPE VI office, or social service agency.

R1 Did the housing authority provide information about moving to other public housing developments in [BASELINE CITY]?

- YES..... 1
- NO.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: ALL]

R2 Did the housing authority provide information about the Section 8/Housing Choice Voucher Program?

- YES..... 1
- NO.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: ALL]

R3 Did the housing authority provide information about homeownership?

- YES..... 1
- NO.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: ALL]

R4 Did the housing authority provide information about transportation to look at available units?

- YES..... 1
- NO.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: ALL]

R5 Did the housing authority provide information about dealing with a landlord or helping you with a lease?

- YES..... 1
- NO.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: ALL]

R6 Did the housing authority provide information about help paying a security deposit or down payment?

- YES..... 1
- NO.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: ALL]

R7 Did the housing authority provide information about help with paying for utility hook-up (such as phone, electric, gas)?

- YES..... 1
- NO.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: ALL]

R8 The next section asks questions about other types of services that you might have received from the housing authority or a social service agency.

Are you currently getting help with finding a job?

- YES..... 1 SKIP TO R9
- NO.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: ALL]

R8a. Do you need help with finding a job?

- YES..... 1
- NO.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: R8=2, 7, 8]

R9 Are you currently getting help with getting your GED or learning to read?

- YES..... 1 SKIP TO R10
- NO.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: ALL]

R9a. Do you need help with getting your GED or learning to read?

- YES..... 1
- NO.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: R9=2, 7, 8]

R10 Are you currently getting any job or computer training?

| | | |
|-----------------|---|-------------|
| YES..... | 1 | SKIP TO R11 |
| NO..... | 2 | |
| REFUSED..... | 7 | |
| DON'T KNOW..... | 8 | |

[B: ALL]

R10a. Do you need help with job or computer training?

| | |
|-----------------|---|
| YES..... | 1 |
| NO..... | 2 |
| REFUSED..... | 7 |
| DON'T KNOW..... | 8 |

[B: R10=2, 7, 8]

R11 Are you getting help with college counseling or financial aid referrals?

| | | |
|-----------------|---|-------------|
| YES..... | 1 | SKIP TO R12 |
| NO..... | 2 | |
| REFUSED..... | 7 | |
| DON'T KNOW..... | 8 | |

[B: ALL]

R11a. Do you need help with finding college counseling or financial aid referrals?

| | |
|-----------------|---|
| YES..... | 1 |
| NO..... | 2 |
| REFUSED..... | 7 |
| DON'T KNOW..... | 8 |

[B: R11=2, 7, 8]

R12 Are you getting help with budget management or credit counseling?

| | | |
|-----------------|---|-------------|
| YES..... | 1 | SKIP TO R13 |
| NO..... | 2 | |
| REFUSED..... | 7 | |
| DON'T KNOW..... | 8 | |

[B: ALL]

R12a. Do you need help with budget management or credit counseling?

| | |
|-----------------|---|
| YES..... | 1 |
| NO..... | 2 |
| REFUSED..... | 7 |
| DON'T KNOW..... | 8 |

[B: R12=2, 7, 8]

R13 Are you receiving any legal assistance?
 YES..... 1 SKIP TO R14
 NO.....2
 REFUSED.....7
 DON'T KNOW.....8

[B: ALL]

R13a. Do you need legal assistance?
 YES.....1
 NO.....2
 REFUSED.....7
 DON'T KNOW.....8

[B: R13=2, 7, 8]

As you know, **[BASE DEVELOPMENT]** is being redeveloped and residents will have to relocate either temporarily or permanently. Some housing authorities make rules about who can live in the new development.

R14 Do you want to live in the development after it is fixed up or rebuilt?
 YES.....1
 NO.....2
 CURRENTLY LIVE IN NEW DEVELOPMENT.....3 SKIP TO r16
 REFUSED.....7
 DON'T KNOW.....8

[B (MOVER=2) OR (MOVER=1 AND M2= 2,7,8 or blank)]

R15 Do you think you are eligible to move to the new development?
 YES.....1
 NO.....2
 REFUSED.....7
 DON'T KNOW.....8

[B: R14=1, 2, 7, 8]

R16 Has the housing authority provided information on screening criteria or eligibility rules for moving to the new HOPE VI development?
 YES.....1
 NO.....2 SKIP TO N1
 REFUSED.....7 SKIP TO N1
 DON'T KNOW.....8 SKIP TO N1

[B: R14=1, 2, 7, 8]

R16a. Do you feel like the housing authority has made the rules clear?

| | |
|-----------------|---|
| YES..... | 1 |
| NO..... | 2 |
| REFUSED..... | 7 |
| DON'T KNOW..... | 8 |

[B: R16=1]

NEIGHBORHOOD

Next I have a few questions about your neighborhood.

CAPI IF MOVER=2 SKIP TO N5

N1 Thinking about your neighborhood now, are you living in the same neighborhood as when you lived at [BASELINE ADDRESS] or living in a different neighborhood?

- SAME 1 SKIP TO N5
- DIFFERENT.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: MOVER=1]

N2 Do you still have friends or relatives in your old neighborhood, where you lived at [BASELINE ADDRESS]?

- YES.....1
- NO.....2 SKIP TO N5
- REFUSED.....7 SKIP TO N5
- DON'T KNOW.....8 SKIP TO N5

[B: N1=2, 7, 8]

N3 How often do you go back to visit friends or relatives in that old neighborhood?

- EVERY DAY (6-7 TIMES A WEEK)1
- SEVERAL DAYS A WEEK (3-5 TIMES A WEEK).....2
- TWICE A WEEK3
- ABOUT ONCE A WEEK4
- 2-3 TIMES A MONTH.....5
- ONCE A MONTH.....6
- A COUPLE OF TIMES A YEAR7
- NEVER8
- REFUSED.....97
- DON'T KNOW.....98

[B: N2=1]

N4 How often do your friends or relatives from that old neighborhood visit you?

- EVERY DAY (6-7 TIMES A WEEK)1
- SEVERAL DAYS A WEEK (3-5 TIMES A WEEK).....2
- TWICE A WEEK3
- ABOUT ONCE A WEEK4
- 2-3 TIMES A MONTH.....5
- ONCE A MONTH.....6
- A COUPLE OF TIMES A YEAR7
- NEVER8
- REFUSED.....97
- DON'T KNOW98

[B: N2=1]

These questions ask about what it's like to live in your current neighborhood.

N5 How many years have you lived in your current neighborhood?

- Number of years _____ 1-96
- LESS THAN ONE YEAR0
- DON'T KNOW -1
- REFUSED -2

[B: ALL]

N6 How many of your friends live in the same neighborhood as you? Would you say none, a few or many?

- NONE, 1
- A FEW, 2
- MANY 3
- REFUSED 7
- DON'T KNOW 8

[B: ALL]

N7 How many of your family members live in the same neighborhood as you? Would you say none, a few or many?

- NONE, 1
- A FEW, 2
- MANY 3
- REFUSED 7
- DON'T KNOW 8

[B: ALL]

N8 Where you live now, how often do you have coffee or a meal with a neighbor? Would you say...

- Almost every day, 1
- Once a week, 2
- Once a month, 3
- A few times a year, or 4
- Never? 5
- ONCE 6
- REFUSED 7
- DON'T KNOW 8

[B: ALL]

N9 Where you live now, how often do you stop to chat with a neighbor in the street or hallway? Would you say...

- Almost every day, 1
- Once a week.....2
- Once a month,.....3
- A few times a year, or4
- Never?5
- ONCE6
- REFUSED.....7
- DON'T KNOW.....8

[B: ALL]

N10 Neighbors sometimes do things to help each other out. Where you live now, how often do you help any of your neighbors by doing anything like – looking after their child, letting them borrow something, or helping them with things around the house or yard?

- Almost every day, 1
- Once a week,.....2
- Once a month,.....3
- A few times a year, or4
- Never?5
- ONCE6
- REFUSED.....7
- DON'T KNOW.....8

[B: ALL]

N11 Where you live now, how often do any of your neighbors help you by doing any of the those types of things for you? [IF NECESSARY, REPEAT LIST: anything like – looking after your child, letting you borrow something, or helping you with things around the house or yard.]

- Almost every day, 1
- Once a week,.....2
- Once a month,.....3
- A few times a year, or4
- Never?5
- ONCE6
- REFUSED.....7
- DON'T KNOW.....8

[B: ALL]

N12 I'd like you to think about your neighborhood when you lived at [DEVNAME]. How would you describe how safe your current neighborhood is compared to the [DEVNAME] neighborhood? Is your current neighborhood...

- much safer now 1
- somewhat safer now 2
- about the same 3
- somewhat less safe now, or 4
- much less safe now? 5
- REFUSED 7
- DON'T KNOW 8

[B: Q1=1-5, 8, 9, 95-98 and N1=2]

N13 These questions ask about what it's like to live in your current neighborhood. Think about the area that you consider your neighborhood when you tell me how much you agree or disagree with the following statements.

N13a. People around here are willing to help their neighbors.

- Strongly agree 1
- Somewhat agree 2
- Neither agree or disagree 3
- Somewhat disagree 4
- Strongly disagree 5
- REFUSED 7
- DON'T KNOW 8

[B: Q1=1-5, 8, 9, 95, 97, 98]

N13b. People in this neighborhood share the same values.

- Strongly agree 1
- Somewhat agree 2
- Neither agree or disagree 3
- Somewhat disagree 4
- Strongly disagree 5
- REFUSED 7
- DON'T KNOW 8

[B: Q1=1-5, 8, 9, 95, 97, 98]

N13c. This is a close-knit neighborhood.

- Strongly agree 1
- Somewhat agree 2
- Neither agree or disagree 3
- Somewhat disagree 4
- Strongly disagree 5
- REFUSED 7
- DON'T KNOW 8

[B: Q1=1-5, 8, 9, 95, 97, 98]

N13d. People in this neighborhood can be trusted.

| | |
|---------------------------------|---|
| Strongly agree | 1 |
| Somewhat agree | 2 |
| Neither agree or disagree | 3 |
| Somewhat disagree | 4 |
| Strongly disagree | 5 |
| REFUSED | 7 |
| DON'T KNOW | 8 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

N13e. People in this neighborhood generally get along with each other.

| | |
|---------------------------------|---|
| Strongly agree | 1 |
| Somewhat agree | 2 |
| Neither agree or disagree | 3 |
| Somewhat disagree | 4 |
| Strongly disagree | 5 |
| REFUSED | 7 |
| DON'T KNOW | 8 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

N14. How likely is it that your neighbors would do something if they saw . .

N14a. ...children skipping school and hanging out on a street corner? Would you say it is...

| | |
|------------------------------------|---|
| very likely, | 1 |
| likely, | 2 |
| neither likely nor unlikely, | 3 |
| unlikely, or | 4 |
| very unlikely? | 5 |
| REFUSED | 7 |
| DON'T KNOW | 8 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

N14b. ...children spray-painting graffiti on a local building?

| | |
|------------------------------------|---|
| very likely, | 1 |
| likely, | 2 |
| neither likely nor unlikely, | 3 |
| unlikely, or | 4 |
| very unlikely? | 5 |
| REFUSED | 7 |
| DON'T KNOW | 8 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

N14c. ...children showing disrespect to an adult?

- very likely, 1
- likely, 2
- neither likely nor unlikely, 3
- unlikely, or 4
- very unlikely? 5
- REFUSED 7
- DON'T KNOW 8

[B: Q1=1-5, 8, 9, 95, 97, 98]

N14d. ...a fight break out in front of their home?

- very likely, 1
- likely, 2
- neither likely nor unlikely, 3
- unlikely, or 4
- very unlikely? 5
- REFUSED 7
- DON'T KNOW 8

[B: Q1=1-5, 8, 9, 95, 97, 98]

N15 Now, please think about the area that you consider your neighborhood and tell me if the following items are - a big problem, some problem, or no problem at all.

N15a. In your neighborhood, is unemployment a...

- big problem, 1
- some problem, or 2
- no problem at all? 3
- REFUSED 7
- DON'T KNOW 8

[B: Q1=1-5, 8, 9, 95, 97, 98]

N15b. Groups of people just hanging out

- big problem, 1
- some problem, or 2
- no problem at all? 3
- REFUSED 7
- DON'T KNOW 8

[B: Q1=1-5, 8, 9, 95, 97, 98]

N15c. The number of teenage mothers

- big problem, 1
- some problem, or 2
- no problem at all? 3
- REFUSED 7
- DON'T KNOW 8

[B: Q1=1-5, 8, 9, 95, 97, 98]

N15d. Lack of public transportation

| | |
|--------------------------|---|
| big problem, | 1 |
| some problem, or | 2 |
| no problem at all? | 3 |
| REFUSED | 7 |
| DON'T KNOW | 8 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

N15e. Quality of schools

| | |
|--------------------------|---|
| big problem, | 1 |
| some problem, or | 2 |
| no problem at all? | 3 |
| REFUSED | 7 |
| DON'T KNOW | 8 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

N15f. Graffiti, that is, writing or painting on the walls of the buildings

| | |
|--------------------------|---|
| big problem, | 1 |
| some problem, or | 2 |
| no problem at all? | 3 |
| REFUSED | 7 |
| DON'T KNOW | 8 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

N15g. Trash and junk in the parking lots, streets, lawns, and sidewalks

| | |
|--------------------------|---|
| big problem, | 1 |
| some problem, or | 2 |
| no problem at all? | 3 |
| REFUSED | 7 |
| DON'T KNOW | 8 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

N16 Now, still thinking about the area that you consider your neighborhood, please tell me if the following items are - a big problem, some problem, or no problem at all

N16a. In your neighborhood, is police not coming when called a...

| | |
|--------------------------|---|
| big problem, | 1 |
| some problem, or | 2 |
| no problem at all? | 3 |
| REFUSED | 7 |
| DON'T KNOW | 8 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

N16b. People being attacked or robbed

| | |
|--------------------------|---|
| big problem, | 1 |
| some problem, or | 2 |
| no problem at all? | 3 |
| REFUSED | 7 |
| DON'T KNOW | 8 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

N16c. People selling drugs

| | |
|--------------------------|---|
| big problem, | 1 |
| some problem, or | 2 |
| no problem at all? | 3 |
| REFUSED | 7 |
| DON'T KNOW | 8 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

N16d. People using drugs

| | |
|--------------------------|---|
| big problem, | 1 |
| some problem, or | 2 |
| no problem at all? | 3 |
| REFUSED | 7 |
| DON'T KNOW | 8 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

N16e. Gangs

| | |
|--------------------------|---|
| big problem, | 1 |
| some problem, or | 2 |
| no problem at all? | 3 |
| REFUSED | 7 |
| DON'T KNOW | 8 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

N16f. Rape or other sexual attacks

| | |
|--------------------------|---|
| big problem, | 1 |
| some problem, or | 2 |
| no problem at all? | 3 |
| REFUSED | 7 |
| DON'T KNOW | 8 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

N16g. Shootings and violence

| | |
|--------------------------|---|
| big problem, | 1 |
| some problem, or | 2 |
| no problem at all? | 3 |
| REFUSED | 7 |
| DON'T KNOW..... | 8 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

N17 Have you seen people using or selling illegal drugs in your neighborhood during the past 30 days?

| | | |
|-----------------|---|-------------|
| YES..... | 1 | |
| NO..... | 2 | SKIP TO N18 |
| REFUSED..... | 7 | SKIP TO N18 |
| DON'T KNOW..... | 8 | SKIP TO N18 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

N17a. How often have you seen this in the past 30 days? Would you say almost every day, once a week, or once or twice in the past 30 days?

| | |
|---|---|
| ALMOST EVERY DAY (INCLUDES 4-7 DAYS A WEEK) | 1 |
| ONCE A WEEK (INCLUDES 1-3 DAYS A WEEK) | 2 |
| ONCE OR TWICE IN THE PAST 30 DAYS (INCLUDES 1-3 TIMES IN 30 DAYS)..... | 3 |
| REFUSED..... | 7 |
| DON'T KNOW..... | 8 |

[B: N17=1]

N18 Have you heard gunshots in your neighborhood during the past 30 days?

| | | |
|-----------------|---|-------------|
| YES..... | 1 | |
| NO..... | 2 | SKIP TO N19 |
| REFUSED..... | 7 | SKIP TO N19 |
| DON'T KNOW..... | 8 | SKIP TO N19 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

N18a. How often have you heard this in the past 30 days? Would you say almost every day, once a week, or once or twice in the past 30 days?

| | |
|---|---|
| ALMOST EVERY DAY (INCLUDES 4-7 DAYS A WEEK) | 1 |
| ONCE A WEEK (INCLUDES 1-3 DAYS A WEEK) | 2 |
| ONCE OR TWICE IN THE PAST 30 DAYS (INCLUDES 1-3 TIMES IN 30 DAYS)..... | 3 |
| REFUSED..... | 7 |
| DON'T KNOW..... | 8 |

[B: N18=1]

N19 The next questions ask about services in your neighborhood. We would like to know how long it takes you to get to certain places in your neighborhood.

N19a. How long does it take you to get to the nearest bus or train stop? Would you say

| | |
|----------------------------------|----|
| Less than 15 minutes,..... | 1 |
| 16 to 30 minutes,..... | 2 |
| 31 to 45 minutes,..... | 3 |
| 46 minutes to one hour, or | 4 |
| More than one hour..... | 5 |
| NOT APPLICABLE..... | 7 |
| REFUSED..... | 97 |
| DON'T KNOW..... | 98 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

N19b. How long does it take you to get to the grocery store you use most of the time?

| | |
|----------------------------------|----|
| Less than 15 minutes,..... | 1 |
| 16 to 30 minutes,..... | 2 |
| 31 to 45 minutes,..... | 3 |
| 46 minutes to one hour, or | 4 |
| More than one hour..... | 5 |
| NOT APPLICABLE..... | 7 |
| REFUSED..... | 97 |
| DON'T KNOW..... | 98 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

N19c. How long does it take you to get to the doctor, health clinic, or hospital you use most of the time?

| | |
|----------------------------------|----|
| Less than 15 minutes,..... | 1 |
| 16 to 30 minutes,..... | 2 |
| 31 to 45 minutes,..... | 3 |
| 46 minutes to one hour, or | 4 |
| More than one hour..... | 5 |
| NOT APPLICABLE..... | 7 |
| REFUSED..... | 97 |
| DON'T KNOW..... | 98 |

[B: Q1=1-5, 8, 9, 95, 97, 98]

Now, I'm going to ask you some questions about safety and crime.

N20 How safe do you feel or would you feel being out alone in the parking lots, the lawns, the street or sidewalks right outside your (building/house) at night? Do you feel . . .

- very safe, 1
- somewhat safe 2
- somewhat unsafe, or 3
- very unsafe? 4
- REFUSED 7
- DON'T KNOW 8

[B: Q1=1-5, 8, 9, 95, 97, 98]

N21 How safe do you feel being alone inside your (apartment/house) at night? Do you feel . .

- very safe, 1
- somewhat safe 2
- somewhat unsafe, or 3
- very unsafe? 4
- REFUSED 7
- DON'T KNOW 8

[B: Q1=1-5, 8, 9, 95, 97, 98]

Please tell me if any of the following things have happened to you or anyone who lives with you in the past six months, that is, since [INSERT MONTH SIX MONTHS PRIOR TO DATEFIN].

N22 Did anyone in your household have their purse, wallet, or jewelry snatched from them in the past six months?

- YES 1
- NO 2 SKIP TO N23
- REFUSED 7 SKIP TO N23
- DON'T KNOW 8 SKIP TO N23

[B: ALL]

**N22a. Who did this happen to – you, another adult in the household, or a child?
(MARK ALL THAT APPLY)**

- SELF 1
- ANOTHER ADULT 2
- A CHILD 3
- REFUSED 7
- DON'T KNOW 8

[B: N22=1]

N23 Was anyone in your household threatened with a knife or gun in the last six months?

- YES 1
- NO 2 SKIP TO N24
- REFUSED 7 SKIP TO N24
- DON'T KNOW 8 SKIP TO N24

[B: ALL]

**N23a. Who did this happen to – you, another adult in the household, or a child?
(MARK ALL THAT APPLY)**

- SELF 1
- ANOTHER ADULT 2
- A CHILD 3
- REFUSED 7
- DON'T KNOW 8

[B: N23=1]

N24 Was anyone in your household beaten or assaulted in the last six months?

- YES 1
- NO 2 SKIP TO N25
- REFUSED 7 SKIP TO N25
- DON'T KNOW 8 SKIP TO N25

[B: ALL]

**N24a. Who did this happen to – you, another adult in the household, or a child?
(MARK ALL THAT APPLY)**

- SELF 1
- ANOTHER ADULT 2
- A CHILD 3
- REFUSED 7
- DON'T KNOW 8

[B: N24=1]

N25 Was anyone in your household stabbed or shot in the last six months?

- YES 1
- NO 2 SKIP TO N26
- REFUSED 7 SKIP TO N26
- DON'T KNOW 8 SKIP TO N26

[B: ALL]

N25a. Who did this happen to – you, another adult in the household, or a child? (MARK ALL THAT APPLY)

- SELF 1
- ANOTHER ADULT 2
- A CHILD 3
- REFUSED 7
- DON'T KNOW 8

[B: N25=1]

N26 Was anyone in your household caught in a shootout in the last six months?

- YES 1
- NO 2 SKIP TO N27
- REFUSED 7 SKIP TO N27
- DON'T KNOW 8 SKIP TO N27

[B: ALL]

N26a. Who did this happen to – you, another adult in the household, or a child?

(MARK ALL THAT APPLY)

- SELF 1
- ANOTHER ADULT 2
- A CHILD 3
- REFUSED 7
- DON'T KNOW 8

[B: N26=1]

N27 Did bullets come into your (apartment/house) in the last six months?

- YES 1
- NO 2
- REFUSED 7
- DON'T KNOW 8

[B: Q1=1-5, 8, 9, 95, 97, 98]

N28 Did anyone try to break into your home in the last six months?

- YES 1
- NO 2
- REFUSED 7
- DON'T KNOW 8

[B: Q1=1-5, 8, 9, 95, 97, 98]

N29 Next, I'd like to ask you about any community activities you may have taken part in during the last year. In the last year, have you ever...

N29a. ... done volunteer work in any programs in the community, like daycare, youth groups, programs for the elderly, or recreation program?

- YES 1
- NO 2
- REFUSED 7
- DON'T KNOW 8

[B: ALL]

N29b. ...taken part in any local organizations like clubs, sports teams, ethnic or racial pride groups, or other community groups?

- YES 1
- NO 2
- REFUSED 7
- DON'T KNOW 8

[B: ALL]

N29c. ... voted in any political election, such as a general election, primary election, or special referendum?

| | |
|-----------------|---|
| YES..... | 1 |
| NO..... | 2 |
| REFUSED..... | 7 |
| DON'T KNOW..... | 8 |

[B: ALL]

N29d. ... participated in the activities of a church, mosque, temple, or other religious group?

| | |
|-----------------|---|
| YES..... | 1 |
| NO..... | 2 |
| REFUSED..... | 7 |
| DON'T KNOW..... | 8 |

[B: ALL]

N29e. ... served in a Neighborhood Watch or tenant patrol program?

| | |
|-----------------|---|
| YES..... | 1 |
| NO..... | 2 |
| REFUSED..... | 7 |
| DON'T KNOW..... | 8 |

[B: ALL]

N29f. ... taken part in community efforts to make the neighborhood better for children and youth?

| | |
|-----------------|---|
| YES..... | 1 |
| NO..... | 2 |
| REFUSED..... | 7 |
| DON'T KNOW..... | 8 |

[B: ALL]

ROSTER INFORMATION

In order to understand a little about your household, I would like to ask you about each of the people who are currently living in this household.

First, I would like to confirm who is currently living in your household. I would like to start with the family members who you reported were living together when you were interviewed about two years ago. After we talk about those people, I will ask you about any new household members if there are any. As I said before, the information you give me will not affect your housing status. We will never connect your name with your answers.

HOUSEHOLD MEMBERS FROM BASELINE SURVEY
CAPI ASK L1-L5 FOR EACH PERSON

L1 Two years ago, you reported that [NAME], your [RELATIONSHIP] was living with you. Does [NAME] still live with you?

- YES..... 1 SKIP TO L1b
- NO..... 2
- DECEASED..... 3 SKIP TO NEXT PERSON
- REFUSED..... 7 SKIP TO NEXT PERSON
- DON'T KNOW..... 8 SKIP TO NEXT PERSON

[B: ALL]

L1a. Why does [NAME] no longer live with you?

- MOVED TO HIS/HER OWN APARTMENT..... 1 SKIP TO NEXT PERSON
- MOVED IN WITH OTHER RELATIVE..... 2 SKIP TO NEXT PERSON
- MOVED IN WITH A NON- RELATIVE 3 SKIP TO NEXT
- PERSON INCARCERATED..... 4 SKIP TO NEXT PERSON
- HOSPITALIZED OR INSTITUTIONALIZED..... 5 SKIP TO NEXT PERSON
- HOMELESS..... 6 SKIP TO NEXT PERSON
- DECEASED..... 7 SKIP TO NEXT PERSON
- CHILD REMOVED FROM HOME/IN FOSTER CARE 8 SKIP TO NEXT PERSON
- REFUSED 97 SKIP TO NEXT PERSON
- DON'T KNOW 98 SKIP TO NEXT PERSON

[B: L1=2]

CAPI: IF DOB IS MISSING:

L1b. What is [NAME's] date of birth?

- MM/DD/YYYY SKIP TO L1c
- REFUSED 97 SKIP TO L1b1.
- DON'T KNOW 98 SKIP TO L1b1.

[B: dob=missing]

L1b1. How old is [NAME] right now?

| | | |
|------------------|-------------|--------------|
| Age | SKIP TO L1c | |
| REFUSED | 97 | SKIP TO L1c. |
| DON'T KNOW | 98 | SKIP TO L1c. |

[B: L1b=97 or 98]

CAPI: IF RELATIONSHIP IS MISSING:

L1c. What is [NAME]'s relationship to you?

| | | |
|------------------------------------|----|--|
| INSERT STANDARD RELATIONSHIP CODES | | |
| REFUSED | 97 | |
| DON'T KNOW | 98 | |

[B: relationship =missing]

L2 Is [NAME] enrolled in school now?

| | | |
|------------------|---|----------------------------|
| YES | 1 | SKIP TO L1 FOR NEXT PERSON |
| NO | 2 | SKIP TO L1 FOR NEXT PERSON |
| REFUSED | 7 | SKIP TO L1 FOR NEXT PERSON |
| DON'T KNOW | 8 | SKIP TO L1 FOR NEXT PERSON |

[B: L1=1 and AGE <18]

L3 What is his/her marital status? Is he/she...

| | |
|--|---|
| Married..... | 1 |
| Not married, living with partner | 2 |
| Not married, not living with partner | 3 |
| SEPARATED/WIDOWED/DIVORCED..... | 4 |
| REFUSED..... | 7 |
| DON'T KNOW..... | 8 |

[B: L1=1 and AGE ≥ 18]

L4 What is his/her employment status?

| | |
|------------------|---|
| FULL-TIME | 1 |
| PART-TIME | 2 |
| NOT WORKING..... | 3 |
| REFUSED | 7 |
| DON'T KNOW..... | 8 |

[B: L1=1 and AGE ≥ 18]

L5 Did he/she graduate from high school or receive a GED?

| | |
|-----------------|---|
| YES..... | 1 |
| NO..... | 2 |
| REFUSED | 7 |
| DON'T KNOW..... | 8 |

[B: L1=1 and AGE ≥ 18]

REPEAT L1-L5 UNTIL ASKED OF ALL MEMBERS FROM BASELINE
THEN, PROCEED TO L6

L6 Is there anyone else currently living in your household?

| | |
|-----------------|---|
| YES..... | 1 |
| NO..... | 2 |
| REFUSED | 7 |
| DON'T KNOW..... | 8 |

SKIP TO E1

[B: ALL]

L6a. If yes, how many other people currently live in your household?

| | |
|-----------------|----|
| _____ | |
| DON'T KNOW..... | -1 |
| REFUSED..... | -2 |

Now, I will ask a few questions about each additional person in your household. Starting with other adults...

L7 What is his/her first name?

INSERT NAME _____

[B: L6=1]

L8. What is his/her relationship to you?

- SPOUSE/PARTNER 1
- SON 2
- DAUGHTER 3
- GRANDCHILD 4
- OTHER RELATIVE..... 5
- OTHER NON-RELATIVE..... 6
- REFUSED..... 7
- DON'T KNOW..... 8

[B: L6=1]

L9. What is his/her birthday?

- MM/DD/YYYY _____
- DON'T KNOW..... -1
- REFUSED..... -2

[B: L6=1]

CAPI CALCULATE AGE AS OF 8/1/2003

L10. What is his/her sex?

- MALE 1
- FEMALE 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: L6=1]

L11. What is his/her ethnicity?

- HISPANIC 1
- NON-HISPANIC 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: L6=1]

L12. What is his/her race?

- AFRICAN-AMERICAN 1
- WHITE..... 2
- AMERICAN INDIAN OR ALASKAN NATIVE..... 3
- ASIAN 4
- NATIVE HAWAIIAN OR PACIFIC ISLANDER 5
- OTHER (SPECIFY _____)..... 95
- REFUSED..... 97
- DON'T KNOW..... 98

[B: L6=1]

L13. Is [NAME] enrolled in school now?

- YES..... 1 SKIP TO L17
- NO.....2 SKIP TO L17
- REFUSED.....7 SKIP TO L17
- DON'T KNOW.....8 SKIP TO L17

[B: L6=1 and AGE <18]

L14. What is his/her marital status? Is he/she...

- MARRIED..... 1
- NOT MARRIED, LIVING WITH PARTNER2
- NOT MARRIED, NOT LIVING WITH PARTNER3
- SEPARATED/WIDOWED/DIVORCED.....4
- REFUSED.....7
- DON'T KNOW.....8

[B: L6=1 and AGE ≥ 18]

L15. What is his/her employment status?

- FULL-TIME 1
- PART-TIME2
- NOT WORKING3
- REFUSED.....7
- DON'T KNOW8

[B: L6=1 and AGE ≥ 18]

L16. Did he/she graduate from high school or receive a GED?

- YES..... 1
- NO.....2
- REFUSED.....7
- DON'T KNOW8

[B: L6=1 and AGE ≥ 18]

IF YES, ASK L7-L16 FOR EACH ADDITIONAL PERSON IN HOUSEHOLD

EMPLOYMENT & HARDSHIP

Next, I have a few questions about work.

E1 Do you currently work for pay?

- YES..... 1
- NO..... 2 SKIP TO E12
- REFUSED..... 7 SKIP TO E12
- DON'T KNOW..... 8 SKIP TO E12

[B: ALL]

E2 Do you currently have more than one job?

- YES..... 1
- NO..... 2
- DON'T KNOW..... 7
- REFUSED..... 8

[B: E1=1]

E3 On average, about how many hours a week do you work at your main job? IF NEEDED: (By main job we mean the one at which you work the most hours.)

- LESS THAN 20 HOURS A WEEK..... 1
- BETWEEN 20 AND 35 HOURS A WEEK..... 2
- MORE THAN 35 HOURS A WEEK..... 3
- DON'T KNOW..... 7
- REFUSED..... 8

[B: E1=1]

E4 How much do you earn at your current job?

- \$ _____
- DON'T KNOW..... -1
- REFUSED..... -2

[B: E1=1]

E4a. Is that per...

- Hour..... 1
- Day..... 2
- Week..... 3
- Month..... 4
- OTHER (SPECIFY _____)..... 95
- REFUSED..... 7
- DON'T KNOW..... 8

[B: E4>0]

E5 How long have you been working at your main job?

LESS THAN 3 MONTHS 1
 FROM 3 MONTHS TO JUST UNDER 6 MONTHS 2
 FROM 6 MONTHS TO JUST UNDER ONE YEAR 3
 FROM ONE YEAR TO JUST UNDER 3 YEARS 4
 THREE YEARS OR MORE 5
 REFUSED 7
 DON'T KNOW 8

[B: E1=1]

E6 Generally, how long does it take you to get to work?

LESS THAN 15 MINUTES 1
 16 TO 30 MINUTES 2
 31 TO 45 MINUTES 3
 46 MINUTES TO ONE HOUR 4
 MORE THAN ONE HOUR 5
 REFUSED 7
 DON'T KNOW 8

[B: E1=1]

E7 How do you get to work? [INTERVIEWER: IF MORE THAN ONE, PROBE FOR THE ONE USED MOST OFTEN]

BUS OR OTHER PUBLIC TRANSPORTATION 1
 CAR (OWN CAR) 2
 CAR (BORROWED CAR) 3
 TAXI CAB 4
 WALK 5
 WORK AT HOME 6
 RIDE WITH A FRIEND (CARPOOL) 7
 OTHER (SPECIFY _____) 95
 REFUSED 97
 DON'T KNOW 98

[B: E1=1]

E8 Please tell me how you found your current job. Did you find it... [INTERVIEWER: IF MORE THAN ONE, PROBE FOR THE MAIN ONE USED.]

Through a friend or relative who lives
 in your neighborhood, 1
 Through a friend or relative who lives someplace else, 2
 Through the newspaper or radio, 3
 By visiting employers to see if they had openings, 4
 Through a private employment agency, 5
 Through the welfare office, 6
 Through an unemployment office, 7
 Through a neighborhood agency, or 8
 OTHER (SPECIFY _____) 95
 REFUSED 97
 DON'T KNOW 98

[B: E1=1]

E9 Through your employer, are you eligible for any of the following benefits? By eligible, we mean that the benefit is available to you now, even if you have decided to not receive it or have not needed it.

E9a. Health insurance?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: E1=1]

E9b. Sick leave?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: E1=1]

E9c. Paid vacation?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: E1=1]

E10 Do you have a disability that has made it difficult for you to keep a job in the last six months?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: E1=1]

E11 Does a child or another member of the household have a disability or health problem that has made it difficult for you to keep a job in the last six months?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: E1=1]

SKIP TO E17

E12 How many years has it been since you were last employed full or part time?

- Number of years _____ 1-96
- LESS THAN ONE YEAR..... 0
- DON'T KNOW..... -1
- REFUSED..... -2

[B: E1=2, 7, 8]

E13 Have you looked for a job in the last 12 months?

| | |
|-----------------|---|
| YES..... | 1 |
| NO..... | 2 |
| REFUSED..... | 7 |
| DON'T KNOW..... | 8 |

[B: E1=2, 7, 8]

E14 What is the main reason you are not working? (DO NOT READ CHOICES)

| | |
|---|----|
| ILL..... | 1 |
| DISABLED AND UNABLE TO WORK..... | 2 |
| RETIRED..... | 3 |
| TAKING CARE OF HOME OR FAMILY..... | 4 |
| GOING TO SCHOOL..... | 5 |
| CANNOT FIND WORK..... | 6 |
| TEMPORARILY NOT WORKING BECAUSE OF SICK LEAVE, A STRIKE, BAD WEATHER, OR TEMPORARY LAY-OFF..... | 7 |
| OTHER (SPECIFY _____)..... | 95 |
| REFUSED..... | 97 |
| DON'T KNOW..... | 98 |

[B: E1=2, 7, 8]

E15 Do you have a disability that prevents you from accepting any kind of work during the next six months?

| | |
|-----------------|---|
| YES..... | 1 |
| NO..... | 2 |
| REFUSED..... | 7 |
| DON'T KNOW..... | 8 |

[B: E1= 2, 7, 8]

E16 Does a child or another member of the household have a disability or health problem that prevents you from accepting any kind of work during the next six months?

| | |
|-----------------|---|
| YES..... | 1 |
| NO..... | 2 |
| REFUSED..... | 7 |
| DON'T KNOW..... | 8 |

[B: E1= 2, 7, 8]

E17 In the last twelve months, have you completed any job training classes or education programs (GED classes, courses for college credit, apprentice programs, or other classes)?

| | |
|-----------------|---|
| YES..... | 1 |
| NO..... | 2 |
| REFUSED..... | 7 |
| DON'T KNOW..... | 8 |

[B: ALL]

E18 Think about your own experience with looking for a job or working during the last twelve months. Please tell me whether any of the following factors have made it difficult for you to look for a job, get a job, or keep a job.

| | | YES | NO | REF | DK |
|--------------|----------------------------------|-----|----|-----|----|
| E18 A | Not having work experience | 1 | 2 | 7 | 8 |
| E18 B | Not having child care | 1 | 2 | 7 | 8 |
| E18 C | Lack of transportation | 1 | 2 | 7 | 8 |
| E18 D | Not speaking English well | 1 | 2 | 7 | 8 |
| E18 E | Having a disability | 1 | 2 | 7 | 8 |
| E18 F | Discrimination | 1 | 2 | 7 | 8 |
| E18 G | Lack of jobs in the neighborhood | 1 | 2 | 7 | 8 |
| E18 H | Having a drug or alcohol problem | 1 | 2 | 7 | 8 |
| E18 I | Having a criminal record | 1 | 2 | 7 | 8 |

[B: E1=1 or E13=1 or E12=0]

CAPI IF HH INCLUDES ANYONE UNDER 18 (FROM ROSTER)

E19 During the past 12 months, was child care or lack of child care ever such a problem that you could not take a job or had to stop working, or could not attend education or training activities?

- YES..... 1
- NO..... 2 SKIP TO E20
- REFUSED..... 7 SKIP TO E20
- DON'T KNOW..... 8 SKIP TO E20

[B: HHMEMBER UNDER 18]

E19a. What were the problems you had with child care or lack of child care? (CHECK ALL THAT APPLY) PROBE: Any other problems?

- COST TOO MUCH..... 1
- COULDN'T FIND CHILD CARE FOR TIMES YOU NEEDED..... 2
- TOO FAR FROM WORK OR HOME..... 3
- CAREGIVER UNAVAILABLE OR NOT RELIABLE..... 4
- WORRY ABOUT CHILD ABUSE OR UNSAFE ENVIRONMENT..... 5
- CHILD SICK OR DISABLED..... 6
- SUBSIDY PAYMENT LATE, SO LOST PROVIDER..... 7
- OTHER (SPECIFY _____)..... 95
- REFUSED..... 97
- DON'T KNOW..... 98

[B: E19=1]

The next few questions ask about different kinds of public assistance, or welfare.

E20 In the past 6 months, have you or anyone in your household received Supplemental Security Income or SSI?

- YES..... 1
- NO..... 2 SKIP TO E21
- REFUSED..... 7 SKIP TO E21
- DON'T KNOW..... 8 SKIP TO E21

[B: ALL]

E20a. Is/Was this SSI for you, another adult in the household, or a child in the household? (MARK ALL THAT APPLY)

- SELF 1
- ANOTHER ADULT 2
- A CHILD IN THE HOUSEHOLD 3
- REFUSED..... 7
- DON'T KNOW..... 8

[B: E20=1]

E21 In the past 6 months, have you or anyone in your household received other disability pay such as SSDI (Social Security Disability Insurance), a veteran's disability benefit or workers compensation for a work-related injury?

- YES..... 1
- NO..... 2 SKIP TO E22
- REFUSED..... 7 SKIP TO E22
- DON'T KNOW..... 8 SKIP TO E22

[B: ALL]

E21a. Is/Was this SSDI, veteran's disability benefit or workers compensation for you or another adult in the household? (MARK ALL THAT APPLY)

- SELF 1
- ANOTHER ADULT 2
- BOTH SELF AND ANOTHER ADULT 3
- REFUSED..... 7
- DON'T KNOW..... 8

[B: E21=1]

E22 In the past 6 months, have you or anyone in your household received food stamps or money for food on the EBT card (the Electronic Benefits Transfer card)?

- YES..... 1
- NO..... 2 SKIP TO E23
- REFUSED..... 7 SKIP TO E23
- DON'T KNOW..... 8 SKIP TO E23

[B: ALL]

E22a. What year did you (or, this person) first receive food stamps/EBT?

_____ (year)

- DON'T KNOW..... -1
- REFUSED..... -2

[B: E22=1]

E23 In the past 6 months, have you or anyone in your household received cash from public assistance like AFDC/TANF or [STATE NAME]? [INTERVIEWER: TANF MEANS TEMPORARY ASSISTNACE TO NEEDY FAMILIES]

- YES..... 1
- NO.....2 SKIP TO E24
- REFUSED.....7 SKIP TO E24
- DON'T KNOW.....8 SKIP TO E24

[B: ALL]

E23a. What year did you (or, this person) first receive AFDC/TANF?

_____ (year)

- DON'T KNOW..... -1
- REFUSED..... -2

[B: E23=1]

E23b. How many years in total have you (or this person) received TANF (or AFDC)? (RECORD AND CIRCLE)

- NUMBER OF YEARS _____
- LESS THAN ONE YEAR.....0
- DON'T KNOW..... -1
- REFUSED..... -2

[B: E23=1]

SKIP TO E25

E24 Have you ever received cash from [NAME OF STATE WELFARE PROGRAM] including AFDC or TANF as an adult?

- YES..... 1
- NO.....2 SKIP TO E25
- DON'T KNOW.....7 SKIP TO E25
- REFUSED.....8 SKIP TO E25

[B: E23=2,7,8]

E24a. What year did you first receive cash from [NAME OF STATE WELFARE PROGRAM] including AFDC or TANF as an adult?

_____ (year)

- DON'T KNOW..... -1
- REFUSED..... -2

[B: E24=1]

E24b. How many years in total have you (or this person) received TANF (or AFDC)?

- NUMBER OF YEARS _____
- LESS THAN ONE YEAR0
- DON'T KNOW..... -1
- REFUSED..... -2

[B: E24=1]

FINANCES

E25 Do you have a bank account of any kind, such as checking or savings?

- YES..... 1
- NO.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: ALL]

E26 Do you have a place that you use for cashing checks, other than a bank?

- YES..... 1
- NO.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: ALL]

HARDSHIP

Now I'm going to read you some statements that people have made about their food situation and their housing situation. For these statements, please tell me whether the statement was often, sometimes, or never true for your family in the last 12 months, that is, since (name of current month) of last year.

E27 The first statement is "(I/We) worried whether (my/our) our food would run out before I/we got money to buy more." Was that often, sometimes, or never true for (you/your family) in the last 12 months.

- OFTEN TRUE 1
- SOMETIMES TRUE.....2
- NEVER TRUE.....3
- REFUSED.....7
- DON'T KNOW.....8

[B: ALL]

E28 "The food that (I/we) bought just didn't last, and (I/we) didn't have money to get any more." Was that often, sometimes, or never true for (you/your family) in the last 12 months.

- OFTEN TRUE 1
- SOMETIMES TRUE.....2
- NEVER TRUE.....3
- REFUSED.....7
- DON'T KNOW.....8

[B: ALL]

E29 In the last 12 months, since (name of current month) of last year, did (you/you or other adults in your family) ever cut the size of your meals or skip meals because there wasn't enough money for food?

- YES..... 1
- NO..... 2 SKIP TO E30
- REFUSED..... 7 SKIP TO E30
- DON'T KNOW..... 8 SKIP TO E30

[B: ALL]

E29a. How often did this happen? Was it...

- Almost every month..... 1
- Some months but not every month, or..... 2
- Only 1 or 2 months?..... 3
- REFUSED..... 7
- DON'T KNOW..... 8

[B: E29=1]

E30 Do you currently have a telephone in this household, not including cell phones?

- YES..... 1 SKIP TO E31
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: ALL]

E30a. Do you have a cell phone you use as your regular phone?

- YES..... 1
- NO..... 2 SKIP TO E32
- REFUSED..... 7 SKIP TO E32
- DON'T KNOW..... 8 SKIP TO E32

[B: E30=2, 7, 8]

E31 During the last 12 months, has your household ever been without telephone service for more than 24 hours because you could not afford to pay the telephone bill?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: E30=1 or E30a=1]

E32 People sometimes have trouble paying their utility bills on time. During the past 12 months, were you ever more than 15 days late paying your electric, gas or water bill?

- YES..... 1
- NO.....2 SKIP TO E33
- NOT APPLICABLE.....3 SKIP TO E33
- UTILITIES INCLUDED IN RENT/CONDO FEES.....4 SKIP TO E33
- REFUSED.....7 SKIP TO E33
- DON'T KNOW.....8 SKIP TO E33

[B: ALL]

E32a. When you had trouble paying for utilities, were you ever charged a fee for late payment?

- YES..... 1
- NO.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: E32=1]

E32b. Did you receive a notice that your gas, water, or electricity would be shut off if you did not pay your bill?

- YES..... 1
- NO.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: E32=1]

E32c. In the past 12 months, was your gas, water, or electricity ever shut off for nonpayment?

- YES..... 1
- NO.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: E32=1]

IF NOT RENTER OR OWNER (Q1=03-10) THEN SKIP TO H1

IF OWNER (Q1=02) THEN SKIP TO E37

- E33 During the past 12 months, were you ever more than 15 days late paying your rent?**
- YES..... 1
 - NO..... 2
 - NOT APPLICABLE 3 SKIP TO H1
 - REFUSED..... 7
 - DON'T KNOW 8

[B: Q1=1]

- E34 In the last 12 months, has your current or a previous landlord or the housing authority ever threatened to evict you for non-payment of rent?**
- YES..... 1
 - NO..... 2
 - REFUSED..... 7
 - DON'T KNOW 8

[B: Q1=1]

- E35 During the last 12 months, have you been evicted from a home for any reason?**
- YES..... 1
 - NO..... 2 SKIP TO E36
 - REFUSED..... 7 SKIP TO E36
 - DON'T KNOW 8 SKIP TO E36

[B: Q1=1]

E35a. Why was that?

- NON-PAYMENT OF RENT..... 1
- LANDLORD WANTED UNIT FOR
SELF OR RELATIVE 2
- HOUSEKEEPING 3
- DAMAGE TO UNIT 4
- BEHAVIOR OF CHILDREN 5
- NUISANCE (LOUD MUSIC, PARTIES, ETC.) 6
- OTHER (SPECIFY _____)..... 95
- REFUSED..... 97
- DON'T KNOW 98

[B: E35=1]

- E36 In the past 12 months, has the owner or manager complained about your housekeeping, visitors, life style, partner's behavior, damage to the unit, or your children's behavior?**
- YES..... 1
 - NO..... 2
 - REFUSED..... 7
 - DON'T KNOW..... 8

[B: Q1=1]

SKIP TO H1

E37 During the past 12 months, were you ever more than 15 days late paying your mortgage?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: Q1=2]

E38 In the last 12 months, has the bank ever threatened to foreclose on your mortgage for any reason?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: Q1=2]

E39 During the last 12 months, did the bank foreclose on your mortgage?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: Q1=2]

ADULT HEALTH

H1 Now, I'd like to ask you some questions about yourself. Please tell me how strongly you agree or disagree with the following statements. (READ EACH STATEMENT.)

H1a. Good luck is more important than hard work for success. Do you...

- strongly agree,..... 1
- somewhat agree,..... 2
- somewhat disagree, or..... 3
- strongly disagree? 4
- REFUSED..... 7
- DON'T KNOW..... 8

[B: ALL]

H1b. Every time I try to get ahead, something stops me. Do you...

- strongly agree,..... 1
- somewhat agree,..... 2
- somewhat disagree, or..... 3
- strongly disagree? 4
- REFUSED..... 7
- DON'T KNOW..... 8

[B: ALL]

H1c. When I make plans, I can usually carry them out.

- strongly agree,..... 1
- somewhat agree,..... 2
- somewhat disagree, or..... 3
- strongly disagree? 4
- REFUSED..... 7
- DON'T KNOW..... 8

[B: ALL]

H1d. Planning only makes people unhappy because plans hardly ever work out anyway...

- strongly agree,..... 1
- somewhat agree,..... 2
- somewhat disagree, or..... 3
- strongly disagree? 4
- REFUSED..... 7
- DON'T KNOW..... 8

[B: ALL]

The next few questions ask about your health.

H2 In general, would you say your health is:

- excellent 1
- very good 2
- good 3
- fair, or 4
- poor? 5
- REFUSED 7
- DON'T KNOW 8

[B: ALL]

H3 Do you have any illness or recurring health condition that requires regular, ongoing care?

- YES 1
- NO 2
- REFUSED 7
- DON'T KNOW 8

[B: ALL]

Now I am going to ask you about certain medical conditions.

H4 Has a doctor or other health professional ever told you that you had asthma?

- YES 1
- NO 2 SKIP TO H7
- REFUSED 7 SKIP TO H7
- DON'T KNOW 8 SKIP TO H7

[B: ALL]

H4a. Do you still have symptoms? (coughing, wheezing, shortness of breath)

- YES 1
- NO 2
- REFUSED 7
- DON'T KNOW 8

[B: H4=1]

H5 During the past 12 months, have you had an episode of asthma or an asthma attack?

- YES 1
- NO 2 SKIP TO H7
- REFUSED 7 SKIP TO H7
- DON'T KNOW 8 SKIP TO H7

[B: ALL]

H6 During the past 12 months, did you have to visit an emergency room or urgent care center because of asthma?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW 8

[B: H5=1]

H7 Have you ever been told by a doctor or other health professional that you had hypertension, also called high blood pressure?

- YES..... 1
- NO..... 2 SKIP TO H8
- REFUSED..... 7 SKIP TO H8
- DON'T KNOW 8 SKIP TO H8

[B: ALL]

H7a. Were you told on two or more different visits that you had hypertension, also called high blood pressure?

- YES..... 1
- NO..... 2
- DON'T KNOW 7
- REFUSED..... 8

[B: H7=1]

H8 Have you ever been told by a doctor or other health professional that you have diabetes or sugar diabetes?

- YES..... 1
- NO..... 2 SKIP TO H9
- REFUSED..... 7 SKIP TO H9
- DON'T KNOW 8 SKIP TO H9

[B: ALL]

H8a. How old were you when a doctor first told you that you had diabetes or sugar diabetes?

- 1-100..... 1-100
- DON'T KNOW -1
- REFUSED..... -2

[B: H8=1]

H9 Have you ever been told by a doctor or other health professional that you had arthritis?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW 8

[B: ALL]

H10 About how tall are you without shoes? (RECORD IN FEET AND INCHES)

HEIGHT: _____ FT _____ INCHES
 DON'T KNOW..... -1
 REFUSED..... -2

[B: ALL]

H11 About how much do you weigh without shoes? (RECORD IN POUNDS)

WEIGHT: _____ POUNDS
 DON'T KNOW..... -1
 REFUSED..... -2

[B: ALL]

The next few questions ask about smoking.

H12 Have you smoked at least 100 cigarettes in your entire life?

YES..... 1
 NO..... 2 SKIP TO H13
 REFUSED..... 7 SKIP TO H13
 DON'T KNOW 8

[B: ALL]

H12a. On how many of the past 30 days did you smoke a cigarette?

ZERO DAYS 0 SKIP TO H12c
 ___ NUMBER OF DAYS 1-30
 DON'T KNOW -1 SKIP TO H12c
 REFUSED..... -2 SKIP TO H12c

[B: H12=1 or 8]

H12b. On the average when you smoked in the last 30 days, about how many cigarettes did you smoke per day?

___ NUMBER OF CIGARETTES..... 1-99 SKIP TO H13
 DON'T KNOW -1 SKIP TO H13
 REFUSED..... -2 SKIP TO H13

[B: H2a=1-30]

H12c. How long has it been since you quit smoking?

___ NUMBER OF YEARS
 ___ NUMBER OF MONTHS
 ___ NUMBER OF WEEKS
 ___ NUMBER OF DAYS
 DON'T KNOW -1
 REFUSED..... -2

[B: H12a=0, -2, -1]

The next questions ask about alcohol use. When I use the work “drink” in the next questions, I mean either a glass of wine, a can or bottle of beer, or a shot or jigger of liquor either alone or in a mixed drink.

- H13 In any one year, have you had at least 12 drinks of any type of alcoholic beverage?**
- YES.....1
 - NO.....2 SKIP TO H19
 - REFUSED.....7 SKIP TO H19
 - DON'T KNOW.....8

[B: ALL]

- H14. In the past 12 months, how often did you usually have at least one drink – nearly every day, three to four days a week, one to three days a month, less than once a month, or not at all in the past 12 months?**
- NEARLY EVERY DAY1
 - THREE TO FOUR DAYS PER WEEK.....2
 - ONE TO TWO DAYS PER WEEK.....3
 - ONE TO THREE DAYS PER MONTH.....4
 - LESS THAN ONCE A MONTH.....5
 - NOT AT ALL IN THE PAST 12 MONTHS.....6 SKIP TO H19
 - REFUSED.....7 SKIP TO H19
 - DON'T KNOW.....8 SKIP TO H19

[B: H13=1 or 8]

- H15 On the days you drank in the past 12 months, about how many drinks did you usually have per day?**
- NUMBER OF DRINKS__
 - DON'T KNOW-1
 - REFUSED.....-2 SKIP TO H19

[B: H14=1-5]

- H16 In the past 12 months, on how many days did you have 5 or more drinks of any alcoholic beverage?**
- NUMBER OF DAYS__
 - DON'T KNOW-1 SKIP TO H18
 - REFUSED.....-2 SKIP TO H19

[B: H14=1-5 and H15 >0]

CAPI IF (DRINKS 1+ DAYS PER WEEK) OR (AT LEAST 3 DRINKS PER DAY 1-3 DAYS PER MONTH), THEN CONTINUE. ELSE, SKIP TO H19.

H17 Did your drinking or being hung over frequently interfere with your work or responsibilities at school, on a job, or at home during the past 12 months?

- YES..... 1 SKIP TO H19
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8 SKIP TO H19

[B: (H14= 1, 2, 3) or (H14= 4 and H15>2)]

H18 During the past 12 months, did your drinking cause arguments or other serious or repeated problems with your family, friends, neighbors, or co-workers?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: H17= 2, 7]

ACTIVITIES OF DAILY LIVING

The next questions ask about difficulties you may have doing certain activities because of a **health problem**. By “health problem” we mean any physical, mental, or emotional problem or illness (not including pregnancy). [HAND R SHOWCARD.]

H19 By yourself, and without using any special equipment, how difficult is it for you to...

| | Not at all difficult | Only a little difficult | Somewhat difficult | Very difficult | Can't do at all | Do not do this activity | REF | DK |
|---|----------------------|-------------------------|--------------------|----------------|-----------------|-------------------------|-----|----|
| H19a. ... walk a quarter of a mile – about 3 city blocks? | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| H19b. ... walk up 10 steps without resting? | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| H19c. ... stand or be on your feet for about 2 hours? | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| H19d. ... sit for about 2 hours? | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| H19e. ... stoop, bend, or kneel? | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| H19f. ... reach up over your head? | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |

[B: ALL]

H20 During the past 12 months, was your physical health ever such a problem that you could not take a job or had to stop working, or could not attend education or training activities?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: ALL]

ANXIETY SERIES

Now I'm going to change topics and ask you some questions about some of the ways you might have felt in the past month. For each question, please indicate whether you have felt this way all of the time, most of the time, some of the time, or none of the time.

H21 How much of the time during the past month have you ...

| | All of the time | Most of the time | Some of the time | None of the time | REF | DK |
|---|-----------------------|------------------------|------------------------|---------------------|-----|----|
| H21a. ... felt nervous? | 1 | 2 | 3 | 4 | 7 | 8 |
| H21b. ... felt calm and peaceful? | 1 | 2 | 3 | 4 | 7 | 8 |
| H21c. ... felt downhearted and blue? | 1 | 2 | 3 | 4 | 7 | 8 |
| H21d. ... felt happy? | 1 | 2 | 3 | 4 | 7 | 8 |
| H21e. ... felt so down in the dumps that nothing could cheer you up? | 1 | 2 | 3 | 4 | 7 | 8 |

[B: ALL]

The next questions are about physical activity.

H22 In a usual week, do you do activities for at least 10 minutes at a time, such as brisk walking, bicycling, vacuuming, gardening, or anything else that causes small increases in breathing or heart rate?

- YES..... 1
- NO..... 2 SKIP TO H23
- REFUSED..... 7 SKIP TO H23
- DON'T KNOW..... 8 SKIP TO H23

[B: ALL]

H22a. How many days per week do you do these activities for at least 10 minutes at a time?

- NUMBER OF DAYS 1-7
- DON'T KNOW -1
- REFUSED..... -2

[B: H22=1]

CIDI Depression Series

- H23 During the past 12 months, was there ever a time when you felt sad, blue or depressed for two weeks or more in a row?**
- YES..... 1 SKIP TO H25
 - NO..... 2
 - (IF VOLUNTEERED)
 - I WAS ON MEDICATION/ANTI-DEPRESSANTS..... 3 SKIP TO H35
 - REFUSED..... 7
 - DON'T KNOW..... 8

[B: ALL]

- H24 During the past 12 months, was there ever a time lasting two weeks or more when you lost interest in most things like hobbies, work, or activities that usually give you pleasure?**
- YES..... 1
 - NO..... 2 SKIP TO H35
 - (IF VOLUNTEERED)
 - I WAS ON MEDICATION/ANTI-DEPRESSANTS..... 3 SKIP TO H35
 - REFUSED..... 7 SKIP TO H35
 - DON'T KNOW..... 8 SKIP TO H35

[B: H23=2, 7, 8]

- H25 For the next few questions, please think of the two-week period during the past 12 months when these feelings were worst. During that time did the feelings of (being sad, blue, or depressed/losing interest in things) usually last all day long, most of the day, about half the day, or less than half the day?**
- ALL DAY LONG..... 1
 - MOST OF THE DAY..... 2
 - ABOUT HALF THE DAY..... 3
 - LESS THAN HALF THE DAY..... 4 SKIP TO H35
 - REFUSED..... 7 SKIP TO H35
 - DON'T KNOW..... 8 SKIP TO H35

[B: H24=1 or H23=1]

- H26 During those two weeks, did you feel this way every day, almost every day, or less often?**
- EVERY DAY..... 1
 - ALMOST EVERY DAY..... 2
 - LESS OFTEN..... 3 SKIP TO H35
 - REFUSED..... 7
 - DON'T KNOW..... 8

[B: H25= 1, 2, 3]

(IF QUESTION H24 =1, SKIP TO H28 OTHERWISE ASK H27)

H27 During those two weeks, did you lose interest in most things like hobbies, work, or activities that usually give you pleasure?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: H26= 1, 2, 7, 8 and H23=1]

H28 Thinking about those same two weeks, did you feel more tired or low on energy than is usual for you?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: H26= 1, 2, 7, 8]

H29 Did you gain or lose weight without trying, or did you stay about the same?

- GAINED 1
- LOST 2
- (IF VOLUNTEERED) BOTH GAINED AND LOST 3
- STAYED ABOUT THE SAME 4 SKIP TO H30
- (IF VOLUNTEERED) WAS ON A DIET 5 SKIP TO H30
- REFUSED..... 7 SKIP TO H30
- DON'T KNOW..... 8 SKIP TO H30

[B: H26= 1, 2, 7, 8]

H29a. About how much did (you gain / you lose / your weight change)?

- _____ POUNDS
- DON'T KNOW..... -1
 - REFUSED -2

[B: H29=1, 2, 3]

INTERVIEWER: IF H29A IS MORE THAN 10 POUNDS, VERIFY WITH RESPONDENT THAT THE WEIGHT CHANGE OCCURRED WITHIN THE PAST 2 WEEKS.

CAPI VERIFICATION: IF H29A IS 10 OR MORE THEN FLAG H29B AS YES (1); OTHERWISE H29B=NO (2).

H30 During those two weeks did you have more trouble falling asleep than usual?

- YES..... 1
- NO..... 2 SKIP TO H32
- REFUSED..... 7 SKIP TO H32
- DON'T KNOW..... 8 SKIP TO H32

[B: H26= 1, 2, 7, 8]

H31 Did that happen every night, nearly every night, or less often during those two weeks?

- EVERY NIGHT..... 1
- NEARLY EVERY NIGHT..... 2
- LESS OFTEN 3
- REFUSED..... 7
- DON'T KNOW..... 8

[B: H30=1]

H32 During those two weeks, did you have a lot more trouble concentrating than usual?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: H26= 1, 2, 7, 8]

H33. People sometimes feel down on themselves, no good, or worthless. During that two week period, did you feel this way?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: H26= 1, 2, 7, 8]

H34 Did you think a lot about death -- either your own, someone else's, or death in general during those two weeks?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: H26= 1, 2, 7, 8]

H35 During the past 12 months, have you ever had a period lasting one month or longer when most of the time you felt worried, tense, or anxious?

- YES..... 1 SKIP TO C1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: ALL]

H36 People differ a lot in how much they worry about things. Did you have a time in the past 12 months when you worried a lot more than most people would in your situation?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: H35=2, 7, 8]

CHILDREN

IF NO FOCAL CHILDREN FROM BASELINE INFORMATION, SKIP TO F11

NOTE TO CAPI PROGRAMMER: We are asking about the SAME focal children as at Baseline. Some households will complete both the section for a younger child and an older child, while others will complete the section for older children two times.

CAPI USE ROSTER AND ASK ONLY IF FOCAL CHILD IS STILL IN HOUSEHOLD. [INCLUDE ROSTER ID FOR CHILD1]

The next set of questions are about your children.

C1 During the last survey you completed two years ago, you answered some questions about a child named [NAME] who is about [AGE] years old now. How many days per week does [NAME] live in your home?

- [Enter number of days _____] 1-7
- DON'T KNOW..... -1
- REFUSED..... -2

[B: L1= 1, 7, 8]

IF CHILD IS 6-17 NOW, SKIP TO C9

YOUNGER CHILDREN [CHILDREN UNDER AGE 6]

1 You will pre-load a dataset that has household ID, along with the name, age, and gender for both CHILD1 and CHILD2.

C2 Now I'd like you to rate how much each of the following statements describes [CHILD].

[HAND RESPONDENT CARD]

- Not at all like this child..... 1
- A little like this child 2
- Somewhat like this child..... 3
- A lot like this child..... 4
- Completely like this child 5
- REFUSED..... 7
- DON'T KNOW..... 8

C2a. [CHILD] is usually in a good mood. Would you say this is... _____

C2b. [CHILD] is admired and well liked by other children. Would you say this... _____

C2c. [CHILD] shows concern for other people's feelings. _____

C2d. [CHILD] shows pride when he/she does something well or learns something new. _____

C2e. [CHILD] easily calms down after being angry or upset. _____

C2f. [CHILD] is helpful and cooperative. _____

[B: FOCAL 1/2 = PACKED and FOCAL 1/2 AGE <6]

C3. Now I am going to read some statements that describe behavior problems that many children have. Please tell me whether each statement has been often true, sometimes, or not true of [CHILD] during the past 3 months.

| | Often True | Sometimes True | Not True | DON'T KNOW | REFUSED |
|--|---------------|-------------------|-------------|---------------|---------|
| <u>C3a. Has trouble getting along with teachers</u> | 1 | 2 | 3 | 7 | 8 |
| [B: L2=1 and FOCAL 1/2 = PACKED and FOCAL 1/2 AGE <6] | | | | | |
| <u>C3b. Is disobedient at school</u> | 1 | 2 | 3 | 7 | 8 |
| [B: L2=1 and FOCAL 1/2 = PACKED and FOCAL 1/2 AGE <6] | | | | | |
| <u>C3c. Is disobedient at home</u> | 1 | 2 | 3 | 7 | 8 |
| [B: FOCAL 1/2 = PACKED and FOCAL 1/2 AGE <6] | | | | | |
| C3d. Hangs around with kids who get in trouble | 1 | 2 | 3 | 7 | 8 |
| [B: FOCAL 1/2 = PACKED and FOCAL 1/2 AGE <6] | | | | | |
| <u>C3e. Bullies or is cruel or mean to others</u> | 1 | 2 | 3 | 7 | 8 |
| [B: FOCAL 1/2 = PACKED and FOCAL 1/2 AGE <6] | | | | | |
| <u>C3f. Is restless or overly active, can't sit still</u> | 1 | 2 | 3 | 7 | 8 |
| [B: FOCAL 1/2 = PACKED and FOCAL 1/2 AGE <6] | | | | | |
| <u>C3g. Is unhappy, sad, or depressed</u> | 1 | 2 | 3 | 7 | 8 |
| [B: FOCAL 1/2 = PACKED and FOCAL 1/2 AGE <6] | | | | | |

C4. How many days in the past week did you or any family member read stories or tell stories to [CHILD]?

| | |
|----------------------|-----|
| NUMBER OF DAYS | 0-7 |
| DON'T KNOW | -1 |
| REFUSED..... | -2 |

[B: FOCAL 1/2 = PACKED and FOCAL 1/2 AGE <6]

C5. How often in the past month have you or any family member taken [CHILD] on any kind of outing, such as to the park, grocery store, a church or a playground? Would you say...

| | |
|---------------------------------------|---|
| Once a month or less,..... | 1 |
| About two or three time a month | 2 |
| Several times a week, or | 3 |
| About once a day?..... | 4 |
| REFUSED..... | 7 |
| DON'T KNOW | 8 |

[B: FOCAL 1/2 = PACKED and FOCAL 1/2 AGE <6]

- C6. Is [CHILD]’s school within walking distance from your home?**
- YES..... 1
 - NO.....2
 - REFUSED.....7
 - DON’T KNOW.....8

[B: FOCALAGE 1/2 = 4 or 5]

- C7. During the past two years, has [CHILD] gone to a special class or gotten special help in school for learning problems?**
- YES..... 1
 - NO.....2 SKIP TO C8
 - REFUSED.....7 SKIP TO C8
 - DON’T KNOW.....8 SKIP TO C8

[B: FOCALAGE 1/2 = 4 or 5]

- C7a. How often has [CHILD] received any special services for these problems – almost every day, once a week, once a month, a few times a year, or only once or twice in the past year?**
- ALMOST EVERY DAY (3-5 DAYS A WEEK)..... 1
 - ONCE A WEEK (1-2 DAYS WEEK).....2
 - ONCE A MONTH (1-3 TIMES A MONTH).....3
 - A FEW TIMES A YEAR (3-4 TIMES A YEAR).....4
 - ONLY ONCE OR TWICE IN THE PAST 2 YEARS.....5
 - REFUSED.....7
 - DON’T KNOW.....8

[B: C7=1]

- C8. During the past two years, has this child gone to a special class or gotten special help in school for behavioral or emotional problems?**
- YES..... 1
 - NO.....2 SKIP TO C27
 - REFUSED.....7 SKIP TO C27
 - DON’T KNOW.....8 SKIP TO C27

[B: FOCALAGE 1/2 = 4 or 5]

- C8a. How often has [CHILD] received any special services for these problems – almost every day, once a week, once a month, a few times a year, or only once or twice in the past year?**
- ALMOST EVERY DAY (3-5 DAYS A WEEK)..... 1
 - ONCE A WEEK (1-2 DAYS WEEK).....2
 - ONCE A MONTH (1-3 TIMES A MONTH).....3
 - A FEW TIMES A YEAR (3-4 TIMES A YEAR).....4
 - ONLY ONCE OR TWICE IN THE PAST 2 YEARS.....5
 - REFUSED.....7
 - DON’T KNOW.....8

[B: C8=1]

**End of questions for CHILD1 who is young (<6).
If no children ages 6-17, then SKIP TO C27**

OLDER CHILDREN [CHILDREN AGES 6 to 17] MAY INCLUDE BOTH CHILD1 AND CHILD2 – repeat series if necessary. [INCLUDE ROSTER ID FOR CHILD2 (AND CHILD1 IF APPROPRIATE AGE)]

The next set of questions will be asked about <NAME's> experiences, behavior, school, and health.

***C9. How many days per week does [CHILD] live in your home?**

- [Enter number of days _____] 1-7
- DON'T KNOW..... -1
- REFUSED..... -2

***NOTE TO INTERVIEWERS: C9 IS THE SAME AS C1. SINCE C1 IS ASKED OF ALL FOCAL CHILDREN, C9 IS A DUPLICATE QUESTION AND THEREFORE IS NOT ASKED IN CAPI.**

**C10. Now I'd like you to rate how much each of the following statements describes [CHILD].
[HAND RESPONDENT CARD]**

- Not at all like this child..... 1
- A little like this child 2
- Somewhat like this child..... 3
- A lot like this child..... 4
- Completely like this child..... 5
- REFUSED..... 7
- DON'T KNOW..... 8

C10a. [CHILD] is usually in a good mood. Would you say this is... _____

C10b. [CHILD] is admired and well liked by other children. Would you say this... _____

C10c. [CHILD] shows concern for other people's feelings. _____

C10d. [CHILD] shows pride when (he/she) does something well or learns something new. _____

C10e. [CHILD] easily calms down after being angry or upset. _____

C10f. [CHILD] is helpful and cooperative. _____

[B: FOCALAGE 1(2) = 6-17]

CAPI ASK NEXT 2 QUESTIONS IF CHILD IS NOT ENROLLED IN SCHOOL [L2=2]. IF ENROLLED [L2=1, 7, 8], SKIP TO C12.

C11. Why is [CHILD] not attending school? (DO NOT READ LIST. RECORD UP TO 3 MENTIONS)

| | <u>A</u> | <u>B</u> | <u>C</u> |
|---|----------|----------|----------|
| DISLIKES SCHOOL (DOESN'T WANT TO GO / NO INTEREST/FRIENDS NOT GOING)..... | 1 | 1 | 1 |
| DOES POORLY IN SCHOOL (POOR GRADES)..... | 2 | 2 | 2 |
| WASN'T PROMOTED TO THE NEXT GRADE..... | 3 | 3 | 3 |
| DOESN'T GET ALONG WELL WITH TEACHERS/FACULTY/STAFF | 4 | 4 | 4 |
| WANTS OR NEEDS TO WORK/ EARN MONEY..... | 5 | 5 | 5 |
| IS PREGNANT/GOT SOMEONE PREGNANT..... | 6 | 6 | 6 |
| HAS HAD DISCIPLINARY TROUBLE IN SCHOOL (SUSPENSIONS/EXPELLED/ FIGHTING) | 7 | 7 | 7 |
| PHYSICAL DISABILITY OR PROBLEM | 8 | 8 | 8 |
| MENTAL DISABILITY OR PROBLEM | 9 | 9 | 9 |
| GRADUATED EARLY | 10 | 10 | 10 |
| GOT GED..... | 11 | 11 | 11 |
| OTHER (SPECIFY _____)..... | 95 | 95 | 95 |
| REFUSED..... | 97 | 97 | 97 |
| DON'T KNOW..... | 98 | 98 | 98 |

[B: L2=2]

C11a. When did (he/she) last attend school?

_____ MM _____ YYYY SKIP TO C25

| | | |
|-----------------|----|-------------|
| DON'T KNOW..... | -1 | SKIP TO C25 |
| REFUSED..... | -2 | SKIP TO C25 |

[B: L2=2]

C12. What grade level is [CHILD] in at school?

| | |
|---------------------|----|
| KINDERGARTEN | 1 |
| FIRST GRADE..... | 2 |
| SECOND GRADE..... | 3 |
| THIRD GRADE | 4 |
| FOURTH GRADE..... | 5 |
| FIFTH GRADE..... | 6 |
| SIXTH GRADE..... | 7 |
| SEVENTH GRADE | 8 |
| EIGHTH GRADE..... | 9 |
| NINTH GRADE | 10 |
| TENTH GRADE..... | 11 |
| ELEVENTH GRADE..... | 12 |
| TWELVE GRADE | 13 |
| REFUSED..... | 97 |
| DON'T KNOW | 98 |

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

C13. In the last two years, has [CHILD NAME] changed schools, not including graduation from elementary school to middle school or middle school to high school?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

C14. Since starting kindergarten, has [CHILD] repeated any grades?

- YES..... 1
- NO..... 2 (SKIP TO C14)
- REFUSED..... 7 (SKIP TO C14)
- DON'T KNOW..... 8 (SKIP TO C14)

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

**C14a. IF YES: What grade or grades did (he/she) repeat? CODE ALL THAT APPLY.
[CAPI: DISPLAY ONLY UP TO CURRENT GRADE]**

- KINDERGARTEN 1
- FIRST GRADE..... 2
- SECOND GRADE..... 3
- THIRD GRADE 4
- FOURTH GRADE..... 5
- FIFTH GRADE..... 6
- SIXTH GRADE 7
- SEVENTH GRADE 8
- EIGHTH GRADE..... 9
- NINTH GRADE 10
- TENTH GRADE..... 11
- ELEVENTH GRADE..... 12
- TWELFTH GRADE 13
- REFUSED..... 97
- DON'T KNOW 98

[B: C14=1 AND FOCALAGE ½=6-17]

C15. What is the name of [CHILD'S] school? _____

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

C16. What is the address of this school? [If they do not know address, prompt for approximate location – street name, neighborhood, etc.]

| | |
|-------------------|-------|
| Street number | _____ |
| Street name | _____ |
| Neighborhood name | _____ |
| City | _____ |
| State | _____ |
| Zip Code | _____ |

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

C17. Is [CHILD]’s school within walking distance from your home?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON’T KNOW..... 8

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

SCHOOL QUALITY

C18. Please indicate how much you agree with each of the following statements about the school CHILD attends. [GIVE RESPONDENT SHOWCARD].

| | Strongly agree | Agree | Neither agree nor disagree | Disagree | Strongly disagree | REF | DK |
|-------|--|-------|----------------------------|----------|-------------------|-----|-----|
| C18a. | DROPPED | | | | | | |
| C18b. | DROPPED | | | | | | |
| C18c. | DROPPED | | | | | | |
| C18d. | DROPPED | | | | | | |
| C18e. | The school has enough books and materials for every student | 1 | 2 | 3 | 4 | 5 | 7 8 |
| C18f. | The school is a safe place | 1 | 2 | 3 | 4 | 5 | 7 8 |
| C18g. | Rules for behavior are strict | 1 | 2 | 3 | 4 | 5 | 7 8 |
| C18h. | The teaching is good | 1 | 2 | 3 | 4 | 5 | 7 8 |
| C18i. | DROPPED | | | | | | |
| C18j. | DROPPED | | | | | | |
| C18k. | DROPPED | | | | | | |
| C18l. | DROPPED | | | | | | |
| C18m. | DROPPED | | | | | | |
| C18n. | DROPPED | | | | | | |
| C18o. | DROPPED | | | | | | |
| C18p. | DROPPED | | | | | | |
| C18q. | Violence on school grounds is a problem at my child's school | 1 | 2 | 3 | 4 | 5 | 7 8 |
| C18r. | The lack of discipline in classrooms is a problem | 1 | 2 | 3 | 4 | 5 | 7 8 |

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

PARENTAL PARTICIPATION IN CHILD'S SCHOOL

C19. The next questions ask about school activities (you/you and other adult(s) in the household) might have attended during the last school year.

C19a. Since September, have (you/or the other adults(s) in your household) attended a general school meeting, for example, an open house, a back-to-school night or a meeting of a parent-teacher organization?

- YES.....1
- NO.....2
- REFUSED.....7

DON'T KNOW 8

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

C19b. Since September, have (you/or the other adults(s) in your household) gone to a regularly scheduled parent-teacher conference with [CHILD]'s teacher?

- YES.....1
- NO.....2
- REFUSED.....7
- DON'T KNOW.....8

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

C19c. Since September, have (you/or the other adults(s) in your household) attended a school or class event, such as a play, sports event, or science fair because of [CHILD]?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

C19d. Since September, have (you/or the other adults(s) in your household) acted as a volunteer at the school or served on a committee?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

C19e. During this school year, has a teacher contacted you about any schoolwork problems [CHILD] has had in school?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

C19f. During this school year, has a teacher contacted you about any behavior problems [CHILD] has had in school?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

C20. During the school year, how often does your child participate in the following activities?

| | Almost every day | About once a week | About once a month | A few times a year | Never | REF | DK |
|---|------------------|-------------------|--------------------|--------------------|-------|-----|----|
| C20a. Sports team (at school or at a community center) | 1 | 2 | 3 | 4 | 5 | 7 | 8 |
| C20b. School club (like Student Government, language club, choir or band) | 1 | 2 | 3 | 4 | 5 | 7 | 8 |
| C20c. Recreational club (like the Boys and Girls Club) | 1 | 2 | 3 | 4 | 5 | 7 | 8 |
| C20d. DROPPED | | | | | | | |
| C20e. DROPPED | | | | | | | |
| C20f. DROPPED | | | | | | | |
| C20g. Reading for pleasure | 1 | 2 | 3 | 4 | 5 | 7 | 8 |
| C20h. Going to the park or gym | 1 | 2 | 3 | 4 | 5 | 7 | 8 |
| C20i. DROPPED | | | | | | | |
| C20j. Doing things with you or another adult family member | 1 | 2 | 3 | 4 | 5 | 7 | 8 |
| C20k. Participating in church, mosque or temple activities | 1 | 2 | 3 | 4 | 5 | 7 | 8 |

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

C21. Does [CHILD] go to a special class for gifted students or do advanced work in any subject?

| | | |
|-----------------|---|-------------|
| YES..... | 1 | |
| NO..... | 2 | SKIP TO C22 |
| REFUSED..... | 7 | SKIP TO C22 |
| DON'T KNOW..... | 8 | SKIP TO C22 |

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

C21a. How often does [CHILD] go to these classes or do advanced work – almost every day, once a week, once a month, a few times a year, or only once or twice in the past year?

| | |
|---|---|
| ALMOST EVERY DAY (3-5 DAYS A WEEK)..... | 1 |
| ONCE A WEEK (1-2 DAYS WEEK)..... | 2 |
| ONCE A MONTH (1-3 TIMES A MONTH)..... | 3 |
| A FEW TIMES A YEAR (3-4 TIMES A YEAR)..... | 4 |
| ONLY ONCE OR TWICE IN THE PAST 2 YEARS..... | 5 |
| REFUSED..... | 7 |
| DON'T KNOW..... | 8 |

[B: C21=1 AND FOCALAGE ½=6-17]

C22. During the past two years, has [CHILD] gone to a special class or gotten special help in school for learning problems?

- YES..... 1
- NO.....2 SKIP TO C23
- REFUSED.....7 SKIP TO C23
- DON'T KNOW.....8 SKIP TO C23

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

C22a. How often has [CHILD] received any special services for these problems – almost every day, once a week, once a month, a few times a year, or only once or twice in the past year?

- ALMOST EVERY DAY (3-5 DAYS A WEEK)..... 1
- ONCE A WEEK (1-2 DAYS WEEK).....2
- ONCE A MONTH (1-3 TIMES A MONTH).....3
- A FEW TIMES A YEAR (3-4 TIMES A YEAR).....4
- ONLY ONCE OR TWICE IN THE PAST 2 YEARS.....5
- REFUSED.....7
- DON'T KNOW.....8

[B: C22=1]

C23. During the past two years, has this child gone to a special class or gotten special help in school for behavioral or emotional problems?

- YES..... 1
- NO.....2 SKIP TO C24
- REFUSED.....7 SKIP TO C24
- DON'T KNOW.....8 SKIP TO C24

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

C23a. How often has [CHILD] received any special services for these problems – almost every day, once a week, once a month, a few times a year, or only once or twice in the past year?

- ALMOST EVERY DAY (3-5 DAYS A WEEK)..... 1
- ONCE A WEEK (1-2 DAYS WEEK).....2
- ONCE A MONTH (1-3 TIMES A MONTH).....3
- A FEW TIMES A YEAR (3-4 TIMES A YEAR).....4
- ONLY ONCE OR TWICE IN THE PAST 2 YEARS.....5
- REFUSED.....7
- DON'T KNOW.....8

[B: C24=1]

C24. For each of the following statements, please tell me if you think it describes [CHILD] all of the time, most of the time, some of the time, or none of the time. [HAND R SHOWCARD].

C24a. Cares about doing well in school?

- ALL OF THE TIME 1
- MOST OF THE TIME 2
- SOME OF THE TIME 3
- NONE OF THE TIME 4
- REFUSED 7
- DON'T KNOW 8

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

C24b. Only works on schoolwork when forced to?

- ALL OF THE TIME 1
- MOST OF THE TIME 2
- SOME OF THE TIME 3
- NONE OF THE TIME 4
- REFUSED 7
- DON'T KNOW 8

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

C24c. Does just enough schoolwork to get by?

- ALL OF THE TIME 1
- MOST OF THE TIME 2
- SOME OF THE TIME 3
- NONE OF THE TIME 4
- REFUSED 7
- DON'T KNOW 8

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

C24d. Always does homework?

- ALL OF THE TIME 1
- MOST OF THE TIME 2
- SOME OF THE TIME 3
- NONE OF THE TIME 4
- REFUSED 7
- DON'T KNOW 8

[B: L2=1, 7, 8 AND FOCALAGE ½=6-17]

C25. Now I am going to read some statements that describe behavior problems that many children have. Please tell me whether each statement has been often true, sometimes, or not true of [CHILD] during the past 3 months.

| | Often True | Sometimes True | Not True | DON'T KNOW | REFUSED |
|--|-------------------|-----------------------|-----------------|-------------------|----------------|
| IF L2=2 SKIP TO C25c | | | | | |
| C25a. Has trouble getting along with teachers [B: L2=1, 7, 8 AND FOCALAGE ½=6-17] | 1 | 2 | 3 | 7 | 8 |
| C25b. Is disobedient at school [B: L2=1, 7, 8 AND FOCALAGE ½=6-17] | 1 | 2 | 3 | 7 | 8 |
| C25c. Is disobedient at home [B: CHILD AGE 6-17] | 1 | 2 | 3 | 7 | 8 |
| C25d. Hangs around with kids who get in trouble [B: CHILD AGE 6-17] | 1 | 2 | 3 | 7 | 8 |
| C25e. Bullies or is cruel or mean to others [B: CHILD AGE 6-17] | 1 | 2 | 3 | 7 | 8 |
| C25f. Is restless or overly active, can't sit still [B: CHILD AGE 6-17] | 1 | 2 | 3 | 7 | 8 |
| C25g. Is unhappy, sad, or depressed [B: CHILD AGE 6-17] | 1 | 2 | 3 | 7 | 8 |

C26. Raising children can be difficult these days. In the past year, that is since (CURRENT MONTH) of last year, have there ever been any of the following problems with [CHILD]?

| | YES | NO | DK | REF |
|--|------------|-----------|-----------|------------|
| C26a. Has [CHILD] been suspended, excluded, or expelled from school? [B: CHILD AGE 6-17] | 1 | 2 | 7 | 8 |
| C26b. Has [CHILD] gone to juvenile court? [B: CHILD AGE 6-17] | 1 | 2 | 7 | 8 |
| C26c. Has [CHILD] had a problem with alcohol or drugs? [B: CHILD AGE 6-17] | 1 | 2 | 7 | 8 |
| C26d. Has [CHILD] gotten into trouble with the police? [B: CHILD AGE 6-17] | 1 | 2 | 7 | 8 |
| C26e. Has [CHILD] done something illegal to get money? [B: CHILD AGE 6-17] | 1 | 2 | 7 | 8 |
| C26f. Has [CHILD] been pregnant [or gotten someone else pregnant] [B: CHILD AGE 12-17] | 1 | 2 | 7 | 8 |
| C26g. Has [CHILD] been in a gang? [B: CHILD AGE 12-17] | 1 | 2 | 7 | 8 |
| C26h. Has [CHILD] been arrested? [B: CHILD AGE 12-17] | 1 | 2 | 7 | 8 |

CHILD HEALTH

CAPI/INTERVIEWER: THESE QUESTIONS ARE ASKED FOR FOCAL CHILDREN FROM BOTH AGE GROUPS – REPEAT FOR EACH CHILD IF NECESSARY.

C27. Now, I'd like to ask about [CHILD's] health status. In general, would you say [CHILD's] health is...

- Excellent, 1
- Very good, 2
- Good, 3
- Fair, or..... 4
- Poor? 5
- REFUSED..... 7
- DON'T KNOW..... 8

[B: FOCALID1 or FOCALID2 = PACKED]

C28. During the past 12 months, that is, since (12-month ref. date), about how many days did [CHILD] miss school because of illness or injury?

- NONE 0
- (NUMBER OF DAYS _____)..... 1-240
- REFUSED..... -2
- DON'T KNOW..... -1
- DID NOT GO TO SCHOOL -3

[B: FOCALID1 or FOCALID2 = PACKED]

C29. During the past 12 months, did [CHILD] receive a physical examination or a well-child check-up?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B: FOCALID1 or FOCALID2 = PACKED]

C30. About how long has it been since [CHILD] last saw or talked to a dentist? Include all types of dentists, such as orthodontists, oral surgeons, and all other dental specialists, as well as dental hygienists.

| | |
|--|---|
| NEVER..... | 1 |
| 6 MONTHS OR LESS..... | 2 |
| MORE THAN 6 MONTHS, BUT NOT MORE THAN 1 YEAR AGO..... | 3 |
| MORE THAN 1 YEAR, BUT NOT MORE THAN 2 YEARS AGO..... | 4 |
| MORE THAN 2 YEARS, BUT NOT MORE THAN 5 YEARS AGO..... | 5 |
| MORE THAN 5 YEARS..... | 6 |
| REFUSED..... | 7 |
| DON'T KNOW..... | 8 |

[B: FOCALID1 or FOCALID2 = PACKED]

C31. Where does [CHILD] usually go for routine medical care? [DO NOT READ]

| | |
|---|----|
| DOCTOR'S OFFICE OR PRIVATE CLINIC (INCLUDING HMO'S)..... | 1 |
| HOSPITAL OUTPATIENT CLINIC..... | 2 |
| HOSPITAL EMERGENCY ROOM..... | 3 |
| PUBLIC HEALTH DEPARTMENT..... | 4 |
| COMMUNITY HEALTH CENTER..... | 5 |
| MIGRANT CLINIC..... | 6 |
| INDIAN HEALTH SERVICES..... | 7 |
| NO ROUTINE MEDICAL CARE..... | 8 |
| OTHER (SPECIFY)95..... | |
| REFUSED..... | 97 |
| DON'T KNOW..... | 98 |

[B: FOCALID1 (2) = PACKED]

C32. Has a doctor or other health professional ever said [CHILD] has asthma?

| | | |
|-----------------|---|-------------|
| YES..... | 1 | |
| NO..... | 2 | SKIP TO C35 |
| REFUSED..... | 7 | SKIP TO C35 |
| DON'T KNOW..... | 8 | SKIP TO C35 |

[B: FOCALID1 (2) = PACKED]

C32a. Does he/she still have symptoms? (coughing, wheezing, shortness of breath)

| | |
|-----------------|---|
| YES..... | 1 |
| NO..... | 2 |
| REFUSED..... | 7 |
| DON'T KNOW..... | 8 |

[B: C32=1]

- C33. During the past 12 months, has [CHILD] had an episode of asthma or an asthma attack?**
- YES..... 1
 - NO.....2 SKIP TO C35
 - REFUSED.....7 SKIP TO C35
 - DON'T KNOW.....8 SKIP TO C35

[B: C32=1]

- C34. During the past 12 months, did [CHILD] have to visit an emergency room or urgent care center because of asthma?**
- YES..... 1
 - NO.....2
 - REFUSED.....7
 - DON'T KNOW.....8

[B: C33=1]

- C35. During the past 12 months, did [CHILD] have to visit an emergency room or urgent care center because of an accident or injury?**
- YES..... 1
 - NO.....2 SKIP TO C36
 - REFUSED.....7 SKIP TO C36
 - DON'T KNOW.....8 SKIP TO C36

[B: FOCALID1 (2) = PACKED]

- C35a. Did the accident or injury occur from ...[CHECK ALL THAT APPLY]**
- Playing or sports injury..... 1
 - Fighting or another violent incident.....2
 - OTHER (SPECIFY _____).....95
 - REFUSED.....97
 - DON'T KNOW.....98

[B: C35=1]

- C36. How often does your child actively participate in sports, athletics or exercising?**
- NEVER..... 1
 - A FEW TIMES A YEAR.....2
 - 1-2 TIMES A MONTH.....3
 - NEARLY DAILY.....4
 - REFUSED.....7
 - DON'T KNOW.....8

[B: FOCALID1 (2) = PACKED]

C37. Does [CHILD] have a physical, learning, or mental health condition that limits (his/her) participation in the usual kinds of activities done by most children (his/her) age or limits (his/her) ability to do regular school work?

YES..... 1
 NO.....2
 REFUSED.....7
 DON'T KNOW8

[B: FOCALID1 (2) = PACKED]

C38. Has your doctor or health professional ever said that [CHILD] had:

| | YES | NO | REF | DK |
|---|-----|----|-----|----|
| C38a. DROPPED | | | | |
| C38b. diabetes? | 1 | 2 | 7 | 8 |
| C38c. more than 3 ear infections in a year? | 1 | 2 | 7 | 8 |
| C38d. speech impairment or delay? | 1 | 2 | 7 | 8 |
| C38e. DROPPED | | | | |
| C38f. DROPPED | | | | |
| C38g. DROPPED | | | | |
| C38h. a serious emotional disturbance? | 1 | 2 | 7 | 8 |
| C38i. elevated levels of lead in the blood? | 1 | 2 | 7 | 8 |
| C38j. developmental delay? | 1 | 2 | 7 | 8 |
| C38k. a learning disability? (SPECIFY _____) | 1 | 2 | 7 | 8 |
| C38l. autism? | 1 | 2 | 7 | 8 |
| C38m. hyperactivity, ADHD, or ADD? | 1 | 2 | 7 | 8 |
| C38n. any other problems? (SPECIFY _____) | 1 | 2 | 7 | 8 |

[B: FOCALID1 (2) = PACKED]

C39. About how tall is [CHILD] without shoes? (RECORD IN FEET AND INCHES)

HEIGHT: _____ FT _____ INCHES
 DON'T KNOW..... -2
 REFUSED..... -1

[B: FOCALID1 (2) = PACKED]

C40. About how much does [CHILD] weigh without shoes? (RECORD IN POUNDS)

WEIGHT: _____ POUNDS

DON'T KNOW..... -2

REFUSED..... -1

[B: FOCALID1 (2) = PACKED]

FINAL SECTION

I have just a few more questions.

F1 Do you have a valid driver’s license?

- YES..... 1
- NO.....2
- REFUSED.....7
- DON’T KNOW.....8

[B: ALL]

F2 Do you own or have access to a car that runs?

- YES..... 1
- NO.....2
- REFUSED.....7
- DON’T KNOW.....8

[B: ALL]

F3 I’d like you to tell me which category best estimates your total household income for 2002. Please include all income including money earned from jobs, public assistance, or social security. In the year 2002, what was your household income, before taxes?

- Less than \$5,000 1
- \$5,000 to \$9,9992
- \$10,000 to \$14,9993
- \$15,000 to \$19,9994
- \$20,000 to \$29,9995
- \$30,000 to \$39,9996
- \$40,000 or more.....7
- REFUSED.....97
- DON’T KNOW.....98

[B: ALL]

SUMMARY HOUSEHOLD QUESTIONS

I want to remind you that your answers will not affect your housing assistance. We will not report your name or other identifying information in our research.

F4 Are any of the people who we have listed in the household roster who are not on the lease?

- YES..... 1
- NO.....2
- REFUSED.....7
- DON’T KNOW.....8

[B: Q1=1]

F5 Is there anyone else we did not discuss in the household roster who stays here regularly?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

[B:Q1=1]

CAPI IF [INDEPTH]=1 PROMPT INTERVIEWER TO REVIEW CONSENT FORM FOR IN-DEPTH STUDY FAMILIES.

CAPI SKIP TO CONTACT INFORMATION

At the end of the last survey we did in 2001, you gave us information on two people we could contact in case we could not locate you for this survey. We are interested in interviewing you again in two years and would like to confirm that we have the most current information for your contacts, and that they are still the best people to use to find you, in case you move or change phone numbers

[CONFIRM INFORMATION FOR TWO RELIABLE CONTACTS.]

[CAPI/INTERVIEWE: if [R1 CONTACT INFO EXISTS] go to SC1a; otherwise go to SC1c].

[NOTE: SC1A-B AND SC2A-B ARE ASKED IF THERE IS ONE OR TWO SECONDARY CONTACTS IN THE SAMPLE DATA, THEY ARE DESIGNED TO CONFIRM OR UPDATE EXISTING DATA. SC1C-D AND SC2C-D ARE ASKED IF THE INTERVIEWER NEEDS TO COLLECT NEW SECONDARY CONTACT DATA.]

SC1a. Our records show that one of your contacts is (your/a/an) [R1_RELATION]. Is this correct?

Name: [r1_name_first] [r1_name_last]
 Living at: [r1_street_address]
 [r1_city]
 [r1_state]
 [r1_zip5] –[r1_zip4]
[R1_phone]

Cell phone:

YES, ALL CORRECT.....1 [SKIP TO SC2A]
 NO..... 2 (CHANGE INFO BELOW)
 REFUSED.....7 [SKIP TO SC2A]
 DON'T KNOW.....8 [SKIP TO SC2A]

r#_name_first. UPDATE FIRST NAME
 r#_name_last. UPDATE LAST NAME
 r#_street_address. UPDATE STREET ADDRESS
 r#_apartment. UPDATE APARTMENT
 r#_city. UPDATE CITY
 r#_state. UPDATE STATE
 r#_zip5. UPDATE ZIP
 r#_zip4. UPDATE ZIP+4

 r#_phone. UPDATE PHONE
 r#_cell_phone. UPDATE CELL PHONE
 r#_relation. UPDATE RELATION

SC1b. Our records now show this corrected contact information for (your/a/an) [r1_relation] as

Name: [r1_name_first] [r1_name_last]
 Living at: [r1_street_address]
 [r1_city]
 [r1_state]
 [r1_zip5] –[r1_zip4]
 [r1_phone]

Is this now correct?

- YES, ALL CORRECT..... 1
- NO..... 2 (CHANGE INFO BELOW)
- REFUSED..... 7
- DON'T KNOW..... 8

IF SC1B.=NO GO TO SC2A OTHERWISE GO TO ASK SMP 1 QUESTIONS AT END! AND THEN BACK TO SC1B AFTER!

SC1c. Could you tell us the name of a primary person who does not live with you and will always know how to contact you?

- YES..... 1
- NO..... 2
- REFUSED..... 7
- DON'T KNOW..... 8

- r#_name_first. **What is his/her first name?**
- r#_name_last. **What is his/her last name?**
- r#_street_address. **What is (his/her) street address?**
- r#_apartment. **Is there an apartment number?**
- r#_city. **In what city?**
- r#_state. **In what state?**
- r#_zip5. **What is the zip code?**
- r#_zip4. **What is the zip+4 code?**
- r#_phone. **What's the best phone number to reach (him/her) at starting with the area code?**
 Telephone # with area code: (_____) _____ - _____
- r#_cell_phone. **Is there a cell phone number we can reach (him/her) at starting with the area code?**
 Cell Phone # with area code: (_____) _____ - _____
- r#_relation. **What is his/her relationship to you?**

SC1d. Our records now show this new contact information for (your/a/an) [r1_relation] as Is this correct?

Name: [r1_name_first] [r1_name_last]
Living at: [r1_street_address]
[r1_city]
[r1_state]
[r1_zip5] -[r1_zip4]

- YES, ALL CORRECT.....1
- NO.....2 (CHANGE INFO BELOW)
- REFUSED.....7
- DON'T KNOW.....8

SC2a. Our records show that one of your contacts is (your/a/an) [r2_relation]

Name: [r2_name_first] [r2_name_last]
Living at: [r2_street_address]
[r2_city]
[r2_state]
[r2_zip5] -[r2_zip4]

Is this correct?

- 1. YES, ALL CORRECT [SKIP TO SC2B]
- 2. NO (CHANGE INFO BELOW)
- 7. REFUSED [SKIP TO SC2B]
- 8. DON'T KNOW [SKIP TO SC2B]

r#_name_first. UPDATE FIRST NAME
r#_name_last. UPDATE LAST NAME
r#_street_address. UPDATE STREET ADDRESS
r#_apartment. UPDATE APARTMENT
r#_city. UPDATE CITY
r#_state. UPDATE STATE
r#_zip5. UPDATE ZIP
r#_zip4. UPDATE ZIP+4

r#_phone. UPDATE PHONE
r#_cell_phone. UPDATE CELL PHONE
r#_relation. UPDATE RELATION

SC2b. Our records now show this corrected contact information for (your/a/an) [r2_relation] as

Name: [r2_name_first] [r2_name_last]
 Living at: [r2_street_address]
 [r2_city]
 [r2_state]
 [r2_zip5] -[r2_zip4]

Is this now correct?

- 1. YES, ALL CORRECT
- 2. NO (CHANGE INFO BELOW)
- 7. REFUSED
- 8. DON'T KNOW

SC2c. Could you tell us the name of a primary person who does not live with you and will always know how to contact you?

YES..... 1
 NO..... 2
 REFUSED..... 7
 DON'T KNOW..... 8

IF SC2C = YES GOTO ASK SMP 2 QUESTIONS AT END! OTHERWISE GOTO END

r#_name_first. **What is his/her first name?**

r#_name_last. **What is his/her last name?**

r#_street_address. **What is (his/her) street address?**

r#_apartment. **Is there an apartment number?**

r#_city. **In what city?**

r#_state. **In what state?**

r#_zip5. **What is the zip code?**

r#_zip4. **What is the zip+4 code?**

r#_phone. **What's the best phone number to reach (him/her) at starting with the area code?**

Telephone # with area code: () -

r#_cell_phone. **Is there a cell phone number we can reach (him/her) at starting with the area code?**

Cell Phone # with area code: () -

r#_relation. **What is his/her relationship to you?**

SC2d. Our records now show this new contact information for (your/a/an) [r2_relation] as

Name: [r2_name_first] [r2_name_last]
 Living at: [r2_street_address]
 [r2_city]
 [r2_state]
 [r2_zip5] -[r2_zip4]

Is this correct?

YES, ALL CORRECT1
 NO..... 2 (CHANGE INFO BELOW)
 REFUSED.....7
 DON'T KNOW.....8

Thank you very much for your help in answering all these questions. Your cooperation is important to this study.

[INTERVIEWER: PROVIDE INCENTIVES, OBTAIN SIGNED RECEIPTS.]

INTERVIEW WAS CONDUCTED IN...

ENGLISH1
 SPANISH.....2
 BOTH3

APPENDIX F

HOPE VI CASELOAD TRIAGE AND STABILITY INDEX

HOPE VI CSS CASELOAD TRIAGE AND STABILITY INDEX INDICATOR DEFINITIONS

CRISIS NEEDS

Immediate risk of eviction: a resident's current behavior could result in an immediate eviction or the housing authority is considering eviction procedures as a result of past behavior. (assessed by case manager after consultation with property management staff)

No source of income: the resident has no official income from any source (including employment, benefits or public assistance). (assessed by case manager)

Violence in the household: there is currently violence in the household that is not being addressed by a service provider. This includes residents who have been referred to a service provider but the violence continues to be a significant problem and a threat to household stability. (assessed by case manager)

Unmet mental health service needs: The resident has mental health service needs that are not being addressed by a service provider. This includes residents who have been referred to a service provider but mental health issues continue to be a significant problem and a barrier to employment or household stability. (assessed by case manager)

Unmet substance abuse service needs: The resident has substance abuse service needs that are not being addressed by a service provider. This includes residents who have been referred to a service provider but substance abuse continues to be a significant problem and a barrier to employment or household stability. (assessed by case manager)

Unmet chronic illness service needs: the resident has a chronic illness (including hypertension, diabetes, morbid obesity, chronic asthma, HIV, chronic arthritis or other conditions) that undermines household stability but is not being addressed by a health care provider. This includes residents who have been referred to a health care provider but the chronic illness continues to be a significant problem and a barrier to employment or household stability. (assessed by case manager)

Criminal activity: the resident, a family member or a frequent guest is currently engaged in criminal activity, including membership in a gang or participation in gang related activities that involve or could lead to criminal activity. (assessed by case manager)

SECONDARY NEEDS

(A) PROPERTY MANAGEMENT HISTORY (assessed by case managers after consultation with property management staff)

Repayment agreements with the housing authority: the resident is currently under one or more repayment agreements with the housing authority for payment of back rent or other debt.

History of delinquent rent: during the past year the resident made late or insufficient rent payments.

History of disturbing others: during the past year complaints were filed against the resident by the housing authority or other residents for disruptive behavior, either in their unit, in their building or on the property.

History of damage to the unit or housekeeping issues: during the past year the resident damaged their unit and failed housekeeping inspections or currently has poor housekeeping habits that could cause a lease violation.

History of poor landlord communication: during the past year the resident had a poor relationship with their landlord or was unable to communicate with their landlord to productively discuss problems regarding their unit and their tenancy.

History of unauthorized guests or frequent household composition changes: during the past year the resident had unauthorized guests visit or stay in their unit or there were frequent changes to household composition in the apartment lease.

(B) EMPLOYMENT AND INCOME (assessed by case managers)

Unemployed (but can work): the resident is currently unemployed, but does not have a disability or health condition that makes it difficult for them to secure and sustain employment.

Needs employment counseling or work appropriate clothing: the resident lacks basic understandings of the work setting and is unprepared for employment. The resident needs proper clothing for employment or needs training and counseling to prepare them for the work setting before starting a job.

Needs additional skills or job training in order to secure employment: the resident lacks the job skills necessary to qualify for and secure employment.

Needs assistance with children or childcare: the resident is pregnant and needs prenatal assistance or is a custodial parent or guardian and needs day care in order to access services or seek and sustain gainful employment.

Rent increases and home furnishing expenses: the resident is paying a higher percentage of their income toward rent than they did during the previous quarter or the resident has new expenses related to furnishing their home.

High utility bills: the resident currently has high utility bills that undermine their financial stability.

Language or cultural barriers to employment: the resident does not speak English well or cultural differences make it difficult for the resident to access services or secure and sustain employment.

Vocational rehabilitation: the resident has a disability, an illness or a an injury but could work and needs vocational rehabilitation in order to secure employment.

No high school diploma or equivalency: the resident does not have a high school diploma or high school equivalency and could benefit from GED courses.

Needs transportation to access services or work: the resident needs transportation assistance in order to access services or employment or the resident needs a driver's license.

Credit issues and financial literacy: the resident has outstanding debts, including utility bills, or a poor credit history that undermine their financial stability, attempts to rent their own apartment, or return to the HOPE VI development after revitalization. The resident has low financial literacy and has difficulty managing and budgeting their finances.

(C) **RELOCATION** (assessed by case managers after consultation with relocation staff)

Rental application denials: the resident is in the process of relocating / moving, has had two or more rental application denials and is having difficulty securing an apartment through the HCV / Section 8 (both tenant based and project based) program or the private market.

Housing choice: the resident is not satisfied with their current unit and would like to relocate / move.

(D) **OTHER RESIDENT CHARACTERISTICS** (assessed by case managers)

Disabled: the resident has a disability that impacts their household stability and is not being addressed by a service provider. This includes residents who have some form of disability that impacts their independence or attempts to gain employment but who are not receiving disability benefits.

Probation or parole: the resident, a family member residing in the unit or a frequent guest is currently on probation or parole.

Family at risk: the resident's family is at risk and could break-up due to internal or external forces. This includes situations where the resident needs parenting classes, the resident could lose his/her children due to child neglect, there was a death of someone living with the household, the resident lives in a high crime or violent neighborhood, the resident has an existing restraining order or no contact order protecting them from someone, or guests are exposing the family to negative elements that undermine family stability.

Malnutrition: There is generally little to no food in the home. Adults and children in the home go without food at some point during the month.

Undocumented family members: the resident has family members that reside with them who are undocumented with Immigration and Naturalization Services (INS) and are illegally residing in the United States.

Anger management problems: the resident is hostile or has anger management issues that make it difficult for them to develop and maintain good relationships with service providers or secure and sustain employment.

Literacy: the resident is unable to read and understand housing authority forms or job applications. The resident is functionally illiterate.

Lives alone: the resident lives alone and needs outside contact with other individuals but is not currently connected to a service provider to address this problem.

**HOPE VI CSS CASELOAD TRIAGE AND STABILITY INDEX
INDICATORS, CLASSIFICATION AND CRITERIA**

| Triage Indicators |
|--|
| Crisis needs |
| Immediate risk of eviction |
| No source of income |
| Violence in the household |
| Unmet mental health service needs |
| Unmet substance abuse service needs |
| Unmet chronic illness service needs |
| Criminal activity |
| Secondary needs |
| Repayment agreements with the housing authority |
| History of delinquent rent |
| History of disturbing others |
| History of damage to the unit or housekeeping issues |
| History of poor landlord communication |
| History of unauthorized guests or frequent household composition changes |
| Unemployed (but can work) |
| Needs employment counseling or work appropriate clothing |
| Needs additional skills or job training in order to secure employment |
| Needs assistance with children or childcare |
| Rent increases and home furnishing expenses |
| High utility bills |
| Language or cultural barriers to employment |
| Vocational rehabilitation |
| No high school diploma or equivalency |
| Needs transportation to access services or work |
| Credit issues and financial literacy |
| Rental application denials |
| Housing choice |
| Disabled |
| Probation or parole |
| Family at risk |
| Malnutrition |
| Undocumented family members |
| Anger management problems |
| Literacy |
| Lives alone or is a shut-in |
| Classification and Contact |
| HIGH RISK (weekly contact) |
| MODERATE RISK (bi-weekly contact) |
| LOW RISK (monthly contact) |
| QUARTERLY MONITORING (quarterly contact) |
| Criteria |
| [HIGH RISK] 1 or more crisis needs or 10 or more secondary needs |
| [MODERATE RISK] 5-9 secondary needs |
| [LOW RISK] 1-4 secondary needs |
| [QUARTERLY MONITORING] 0 secondary needs |

APPENDIX G

HOPE VI PANEL STUDY

WAVE TWO

IN-DEPTH INTERVIEW PROTOCOLS

HOPE VI PANEL STUDY—WAVE 2
Adult Interview Guide
Mover

Relocation

When we talked two years ago, few people, if any, had been relocated from [*name of original development*], but there were a number of changes planned. I'd like to ask about the relocation process.

1. How long ago did you move from [*development*]?

Have you lived anywhere else besides here since you left [*development*]?

[YES] Where?

2. How did you find this apartment/house?

Did you receive assistance from the housing authority with finding a place to live?

3. Did you receive help with the move itself from the housing authority?

PACKING; TRANSPORTING; MOVING COSTS

4. Can you tell me about your moving experience?

What went well with the move?

Did anything not go well?

5. Have you had any contact with the housing authority or HOPE VI program since you moved from [*development*]?

DESCRIBE

Housing

6. How would you compare your apartment/house now to the apartment you lived in at [*development*]?

CONDITION; SIZE

7. What do you like about your apartment/house?

Is there anything you do not like about it?

Household Composition

When we talked two years ago, you told us that [*#of people from baseline survey roster*] were living in your home.

8. Has this changed in the last two years?

SAME PEOPLE; NEW PEOPLE; LOSS OF PEOPLE

9. Is there anyone who no longer lives with you?

Other than personal reasons, why does s/he no longer live with you?

LEASE; APT SIZE

Neighborhood

10. [IF CLOSE TO DEVELOPMENT SITE] Is this area part of the same neighborhood that the development is in?

11. How is this area different from the development?

What do you like about living in this neighborhood?

Is there anything about the neighborhood that you do not like?

12. Is the neighborhood convenient for what you need to do on a regular basis?

WORK; SHOP; CATCH A BUS; GO TO DOCTOR; ETC.

Is this different from when you lived in [*development*]?

13. Have you changed where you shop or go to church because of the move?
14. Do you think you are safer now than you were when you lived in [*development*]?
- What is it that makes you feel safer / less safe?

Neighbors

15. How have your family relations been affected by your move?
- How have these changes affected you?
- How often do you visit or talk to relatives?
16. How have your friendships been affected by your move?
- How have these changes affected you?
- How often do you visit or talk to old friends?

Turning to your new neighborhood:

17. Do you ever talk with any of your neighbors?
- Is this different from when you lived in [*development*]?
18. Do you ever socialize with neighbors or help each other out sometimes?
- EXAMPLES; COOKOUTS; VISIT; WATCH CHILDREN; SHARE FOOD
- [YES] About how often do you do this?
- [NO] Why?
19. Do you socialize with neighbors or help each other out more or less than you did when you lived in [*development*]?
- [YES] Why do you think this has changed?

20. Have your child's friends changed since you moved?
Does your child spend time with family members or old friends?
Does your child have friends in this neighborhood?
Do you like your child's friends?

Employment & Income

When we met two years ago, you [*were/were not employed*].

21. Has that changed?

DETAILS OF CHANGE

22. [EMPLOYED] What is your job?

LENGTH OF TIME AT JOB; LIKES; DISLIKES; Full-time OR Part-time

How did you find your job?

ADVERTISEMENT; FRIEND; FAMILY

23. [UNEMPLOYED, NON-ELDERLY] What would you say is the main reason you are not employed?

24. Has the move affected your employment in any way?

TRANSPORTATION

Hardship

25. Since you moved from [*development*] [In the last year], has there ever been a time when you didn't have enough money to pay bills, such as rent, telephone, or utility bills?

How often has this happened?

What did you do?

Is this different from when you lived in [*development*]?

[YES] What do you think the difference is due to?

26. Since you moved [In the last year], has money ever been so tight that you were unable to buy enough food for you and your family?

How often has this happened?

What did you do?

Is this different from when you lived in [*development*]?

[YES] What do you think the difference is due to?

Health

27. Has there been any change in your or any of your family members' health since you moved from [*development*] [in the last year]?

DESCRIBE; ASTHMA; INJURIES; DEPRESSION

What do you think brought on the change?

[CHECK HH PROFILE FOR MAJOR HEALTH PROBLEMS AT BASELINE. IF R DOES NOT MENTION, ASK IF STILL A CONCERN]

28. Has your stress level changed since your move [in the last year]?

What do you think caused the change?

**DESCRIBE; MAJOR LIFE EVENTS: JOB/INCOME CHANGE; ILLNESS;
CHANGE IN HOUSEHOLD; MOVE**

29. Have you missed work / school since your move [in the last year] because of any health concerns?

[YES] Did that cause you any problems at work / school?

30. Has your child missed any school since your move [in the last year] because of any health concerns?

Why do you think that has happened?

31. Has any health problem affected your child's learning or behavior in school since your move [in the last year]?

ADD (Attention Deficit Disorder); LEARNING DISABILITY

Why do you think that has happened?

Education

32. What school does your child go to? Is it located nearby?

PUBLIC; PRIVATE; CHARTER; HOW SELECTED

33. Is this a different school from the school your child attended two years ago?

[YES] WHY

[regular advancement *or* relocation]

In what ways is the school different from the old one?

34. How is your child doing in school?

GRADES; GRADE PROMOTION; SPECIAL RECOGNITION/ AWARDS

35. Do you think the move has had any effect on your child's schooling experience? [*Skip if recent mover*]

DESCRIBE; POSITIVE; NEGATIVE; PLACED IN/OUT OF SPECIAL CLASSES

36. Does your child participate in any type of program during school hours or after school, such as music or art programs, sports, etc.?

Is this new for your child since the move [in the last year]?

37. Since your move [In the last year], has there been any change in your child's behavior in school?

EVER IN TROUBLE; SUSPENDED; EXPELLED; GETTING ALONG WITH OTHERS

What do you think brought on the change?

38. Are you involved with the school in any way?

TEACHER MEETINGS; PTA

Has moving affected your involvement with the school? [*Skip if recent mover*]

DESCRIBE; MORE INVOLVED; LESS INVOLVED; SAME

39. Does your child ever ask you for help with homework?

Do you look over your child's schoolwork?

Outlook for Redevelopment

40. Do you want to return to the development once it is built?

WHY; WHY NOT

41. Where would you like to be in five years?

42. Is there anything you would like to add about anything we have talked about?

Thank you for your time and for talking about your experiences with me.

Have respondent sign receipt.

HOPE VI PANEL STUDY—WAVE 2
Adult Interview Guide
Non-Mover

Relocation

When we talked two years ago, few people, if any, had been relocated from [*name of original development*], but there were a number of changes planned. I'd like to ask about the relocation process.

1. When do you think you will be moving?
2. What is the main reason you have not been relocated yet?
3. What has it been like living here as other people have moved?
4. **Are you receiving assistance from the housing authority with finding a place to live?**
5. **What type of moving assistance do you think you will receive from the housing authority?**

PACKING; TRANSPORTING; MOVING COSTS

Housing

6. **Have the conditions of your apartment changed in any way during the last two years?**

DESCRIBE

Household Composition

When we talked two years ago, you told us that [*#of people from baseline survey roster*] were living in your home.

7. Has this changed in the last two years?

SAME PEOPLE; NEW PEOPLE; LOSS OF PEOPLE

8. Is there anyone who no longer lives with you?

Other than personal reasons, why does s/he no longer live with you?

LEASE; APT SIZE

Neighborhood

9. How has this neighborhood changed in the last two years?

10. Do you think the area is safer or less safe than it was two years ago?

WHY

Neighbors

- 11. Have your family relations been affected by people moving away from here?**

In what ways have they changed?

How have these changes affected you?

Do you hear from relatives who have moved away?

- 12. Have your friendships been affected by people moving away from here?**

In what ways have they changed?

How have these changes affected you?

Do you hear from friends who have moved away?

Turning to your current neighbors:

13. Do you ever talk with any of your neighbors?

14. Do you ever socialize with neighbors or help each other out sometimes?

EXAMPLES; COOKOUTS; VISIT; WATCH CHILDREN; SHARE FOOD

[YES] About how often do you do this?

[NO] Why?

15. Do you talk to or socialize with your neighbors more or less than you did about two years ago?

[YES] Why do you think this has changed?

16. Have your child's friends changed since people started moving away?

Does your child spend time with family members or old friends?

Does your child have friends in this neighborhood?

Do you like your child's friends?

Employment & Income

When we met two years ago, you [*were/were not employed*].

17. Has that changed?

DETAILS OF CHANGE

18. [EMPLOYED] What is your job?

LENGTH OF TIME AT JOB; LIKES; DISLIKES; Full-time OR Part-time

How did you find your job?

ADVERTISEMENT; FRIEND; FAMILY

19. [UNEMPLOYED, NON-ELDERLY] What would you say is the main reason you are not employed?

Hardship

20. **Has there ever been a time in the last year when you didn't have enough money to pay bills, such as rent, telephone, or utility bills?**

How often has this happened?

What did you do?

21. Was there any time in the last year when things were tight and you didn't have enough money to buy enough food for you and your family?

How often has this happened?

What did you do?

Health

22. **Has there been any change in your or any of your family members' health in the last year?**

DESCRIBE; ASTHMA; INJURIES; DEPRESSION

What do you think brought on the change?

[CHECK HH PROFILE FOR MAJOR HEALTH PROBLEMS AT BASELINE. IF R DOES NOT MENTION, ASK IF STILL A CONCERN]

23. Has your stress level changed in the last year?

What do you think caused the change?

DESCRIBE; MAJOR LIFE EVENTS: JOB/INCOME CHANGE; ILLNESS;
CHANGE IN HOUSEHOLD; MOVE

24. Have you missed work / school in the last year because of any health concerns?

[YES] Did that cause you any problems at work / school?

25. Has your child missed any school in the last year because of any health concerns?

26. Has any health problem affected your child's learning or behavior in school in the last year?

ADD (Attention Deficit Disorder); LEARNING DISABILITY

Why do you think that has happened?

Education

27. What school does your child go to? Is it located nearby?

PUBLIC; PRIVATE; CHARTER; HOW SELECTED

28. Is this a different school from the school your child attended two years ago?

[YES] WHY

[regular advancement *or* decision to change]

In what ways is the school different from the old one?

29. How is your child doing in school?

GRADES; GRADE PROMOTION; PLACED IN/OUT OF SPECIAL CLASSES;
SPECIAL RECOGNITION/ AWARDS

30. Does your child participate in any type of program during school hours or after school, such as music or art programs, sports, etc.?

31. In the last two years, has there been any change in your child's behavior in school?

EVER IN TROUBLE; SUSPENDED; EXPELLED; GETTING ALONG WITH OTHERS

What do you think brought on the change?

32. Are you involved with the school in any way?

TEACHER MEETINGS; PTA

33. Does your child ever ask you for help with homework?

Do you look over your child's schoolwork?

Outlook for Redevelopment

34. Do you hope to return to the development once it is rebuilt?

WHY; WHY NOT

35. Where would you like to be in five years?

36. Is there anything you would like to add about anything we have talked about?

Thank you for your time and for sharing your experiences with me.

Have respondent sign receipt.

APPENDIX H

HOPE VI BIBLIOGRAPHY

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